
NEW YORK STATE **REGISTER**

INSIDE THIS ISSUE:

- Addressing the COVID-19 Crisis
- Hospital Non-Comparable Ambulance Acute Rate Add-On
- Ambulatory Surgery Services Fees

Notice of Availability of State and Federal Funds
Executive Orders
Financial Reports

State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making, or a Notice of Emergency Adoption and Proposed Rule Making; and for 45 days after publication of a Notice of Revised Rule Making, or a Notice of Emergency Adoption and Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 60 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

For notices published in this issue:

- the 60-day period expires on August 29, 2021
- the 45-day period expires on August 14, 2021
- the 30-day period expires on July 30, 2021

**ANDREW M. CUOMO
GOVERNOR**

**ROSSANA ROSADO
SECRETARY OF STATE**

NEW YORK STATE DEPARTMENT OF STATE

For press and media inquiries call:
(518) 474-0050

For *State Register* production, scheduling and subscription information
call: (518) 474-6957
E-mail: adminrules@dos.ny.gov

For legal assistance with *State Register* filing requirements
call: (518) 474-6740
E-mail: dos.dl.inetcounsel@dos.ny.gov

The *New York State Register* is now available on-line at:
www.dos.ny.gov/info/register.htm



The *New York State Register* (ISSN 0197 2472) is published weekly. Subscriptions are \$80 per year for first class mailing and \$40 per year for periodical mailing. The *New York State Register* is published by the New York State Department of State, One Commerce Plaza, 99 Washington Avenue, Albany, NY 12231-0001. Periodical postage is paid at Albany, New York and at additional mailing offices.

POSTMASTER: Send address changes to NY STATE REGISTER, the Department of State, Division of Administrative Rules, One Commerce Plaza, 99 Washington Avenue, Albany, NY 12231-0001

♻️ printed on recycled paper

NEW YORK STATE REGISTER

Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The law provides for a minimum 60-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making, and a 45-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after expiration of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-5091 or 455-2731

Each paid subscription to the *New York State Register* includes one weekly issue for a full year and four "Quarterly Index" issues. The Quarterly is a cumulative list of actions that shows the status of every rule making action in progress or initiated within a calendar year.

The *Register* costs \$80 a year for a subscription mailed first class and \$40 for periodical (second) class. Prepayment is required. To order, send a check or money order payable to the NYS Department of State to the following address:

NYS Department of State
One Commerce Plaza
99 Washington Avenue
Suite 650
Albany, NY 12231-0001
Telephone: (518) 474-6957

KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

Rule Making Activities

Education Department

- 1 / Relates to the Licensure Requirements for Land Surveyors (E)
- 6 / Addressing the COVID-19 Crisis (E)

Environmental Conservation, Department of

- 9 / Product Stewardship and Product Labeling (P)

Health, Department of

- 15 / Hospital Non-Comparable Ambulance Acute Rate Add-On (E)

Labor, Department of

- 15 / Unemployment Insurance (UI) Definition of “Day of Total Unemployment” (E)

Motor Vehicles, Department of

- 17 / Relicensing After Revocation (P)

Public Service Commission

- 17 / Notice of Intent to Submeter Electricity and Waiver Request (P)
- 18 / Proposed Revisions to Tariff Schedule (P)
- 18 / Transfer of Street Lighting Facilities (P)
- 19 / Petition to Submeter Electricity and Request for Waiver (P)
- 19 / Transfer of Street Lighting Facilities (P)
- 20 / Proposed Acquisition of All Shares of Common Stock of Corning Natural Gas Holding Corporation by ACP Crotona Corp. (P)
- 20 / Notice of Intent to Submeter Electricity (P)
- 20 / Proposed Agreement for the Provision of Water Service by Saratoga Water Services, Inc. is in the Public Interest (P)
- 21 / Transfer of Street Lighting Facilities (P)

Temporary and Disability Assistance, Office of

- 21 / Camp Fees (EP)

Workers’ Compensation Board

- 23 / Ambulatory Surgery Services Fees (E)
- 24 / Payment of Medical Bills and Disputes (P)

Hearings Scheduled for Proposed Rule Makings / 27

Action Pending Index / 31

Advertisements for Bidders/Contractors

- 83 / Sealed Bids

Notice of Availability of State and Federal Funds

- 91 / New York Homes and Community Renewal

Miscellaneous Notices/Hearings

- 93 / Notice of Abandoned Property Received by the State Comptroller
- 93 / Public Notice

Executive Orders

- 101 / Executive Order No. 168.49: Continuing the Declaration of a Disaster Emergency in the Five Boroughs of New York City and the Counties of Dutchess, Nassau, Orange, Putnam, Rockland, Suffolk and Westchester that Incorporate the MTA Region in the State of New York.
- 101 / Executive Order No. 198.17: Continuing the Declaration of Disaster Emergency in the Counties of Cayuga, Jefferson, Monroe, Niagara, Orleans, Oswego, St. Lawrence, and Wayne.
- 101 / Executive Order No. 202.110: Continuing Temporary Suspension and Modification of Laws Relating to the Disaster Emergency.

RULE MAKING ACTIVITIES

Each rule making is identified by an I.D. No., which consists of 13 characters. For example, the I.D. No. AAM-01-96-00001-E indicates the following:

AAM -the abbreviation to identify the adopting agency
01 -the *State Register* issue number
96 -the year
00001 -the Department of State number, assigned upon receipt of notice.
E -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Education Department

EMERGENCY RULE MAKING

Relates to the Licensure Requirements for Land Surveyors

I.D. No. EDU-13-21-00011-E

Filing No. 743

Filing Date: 2021-06-14

Effective Date: 2021-06-14

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 68.4, 68.6; addition of section 52.49 to Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 6504, 6507, 7203, 7204, 7206-a; L. 2019, ch. 322

Finding of necessity for emergency rule: Preservation of public health and general welfare.

Specific reasons underlying the finding of necessity: The proposed rule is necessary to implement Chapter 322 of the Laws of 2019 (Chapter 322), which became effective April 3, 2021. This amendment amends section 7206-a of the Education Law to, inter alia, update the education and experience requirements for licensure as a land surveyor to conform with national standards. Historically, the majority of land surveyors were licensed through an all experience pathway, instead of through an education and experience combination pathway. However, over time, the national land surveying licensure examinations have been updated to test at a bachelor's degree level of knowledge. Only seven of the 51 United States jurisdictions, including New York State, currently report having an all experience pathway for the licensure of land surveyors.

Nationally, it has been found that individuals who take the all experience pathway to land surveyor licensure are more likely to fail the national licensing examinations multiples times versus individuals who take the combination of education and experience pathway to licensure.

While this was a common practice for many years in numerous states, including New York State, the all experience pathway to licensure is being repealed in a number of jurisdictions for some or all of the aforementioned reasons. Chapter 322 phases out the all experience pathway to land surveyor licensure, in eight years, in order to provide individuals who are currently gaining experience to be licensed under this pathway the opportunity to complete it.

The proposed amendment was presented to the Professional Practice Committee for recommendation and to the Full Board for adoption as an emergency action at the March 2021 meeting of the Board of Regents. Since the Board of Regents meets at fixed intervals, the earliest the proposed rule can be presented for adoption, after expiration of the required 60-day public comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5), would be the July 12-13, 2021 Regents meeting. Furthermore, pursuant to SAPA section 203(1), the earliest effective date of the proposed rule, if adopted at the July meeting, would be July 28, 2021, the date the Notice of Adoption would be published in the *State Register*. However, Chapter 322 became effective April 3, 2021.

Therefore, a second emergency action is necessary at the June 2021 meeting for the preservation of the public health and the general welfare in order to enable the State Education Department to continue requirements to timely implement Chapter 322, so that the land surveyor licensure requirements continue to conform with national standards prior to adoption as a permanent rule and to ensure that the emergency action taken at the March meeting remains in effect until the rule can be permanently adopted.

It is anticipated that the proposed rule will be presented for adoption as a permanent rule at the July 12-13, 2021 Regents meeting, which is the first scheduled meeting after expiration of the 60-day public comment period prescribed in SAPA for State agency rule makings.

Subject: Relates to the Licensure Requirements for Land Surveyors.

Purpose: To implement the provisions of chapter 322 of the Laws of 2019 relating to the Licensure Requirements for Land Surveyors.

Text of emergency rule: 1. The Regulations of the Commissioner of Education is amended by adding a new section 52.49, to read as follows:

Section 52.49 Land Surveyor

In addition to meeting all the applicable provisions of this Part, to be registered as a program recognized as leading to licensure as a land surveyor, which meets the requirements of section 68.4 of this Title, the program shall be:

(a) an approved course of study in land surveying or a substantially equivalent program as determined by the department, which leads to a bachelor's degree or higher; or

(b) an approved course of study in land surveying which leads to an associate's degree; or

(c) an approved course of study which leads to an associate's degree or higher in engineering, math, or a related science or their substantial equivalent and includes a minimum of 15 semester hours that must include one course or its substantial equivalent in each of the following four (4) subject areas:

(1) survey I;

(2) survey II;

(3) boundary/survey law 1; and

(4) boundary/survey law 2.

(d) Notwithstanding subdivision (c) of this section, if after completion of all four courses or their substantial equivalent set forth in paragraphs (1)-(4) of subdivision (c) of this section, the minimum 15 semester hours are not gained, any additional courses or their substantial equivalent from any of the following seven subject areas shall satisfy the 15 semester hour requirements of this subdivision:

- (i) cadastral;
- (ii) geodesy;
- (iii) measurement/analysis;
- (iv) remote sensing;
- (v) digital surveying methods;
- (vi) route surveying; or
- (vii) photogrammetry and aerial photo interpretations.

2. Section 68.4 of the Regulations of the Commissioner of Education is amended, to read as follows:

Section 68.4. Professional study of land surveying

(a) [To meet the professional study requirement for licensure, the applicant shall present evidence of:

(1) possession of a bachelor's degree in land surveying or the equivalent from a program approved by the department for professional licensure purposes and four years of land surveying experience acceptable to the State Board; or

(2) completion of an approved secondary course of study; and

(i) for those applying prior to September 1, 1987, the equivalent of six years of land surveying experience acceptable to the board, provided that each complete year of study in a land surveying program approved by the department for professional licensure purposes may be accepted in lieu of one year of such experience to a maximum of two years; and

(ii) for those applying on or after September 1, 1987, the equivalent of eight years of land surveying experience acceptable to the board, provided that each complete year of study in a land surveying program approved by the department for professional licensure purposes may be accepted in lieu of one year of such experience to a maximum of four years.] *As used in this section, acceptable accrediting agency shall mean an organization accepted by the department as a reliable authority for the purpose of accrediting land surveying, engineering or other math and science programs as having reasonable accreditation standards and as an organization that applies its criteria for granting accreditation of programs in a fair, consistent, and nondiscriminatory manner.*

(b) To meet the professional study requirement for [certification as an intern land surveyor] licensure, the applicant shall present evidence of:

(1) [possession] completion of a bachelor's degree or higher in land surveying or the equivalent from a program approved by the department for professional licensure purposes and three years of land surveying experience acceptable to the department as recommended by the State Board; or

(2) [completion of an approved secondary course of study; and

(i) for those applying prior to September 1, 1987, the equivalent of six years of land surveying experience acceptable to the board, provided that each complete year of study in a land surveying program approved by the department for professional licensure purposes may be accepted in lieu of one year of such experience, to a maximum of two years; and

(ii) for those applying on or after September 1, 1987, the equivalent of eight years of land surveying experience acceptable to the board, provided that each complete year of study in a land surveying program approved by the department for professional licensure purposes may be accepted in lieu of one year of such experience, to a maximum of four years.] *completion of a bachelor's degree or higher in engineering, math, or related science with 15 credits of surveying course work acceptable to the department as recommended by the State Board as set forth in section 52.49 of this Title and four years of land surveying experience acceptable to the department as recommended by the State Board; or*

(3) completion of an associate's degree in land surveying and five-and-a-half years of land surveying experience acceptable to the department as recommended by the State Board; or

(4) completion of an associate's degree in engineering, math, or related science with 15 credits of land surveying course work acceptable to the department as recommended by the State Board as set forth in section 52.49 of this Title and six years of land surveying experience acceptable to the department as recommended by the State Board; or

(5) completion of an approved secondary course of study; and

(i) for those applying for licensure on or after September 1, 1987, the equivalent of eight years of land surveying experience acceptable to the department as recommended by the State Board, provided that all experience is completed prior to April 3, 2029 and provided that each full year of college study in engineering or land surveying in a program approved by the department for professional licensure purposes or a substantially equivalent program, as determined by the department upon the recommendation of the State Board, may be accepted in lieu of one year of such experience to a maximum of four years.

3. Section 68.6 of the Regulations of the Commissioner of Education is amended, to read as follows:

Section 68.6. Licensing examinations for land surveying

(a) An applicant for admission to the *fundamentals of surveying* [licensure] examination [in land surveying] shall: [have satisfied the professional study requirements set forth in section 68.4 of this Part, except

that an applicant for certification as an intern land surveyor may be admitted to Part 1 of the examination when such applicant is within 20 semester hours of completion of the requirements for a bachelor's degree in land surveying or the equivalent approved by the department for professional licensure purposes.]

(1) *be within 20 credits of graduation from a bachelor's degree program registered as leading to licensure in land surveying pursuant to section 52.49 of this Title, or accredited by an acceptable accrediting agency, or a bachelor's degree program that is substantially equivalent to such a registered or accredited program as determined by department as recommended by the State Board; or*

(2) *hold a bachelor's degree in engineering, math, or a related science or their substantial equivalent with 15 credits of surveying as set forth in section 52.49 of this Title and have completed one year of experience acceptable to the department as recommended by the State Board; or*

(3) *hold an associate's degree in surveying accredited by an acceptable accrediting agency, or an associate's degree program that is substantially equivalent to such accredited program as determined by the department and have completed two and a half years of experience acceptable to the department as recommended by the State Board; or*

(4) *hold an associate's degree in engineering, math, or related science or their substantial equivalent with 15 credits of surveying as set forth in section 52.49 of this Title and have completed three years of experience acceptable to the department as recommended by the State Board; and*

(b) *An applicant for admission to the principles and practice of surveying and the New York State jurisdictional surveying examinations shall:*

(1) have completed the education and experience requirements set forth in section 68.4 of this Title.

[(b)](c) Content. The examination shall consist of:

(1) Part 1 - Fundamentals of [Land] Surveying: mathematics, physics, English, surveying, property surveys and descriptions, vertical curves, state plane coordinate calculations, economic analysis; and

(2) Part 2 - Principles and Practice of [Land] Surveying: principles of profession (legal, technical, standards of professional conduct), property surveys, written instruments, monumentations, real property, field survey procedures, property survey descriptions, subdivision planning and design, deed descriptions and conveyancing, surveying law, geodetic surveying, hydrographic surveying; and

(3) Part 3 - Jurisdictional Examination, Surveying in New York State: examination in the practical and legal aspects of land surveying pertinent to New York State, the scope and content of which shall be determined by the State Board.

[(c)](d) After February 14, 1975, Fundamentals of Land Surveying, Part 1, replaces Fundamentals of Land Surveying, Mathematics; Principles and Practice of Land Surveying, Part 2, replaces Principles and Practice of Land Surveying, Surveying.

(1) Candidates who have established credit prior to February 14, 1975 for the mathematics part of the examination shall be examined in Part 2 and Part 3.

(2) Candidates who have established credit prior to February 14, 1975 for the surveying part of the examination shall be examined in Part 1 and Part 3.

(3) The grade retention provisions of section 59.5(f) of this Title shall not be applicable to land surveying examinations.

[(d)](e) Passing grade. The passing grade for each of the parts of the surveying examination taken prior to October 1, 1984 is 75.0; for parts taken on or after October 1, 1984, the passing grade shall be 70.0. Except for the Jurisdictional Examination, scores for the licensing examinations shall be reported on a pass/fail basis.

[(e)](f) Review and rescoring of examinations shall be conducted in accordance with section 59.5(g) of this Title.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. EDU-13-21-00011-EP, Issue of March 31, 2021. The emergency rule will expire August 12, 2021.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Section 207 of the Education Law grants general rule-making authority to the Board of Regents to carry into effect the laws and policies of the State relating to education.

Section 6504 of the Education Law authorizes the Board of Regents to supervise the admission to and regulation of the practice of the professions.

Paragraph (a) of subdivision (2) of section 6507 of the Education Law

authorizes the Commissioner of Education to promulgate regulations in administering the admission to and the practice of the professions.

Section 7203 of the Education Law defines the practice of land surveying.

Section 7204 of the Education Law establishes protection of the title "land surveyor."

Subdivision (1) of section 7206-a of the Education Law, as amended by Chapter 322 of the Laws of 2019, establishes the education, experience, examination, age and moral character requirements for applicants seeking licensure as a land surveyor, as well as establishes the fees required for both an initial license and subsequent triennial registrations.

Subdivision (2) of section 7206-a of the Education Law, as amended by Chapter 322 of the Laws of 2019, provides that an associate's degree in land surveying, or an associate's degree or higher in engineering, math, or related science with credits in land surveying acceptable to the State Education Department (Department) in lieu of the education requirements as otherwise specified in paragraph (2) of subdivision (1) of section 7206-a of the Education Law.

Subdivision (2-a) of Education Law 7206-a of the Education Law, as amended by Chapter 322 of the Laws of 2019, provides that for admittance to the fundamentals of surveying examination, the applicant shall fulfill the education, experience, and examination requirements in accordance with the Regulations of the Commissioner of Education (Commissioner's regulations). Subdivision (2-a) of Education Law 7206-a of the Education Law, as amended by Chapter 322 of the Laws of 2019, further provides that, upon the successful completion of the examination, an identification card as an "intern land surveyor" shall be issued by the Department and that the fee for examination qualification and identification card as an "intern land surveyor" shall be seventy dollars.

Subdivision (2-b) of section 7206-a of the Education Law, as added by Chapter 322 of the Laws of 2019, which expires and is deemed repealed as of April 3, 2029) provides that, in lieu of the degree and experience requirements specified in paragraphs (2) and (3) of subdivision (1) of section 7206-a, eight years of practical experience in work satisfactory to the Department may be accepted, provided that each full year of college study in engineering or land surveying satisfactory to the Department may at the discretion of the Department be accepted in lieu of one year of the required eight years of experience.

2. LEGISLATIVE OBJECTIVES:

The proposed rule is consistent with the above statutory authority and is necessary to conform Commissioner's regulations to Chapter 322 of the Laws of 2019 (Chapter 322), which amends section 7206-a of the Education Law, effective April 3, 2021, by, inter alia, updating the education and experience requirements for licensure as a land surveyor to conform with national standards.

The proposed rule implements Chapter 322 by:

- establishing the program registration requirements for land surveyor education programs, which include registration and curriculum requirements for licensure qualifying New York State land surveyor education programs;
- amending the land surveyor education requirements for licensure to conform with national standards; and
- amending the admission requirements for the fundamentals of surveying, principles and practice of surveying, and the New York State jurisdictional examinations.

3. NEEDS AND BENEFITS:

The proposed rule is necessary to conform the Commissioner's regulations to Chapter 322. Historically, the majority of land surveyors were licensed through an all experience pathway, instead of through an education and experience combination pathway. However, over time, the national land surveying licensure examinations have been updated to test at a bachelor's degree level of knowledge. Presently, there are six educational programs with land surveying programs/courses located throughout New York State.

While the all experience pathway to licensure was a common practice for many years in numerous states, including New York State, it is being repealed in a number of jurisdictions. Including New York State, there remain only seven of the 51 United States jurisdictions which currently report having an all experience pathway for the licensure of land surveyors. Nationally, it has been found that individuals who take the all experience pathway to land surveyor licensure are more likely to fail the national licensing examinations multiple times versus individuals who take the combination of education and experience pathway to licensure.

The proposed rule implements Chapter 322 by, inter alia, phasing out the all experience pathway to land surveyor licensure, in eight years, in order to provide individuals who are currently gaining experience to be licensed under this pathway the opportunity to complete it.

The purpose of the proposed rule is to update the education and experience requirements for land surveyor licensure to conform with national standards in order to protect the public by providing for a minimum standard level of competency.

4. COSTS:

(a) Costs to State government: The proposed rule implements statutory requirements and establishes standards as directed by statute, and will not impose any additional costs on State government beyond those imposed by the statutory requirements.

(b) Costs to local governments: There are no additional costs to local governments.

(c) Costs to private regulated parties: The proposed rule does not impose any additional costs to regulated parties beyond those imposed by statute. As required by Education Law § 7206-a(1)(8), applicants for licensure as land surveyors must pay a fee to the Department of \$135 for their initial license and a fee of \$210 for each triennial registration fee. Additionally, as required by Education Law § 7206-a(2-a), applicants for licensure seeking an identification card as an "intern land surveyor" must pay a fee of \$70 to the Department for the examination and identification card as an "intern geologist" and a \$70 fee for each reexamination. Higher education institutions that seek to register land surveyor education programs with the Department, including those in rural areas, may incur costs related to the development and maintenance of such education programs and their registration. It is anticipated such costs will be minimal because several higher education institutions are already offering courses that would or could, with adjustments, meet the registration requirements for a land surveying education program, and higher education institutions should be able to use their existing staffs and resources to revise their courses and curricula to meet the land surveyor education requirements.

(d) Cost to the regulatory agency: The proposed rule does not impose any additional costs on the Department beyond those imposed by statute. Any associated costs to the Department will be offset by the fees charged to applicants and no significant cost will result to the Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed rule implements Chapter 322, which amended section 7206-a of the Education Law, by updating the standards for individuals to be licensed to practice as land surveyors and establishing standards for professional land surveying education programs provided by institutions of higher education to ensure that only those properly educated and prepared to be land surveyors hold themselves out as such. The proposed rule does not impose any program, service, duty, or responsibility upon local governments.

6. PAPERWORK:

The proposed rule imposes no new reporting or other paperwork requirements beyond those imposed by the statute.

7. DUPLICATION:

The proposed rule is necessary to implement Chapter 322. There are no other state or federal requirements on the subject matter of the proposed rule. Therefore, the proposed rule does not duplicate other existing New York State or federal requirements.

8. ALTERNATIVES:

The proposed rule is necessary to conform the Commissioner's regulations to Chapter 322. There are no significant alternatives to the proposed rule available and none were considered.

9. FEDERAL STANDARDS:

Since there are no applicable federal standards, the proposed rule does not exceed any minimum federal standards for the same or similar subject areas.

10. COMPLIANCE SCHEDULE:

The proposed rule is necessary to conform the Commissioner's regulations Chapter 322. If adopted at the March 2021 Regents meeting by emergency action, the proposed amendment will become effective on April 3, 2021, which is the effective date of the statute. It is anticipated that regulated parties will be able to comply with the proposed rule by the effective date.

Regulatory Flexibility Analysis

1. EFFECT OF RULE:

The purpose of the proposed rule is to implement Chapter 322 of the Laws of 2019 (Chapter 322), which amends the Education Law, effective April 3, 2021, to, inter alia, update the education and experience requirements for licensure as a land surveyor to conform with national standards.

Chapter 322 also phases out the all experience pathway to land surveyor licensure, in eight years, in order to provide individuals who are currently gaining experience to be licensed under this pathway the opportunity to complete it. Although this pathway will expire on April 3, 2029, the licenses issued under it will not. The number of individuals who may be able to be licensed in New York State under the all experience pathway of the law, on or before April 3, 2029, is not available and is unknown. The number of these individuals who may be employed by a small business or local governments is also unknown.

2. COMPLIANCE REQUIREMENTS:

The proposed rule implements Chapter 322, which, inter alia, updates the education and experience requirements for licensure as a land surveyor to conform with national standards. These requirements include, but are

not limited to, professional education, experience and examination requirements. Individuals seeking licensure to practice in New York State will be required to submit an application with the State Education Department (Department) and meet all the requirements for licensure, which include, but are not limited to, the professional education, experience, and examination requirements specified in the proposed rule.

3. PROFESSIONAL SERVICES:

It is not anticipated that small businesses or local governments will need professional services to comply with the proposed rule.

4. COMPLIANCE COSTS:

The proposed rule does not impose any direct costs on small businesses or local governments. As required by Education Law § 7206-a(1)(8), applicants for licensure as land surveyors must pay a fee to the Department of \$135 for their initial license and a fee of \$210 for each triennial registration fee. Additionally, as required by Education Law § 7206-a(2-a), applicants for licensure seeking an identification card as an "intern land surveyor" must pay a fee of \$70 to the Department for the examination and identification card as an "intern land surveyor."

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule will not impose any new technological requirements on regulated parties, including those that are classified as small businesses or local governments, and the proposed rule is economically feasible. See above "Compliance Costs" for the economic impact of the regulation.

6. MINIMIZING ADVERSE IMPACT:

The proposed rule is necessary to implement the provisions of Chapter 322, which, *inter alia*, updates the education and experience requirements for licensure as a land surveyor to conform with national standards. These requirements include, but are not limited to, professional education, experience, and examination requirements. Chapter 322 authorizes the Department to define, in regulation, the standards to be met for licensure as a land surveyor. Individuals seeking licensure to practice in New York State will be required to submit an application to the Department and meet all the requirements for licensure, which include, but are not limited to, the professional education, experience and examination requirements specified in the proposed rule. The proposed fee structure was determined by the legislature to be the minimum needed to support additional costs. It is on par with fee structures in other professions. It was determined that the licensure of land surveyors who meet the minimum requirements established in the proposed rule best ensures the protection of the health and safety of the public.

7. SMALL BUSINESS AND LOCAL GOVERNMENT PARTICIPATION:

Statewide organizations representing all parties having an interest in the practice of land surveying, including the State Board for Engineering, Land Surveying and Geology, who have members that practice land surveying in a variety of geographic regions and who are members of various professional associations and groups, which include members who have experience in small business environments, and state and federal employees, were consulted and provided input into the development of the proposed rule and their comments were considered in its development.

8. INITIAL REVIEW OF RULE (SAPA § 207):

Pursuant to State Administrative Procedure Act section 207(1)(b), the State Education Department proposes that the initial review of this rule shall occur in the fifth calendar year after the year in which the rule is adopted, instead of in the third calendar year. The justification for a five year review period is that the proposed rule is necessary to implement statutory requirements in Chapter 322 and, therefore, the substantive provisions of the proposed rule cannot be repealed or modified unless there is a further statutory change. Accordingly, there is no need for a shorter review period. The Department invites public comment on the proposed five-year review period for this rule. Comments should be sent to the agency contact listed in item 10 of the Notice of Proposed Rule Making published herewith and must be received within 45 days of the State Register publication date of the Notice.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed rule will apply to all individuals seeking licensure as a land surveyor and to higher education institutions seeking to register land surveying education programs with the State Education Department (Department), including those located in the 44 counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square miles or less.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

As required by Chapter 322 of the Laws of 2019 (Chapter 322), which becomes effective April 3, 2021, the proposed rule updates the education and experience requirements for licensure as a land surveyor to conform with national standards.

Chapter 322 also phases out the all experience pathway to land surveyor licensure, in eight years, in order to provide individuals who are

currently gaining experience to be licensed under this pathway the opportunity to complete it.

In addition to updating the education and experience requirements for land surveyor licensure and gradually phasing out the all experience pathway to licensure, Chapter 322 makes conforming changes that allow practical experience satisfactory to the Department to be used to meet the experience requirements and modifies the fees for initial licensure and the triennial registration periods to reflect the fact that the examination is no longer administered by the Department. Additionally, Chapter 322 allows applicants with an associate's degree in land surveying, or an associate's degree or higher in engineering, math or a related science with credits in land surveying acceptable to the Department to apply for licensure without having to meet the education requirements currently specified in the Education Law if they obtain additional experience; and requires applicants to fulfill the education, experience, and examination requirements in accordance with the Regulations of the Commissioner of Education (Commissioner's regulations). Chapter 322 further provides that after passing the licensure examination, an intern land surveyor identification card will be issued by the Department to applicants.

The proposed addition of section 52.49 to the Commissioner's regulations establishes the program registration requirements for land surveyor education programs in accordance with the State statute. These requirements include registration and curriculum requirements for programs offered in New York State that lead to licensure as a land surveyor. The proposed amendment requires land surveying education programs to be: (1) an approved course of study in land surveying or a substantially equivalent program as determined by the Department, which leads to a bachelor's degree or higher; or (2) an approved course of study in land surveying which leads to an associate's degree; or (3) an approved course of study which leads to an associate's degree or higher in engineering, math, or a related science or their substantial equivalent and includes a minimum of 15 semester hours in the following four specified subject areas: (a) survey I; (b) survey II; (c) boundary/survey law 1; and (d) boundary/survey law 2, and if 15 semester hours are not gained in any of the four specified subject areas, any additional courses or their substantial equivalent from any of the following seven specified subject areas: (a) cadastral; (b) geodesy; (c) measurement/analysis; (d) remote sensing; (e) digital surveying methods; (f) route surveying; or (g) photogrammetry and aerial photo interpretations.

The proposed amendment to section 68.4 of the Commissioner's regulations amends the land surveyor education requirements for licensure by requiring: (1) completion of a bachelor's degree or higher in land surveying or the equivalent from a program approved by the Department as recommended by the State Board for professional licensure purposes and three years of land surveying experience acceptable to the Department as recommended by the State Board for Engineering, Land Surveying and Geology (State Board); (2) completion of a bachelor's degree or higher in engineering, math, or related science with 15 credits of surveying course work acceptable to the Department as recommended by the State Board as set forth in section 52.49 of the Commissioner's regulations and four years of land surveying experience acceptable to the Department as recommended by the State Board; (3) completion of an associate's degree in land surveying and five-and-a-half years of land surveying experience acceptable to the Department as recommended by the State Board; (4) completion of an associate's degree in engineering, math, or related science with 15 credits of land surveying course work acceptable to the Department as recommended by the State Board as set forth in section 52.49 of the Commissioner's regulations and six years of land surveying experience acceptable to the Department as recommended by the State Board; or (5) completion of an approved secondary course of study; and for those applying for licensure on or after September 1, 1987, the equivalent of eight years of land surveying experience acceptable to the Department as recommended by the State Board, provided that all experience is completed prior to April 3, 2021 and provided that each full year of college study in engineering or land surveying program approved by the department for professional licensure purposes may be accepted in lieu of one year of such experience to a maximum of four years.

The proposed amendment to section 68.6 of the Commissioner's regulations amends, among other things, the admission requirements for the fundamentals of surveying examination by requiring an applicant to: (1) be within 20 credits of graduation from a bachelor's degree program in surveying registered as leading to licensure pursuant to section 52.49 of the Commissioner's regulations, or accredited by an acceptable accrediting agency, or a bachelor's degree program that is substantially equivalent to such a registered or accredited program as determined by Department as recommended by the State Board; (2) hold a bachelor's degree in engineering, math, or a related science with 15 credits of surveying as set forth in section 52.49 of the Commissioner's regulations and have completed one year of experience acceptable to the Department as recommended by the State Board; (3) hold an associate's degree in surveying ac-

credited by an acceptable accrediting agency, or an associate's degree program that is substantially equivalent to such a registered or accredited program as determined by the Department and have completed two and a half years of experience acceptable to the Department as recommended by the State Board; or (4) hold an associate's degree in engineering, math, or related science with 15 credits of surveying as set forth in section 52.49 of the Commissioner's regulations and have completed three years of experience acceptable to the Department as recommended by the State Board.

Additionally, the proposed amendment to section 68.6 of the Commissioner's regulations requires an applicant for admission to the principles and practice of surveying and the New York State jurisdictional surveying examinations to have completed the education and experience requirements set forth in section 68.4 of the Commissioner's regulations.

The proposed rule will not require any higher education institution to offer an education program that leads to licensure as a land surveyor. The proposed rule will not impose any reporting, recordkeeping or other compliance requirements on higher education institutions in rural areas, unless they seek to register a land surveyor education program with the Department. Such higher education institutions will have reporting and record keeping obligations related to the development and maintenance of their land surveyor education programs, as well as the registration of such programs with the Department.

Individuals seeking licensure to practice in New York State will be required to submit an application to the Department and meet all the requirements for licensure, which include but are not limited to, the professional education, experience and examination requirements specified in the proposed rule.

The proposed rule will not impose any additional professional service requirements on entities in rural areas.

3. COSTS:

With respect to individuals seeking licensure as a land surveyor from the Department, including those in rural areas, the proposed rule does not impose any additional costs beyond those required by statute. As required by Education Law § 7206-a(1)(8), applicants for licensure as land surveyors must pay a fee to the Department of \$135 for their initial license and a fee of \$210 for each triennial registration fee. Additionally, as required by Education Law § 7206-a(2-a), applicants for licensure seeking an identification card as an "intern land surveyor" must pay a fee of \$70 to the Department for the examination and identification card as an "intern land surveyor."

Moreover, after the expiration of the all experience pathway to licensure on April 3, 2021, applicants for licensure as a professional geologist will incur the cost of an associate's degree-level or higher degree-level education.

The proposed rule will not require higher education institutions to offer education programs that prepare individuals for licensure as a land surveyor. However, higher education institutions that seek to register land surveyor education programs with the Department, including those in rural areas, may incur costs related to the development and maintenance of such education programs and their registration. It is anticipated that such costs will be minimal because several higher education institutions are already offering courses that would or could, with adjustments, meet the registration requirements for a land surveyor education program, and that higher education institutions should be able to use their existing staffs and resources to revise their courses and curricula to meet the land surveyor education requirements.

4. MINIMIZING ADVERSE IMPACT:

The proposed rule is necessary to implement the provisions of Chapter 322, which, inter alia, updates the education and experience requirements for licensure as a land surveyor to conform with national standards. Nor do they make exceptions for higher education institutions located in rural areas. Thus, the Department has determined that the proposed rule's requirements should apply to all individuals seeking licensure as a land surveyor and all higher education institutions seeking to register licensure qualifying land surveying education programs with the Department, regardless of the geographic location, to help insure continuing competency across the State. The Department has also determined that uniform standards for the Department's review of prospective licensure qualifying land surveyor education programs are necessary to ensure quality land surveying education in all parts of the State. Because of the nature of the proposed rule, alternative approaches for rural areas were not considered.

5. RURAL AREA PARTICIPATION:

Comments on the proposed rule were solicited from statewide organization representing parties having an interest in the practice of land surveying. These organizations included the State Board for Engineering, Land Surveying and Geology, who have member that practice land surveying in a variety of geographic regions and who are members of various professional associations and groups, as well as representatives from academia. These groups have members who live or work or provide land surveying services in rural areas.

6. INITIAL REVIEW OF RULE (SAPA § 207):

Pursuant to State Administrative Procedure Act section 207(1)(b), the State Education Department proposes that the initial review of this rule shall occur in the fifth calendar year after the year in which the rule is adopted, instead of in the third calendar year. The justification for a five year review period is that the proposed rule is necessary to implement statutory requirements in Chapter 322 and, therefore, the substantive provisions of the proposed rule cannot be repealed or modified unless there is a further statutory change. Accordingly, there is no need for a shorter review period. The Department invites public comment on the proposed five-year review period for this rule. Comments should be sent to the agency contact listed in item 10 of the Notice of Proposed Rule Making published herewith and must be received within 45 days of the State Register publication date of the Notice.

Job Impact Statement

The proposed rule is necessary to conform the Regulations of the Commissioner of Education (Commissioner's regulations) to Chapter 322 of the Laws of 2019 (Chapter 322). The proposed rule implements Chapter 322, which amends section 7206-a of the Education Law, effective April 3, 2021, to, inter alia, update the education and experience requirements for licensure as a land surveyor to conform with national standards. Chapter 322 phases out the all experience pathway to land surveyor licensure, in eight years, in order to provide individuals who are currently gaining experience to be licensed under this pathway the opportunity to complete it.

The proposed addition of section 52.49 to the Commissioner's regulations establishes the program registration requirements for land surveyor education programs in accordance with the State statute. These requirements include registration and curriculum requirements for programs offered in New York State that lead to licensure as a land surveyor. The proposed amendment requires land surveyor education programs to be: (1) an approved course of study in land surveying or a substantially equivalent program as determined by the Department, which leads to a bachelor's degree or higher; or (2) an approved course of study in land surveying which leads to an associate's degree; or (3) an approved course of study which leads to an associate's degree or higher in engineering, math, or a related science or their substantial equivalent and includes a minimum of 15 semester hours in the following four specified subject areas: (a) survey I; (b) survey II; (c) boundary/survey law I; and (d) boundary/survey law 2, and if 15 semester hours are not gained in any of the four specified subject areas, any additional courses or their substantial equivalent from any of the following seven specified subject areas: (a) cadastral; (b) geodesy; (c) measurement/analysis; (d) remote sensing; (e) digital surveying methods; (f) route surveying; or (g) photogrammetry and aerial photo interpretations.

The proposed amendment to section 68.4 of the Commissioner's regulations amends the land surveyor education requirements for licensure by requiring: (1) completion of a bachelor's degree or higher in land surveying or the equivalent from a program approved by the Department as recommended by the State Board for professional licensure purposes and three years of land surveying experience acceptable to the Department as recommended by the State Board for Engineering, Land Surveying and Geology (State Board); (2) completion of a bachelor's degree or higher in engineering, math, or related science with 15 credits of surveying course work acceptable to the Department as recommended by the State Board as set forth in section 52.49 of the Commissioner's Regulations and four years of land surveying experience acceptable to the Department as recommended by the State Board; (3) completion of an associate's degree in land surveying and five-and-a-half years of land surveying experience acceptable to the Department as recommended by the State Board; (4) completion of an associate's degree in engineering, math, or related science with 15 credits of land surveying course work acceptable to the Department as recommended by the State Board as set forth in section 52.49 of the Commissioner's regulations and six years of land surveying experience acceptable to the Department as recommended by the State Board; or (5) completion of an approved secondary course of study; and for those applying for licensure on or after September 1, 1987, the equivalent of eight years of land surveying experience acceptable to the Department as recommended by the State Board, provided that all experience is completed prior to April 3, 2029 and provided that each full year of college study in engineering or land surveying program approved by the department for professional licensure purposes may be accepted in lieu of one year of such experience to a maximum of four years.

The proposed amendment to section 68.6 of the Commissioner's regulations amends, among other things, the admission requirements for the fundamentals of surveying examination by requiring an applicant to: (1) be within 20 credits of graduation from a bachelor's degree program in surveying registered as leading to licensure pursuant to section 52.49 of the Commissioner's regulations, or accredited by an acceptable accrediting agency, or a bachelor's degree program that is substantially equivalent

to such a registered or accredited program as determined by Department as recommended by the State Board; (2) hold a bachelor's degree in engineering, math, or a related science with 15 credits of surveying as set forth in section 52.49 of the Commissioner's regulations and have completed one year of experience acceptable to the Department as recommended by the State Board; (3) hold an associate's degree in surveying accredited by an acceptable accrediting agency, or an associate's degree program that is substantially equivalent to such a registered or accredited program as determined by the Department and have completed two and a half years of experience acceptable to the Department as recommended by the State Board; or (4) hold an associate's degree in engineering, math, or related science with 15 credits of surveying as set forth in section 52.49 of the Commissioner's Regulations and have completed three years of experience acceptable to the Department as recommended by the State Board.

Additionally, the proposed amendment to section 68.6 of the Commissioner's regulations requires an applicant for admission to the principles and practice of surveying and the New York State jurisdictional surveying examinations to have completed the education and experience requirements set forth in section 68.4 of the Commissioner's regulations.

It is not anticipated that the proposed rule will increase or decrease the number of jobs to be filled because, among other things, Chapter 322 phases out the all experience pathway to licensure, in eight years, in order to provide individuals who are currently gaining experience to be licensed under this pathway the opportunity to complete it. Although this pathway will expire on April 3, 2029, the licenses issued under it will not. Therefore, the proposed rule will not have a substantial adverse impact on jobs and employment opportunities. Because it is evident from the nature of the proposed rule that it will not affect job and employment opportunities, no affirmative steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

EMERGENCY RULE MAKING

Addressing the COVID-19 Crisis

I.D. No. EDU-13-21-00014-E

Filing No. 742

Filing Date: 2021-06-14

Effective Date: 2021-06-14

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 100.4, 100.10 and 175.5 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 208, 209, 305, 1704, 3204, 3205, 3210, 3212, 3602 and 3604

Finding of necessity for emergency rule: Preservation of public health and general welfare.

Specific reasons underlying the finding of necessity: On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department presented, and the Board of Regents adopted, numerous emergency regulations to address issues resulting from interruptions caused by the COVID-19 crisis and to provide regulatory flexibility during the crisis. To address additional issues resulting from the interruptions caused by the COVID-19 crisis and to provide additional regulatory flexibility, the Department is proposing further emergency regulatory amendments as follows:

- Annual Home Instruction Assessment
 - The Department proposes to amend section 100.10(h)(2-a) of the Commissioner's regulations to extend the 2019-2020 home instruction annual assessment and alternative evaluation requirements exemption to the 2020-2021 school year to provide additional flexibility during the COVID-19 crisis and to provide parity to students receiving home instruction.
- Annual Instructional Hour Waiver
 - The Department proposes to amend section 175.5(n) of the Commissioner's regulations to remove the requirement that, in order to receive the annual instructional hour waiver described in such section, school districts must provide a plan to the Commissioner as to how it will comply with the instructional hour requirement in the 2022-2023 school year for all schools in the district. Additionally, the Department proposes to make a technical correction to such section to replace the reference to Education Law § 3604(8) with a reference to Education Law § 3604(7).

- Grade 8 acceleration for diploma credit

• Due to the COVID-19 crisis, the Department has adopted emergency regulations amending section 100.5 of the Commissioner's regulations providing for an exemption from the diploma requirements associated with Regents examinations and other assessments. Additionally, the Department has adopted emergency regulations amending section 100.4 of the Commissioner's regulations providing that for the 2019-2020 school year, 2020 summer school session, 2020-2021 school year and 2021 summer school session, where a principal, in consultation with relevant faculty, determines that a student has met the standards assessed in the provided coursework leading to the checkpoint A locally developed world language test, the district may choose to waive the test requirement and grant such student one unit of credit. Therefore, the Department proposes to amend section 100.4(d) of the Commissioner's regulations to provide that credit for an accelerated course in grade 8 may be awarded where a student passes the course and has been exempted from the associated Regents examination and/or checkpoint A locally developed world language test. Additionally, section 100.4(d) is amended to remove outdated assessment references (Attachment A #3).

The proposed amendment was presented to the P-12 Education Committee for recommendation to the Full Board for adoption as an emergency rule at the March 2021 meeting of the Board of Regents. Because the Board of Regents meets at scheduled intervals, the earliest the proposed amendments could be presented for regular (nonemergency) adoption, after publication in the State Register and expiration of the 60-day public comment period required in sections 201(1) and (5) of the State Administrative Procedure Act (SAPA) is the July 2021 Regents meeting. Furthermore, pursuant to SAPA 203(1), the earliest effective date of the proposed rule, if adopted at the July meeting, would be July 28, 2021, the date the Notice of Adoption would be published in the State Register. However, the March emergency rule will expire on June 13, 2021.

Therefore, a second emergency action is necessary at the June 2021 meeting, effective June 14, 2021, for the preservation of the public health and general welfare in order to immediately provide flexibility for certain regulatory requirements in response to the COVID-19 crisis and to ensure the emergency action taken at the March meeting remains in effect until the rule can be permanently adopted.

It is anticipated that the proposed rule will be presented for adoption as a permanent rule at the July 2021 Regents meeting, which is the first scheduled meeting after the 60-day public comment period prescribed in SAPA for State agency rule making.

Subject: Addressing the COVID-19 Crisis.

Purpose: To provide regulatory flexibility in response to the COVID-19 crisis.

Text of emergency rule: 1. Paragraph (2-a) of subdivision (h) of section 100.10 of the Regulations of the Commissioner of Education is amended to read as follows:

(2-a) Due to the State of emergency declared by the Governor pursuant to an Executive Order for the COVID-19 crisis, students shall be exempt from the annual assessment and alternative evaluation requirements of this subdivision for the 2019-20 and 2020-21 school [year] years where a student otherwise achieves the learning outcomes in accordance with their IHIP.

2. Paragraph (2) of subdivision (n) of section 175.5 of the Regulations of the Commissioner of Education is amended to read as follows:

(2) For the 2019-20 and 2020-21 school years, notwithstanding any other provision of this section to the contrary, any school district may be eligible for a waiver from the annual instructional hour requirement set forth in subdivision (c) of this section if the district is unable to meet such requirement as a result of an Executive Order(s) of the Governor pursuant to the State of emergency declared for the COVID-19 crisis, or pursuant to Education Law § [3604(8)] 3604(7), as amended by Chapter 107 of the Laws of 2020, or reopening procedures implemented as a result of the COVID-19 crisis, provided that such district meets the requirements outlined in subparagraphs (i)[, and (iii)], and (iv)] of paragraph (1) of subdivision (m) of this section.

3. Subdivision (d) of section 100.4 of the Regulations of the Commissioner of Education is amended to read as follows:

(d) Grade 8 acceleration for diploma credit.

(1) Public school students in grade 8 shall have the opportunity to take high school courses in mathematics and in at least one of the following areas: English, social studies, languages other than English, art, music, career and technical education subjects or science courses.

(2) Credit may be awarded for an accelerated course only when at least one of the following conditions has been met:

(i) accelerated students attend classes in a high school with high school students and pass the course on the same basis as the high school students. Credit is awarded by the high school; or

(ii) the student passes the course and the associated [State profi-

ciency examination] *checkpoint A locally developed world language test* or Regents examination, when available, *provided, however that a student shall be awarded credit for an accelerated course where such student passes the course and has been exempted from the associated Regents examination pursuant to paragraph (iv) of subdivision (a) of section 100.5 of this Part or from the associated checkpoint A locally developed world language test pursuant to paragraph (5) of subdivision (d) of section 100.2 of this Part due to the COVID-19 crisis.* The credit must be accepted as a transfer credit by all registered New York State high schools; or

(iii) in cases where no appropriate state assessment is available, the student passes a course in the middle, junior high or intermediate school that has been approved for high school credit by the public school district superintendent(s), or his or her designee(s), or the district(s) where the middle, junior high or intermediate school and the high school are located.

(3) Such opportunity shall be provided subject to the following conditions:

(i) The superintendent, or his or her designee, shall determine whether a student has demonstrated readiness in each subject in which he or she asks to begin high school courses in the eighth grade leading to a diploma.

(ii) A student shall be awarded high school credit for such courses only if such student passes a Regents examination, a [second language proficiency examination when available] *checkpoint A locally developed test*, [or a career and technical education proficiency examination,] or, if no such examinations are available, a locally developed examination that establishes student performance at a high school level as determined by the principal, *provided, however, that a student need not pass such an examination where the student has been exempted from a Regents examination pursuant to paragraph (iv) of subdivision (a) of section 100.5 of this Part or from a checkpoint A locally developed world language test pursuant to paragraph (5) of subdivision (d) of section 100.2 of this Part due to the COVID-19 crisis.*

(4) Courses taken pursuant to this subdivision may be substituted for the appropriate requirements set forth in subdivision (c) of this section.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. EDU-13-21-00014-EP, Issue of March 31, 2021. The emergency rule will expire August 12, 2021.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 continues existence of Education Department, with Board of Regents as its head, and authorizes Regents to appoint Commissioner of Education as Department's Chief Administrative Officer, which is charged with general management and supervision of all public schools and educational work of State.

Education Law § 207 empowers Regents and Commissioner to adopt rules and regulations to carry out State education laws and functions and duties conferred on the Department.

Education Law § 208 empowers the Regents to confer by diploma honorary degrees as they deem proper, establish examinations and award and confer suitable certificates, diplomas and degrees on persons who meet the prescribed requirements for such.

Education Law § 209 provides that the Regents shall establish, in secondary institutions, examinations in studies furnishing a suitable standard of graduation therefrom and of admission to colleges, and certificates or diplomas shall be conferred by the Regents to students who satisfactorily pass such examinations.

Education Law § 305 authorizes the Commissioner to enforce the educational policies of this State and execute all educational policies determined by the Regents and shall prescribe the licensing of teachers employed in this State.

Education Law § 1704(2) provides that no board of education shall provide for a school year consisting of fewer than one hundred eighty days of school.

Education Law § 3204 provides that a minor required to attend upon instruction may attend at a public school or elsewhere.

Education Law § 3205 provides that each minor from six to sixteen years of age in each school district or on an Indian reservation shall attend upon full time instruction.

Education Law § 3210 prescribes the amount and character of required attendance.

Education Law § 3212 defines persons in parental relation and their duties and duties of certain other persons.

Education Law § 3602 provides for the apportionment of public moneys to school districts employing eight or more teachers.

Education Law § 3604 enumerates conditions under which districts are entitled to the apportionment of state funds.

2. LEGISLATIVE OBJECTIVES:

The proposed amendments are consistent with the above statutory authority and are necessary to address numerous issues resulting from the interruptions caused by the COVID-19 crisis.

3. NEEDS AND BENEFITS:

On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department presented, and the Board of Regents voted to adopt, numerous emergency regulations to address issues resulting from interruptions caused by the COVID-19 crisis and to provide regulatory flexibility during the crisis. To address additional issues resulting from the interruptions caused by the COVID-19 crisis and to provide additional regulatory flexibility, the Department is proposing further emergency regulatory amendments as follows:

• Annual Home Instruction Assessment

o At its May 2020 meeting the Board adopted, as an emergency measure, amendments to the Commissioner's regulations providing an exemption to state assessment diploma requirements where students meet certain criteria due to the COVID-19 crisis. In order to provide flexibility during the COVID-19 crisis and to provide parity for students who receive home instruction, the Board adopted, as an emergency measure at its June 2020 meeting and as a permanent rule at its February 2021 meeting, amendments to section 100.10(h) of the Commissioner's regulations providing that for the 2019-20 school year, students who receive home instruction are exempted from the annual assessment and alternative evaluation requirements where they otherwise achieve the learning outcomes in accordance with their individualized home instruction plan (IHIP).

o At the March 2021 meeting, the Regents will vote to adopt proposed regulatory amendments to extend the state assessment diploma requirements exemptions through the 2020-21 school year due to the ongoing COVID-19 crisis. Therefore, the Department proposes to amend section 100.10(h)(2-a) of the Commissioner's regulations to extend the 2019-20 home instruction annual assessment and alternative evaluation requirements exemption to the 2020-21 school year to provide additional flexibility during the COVID-19 crisis and to provide parity to students receiving home instruction.

• Annual Instructional Hour Waiver

o At its February meeting, the Board of Regents voted to permanently adopt amendments to section 175.5 of the Commissioner's regulations to provide that for the 2019-2020 and 2020-2021 school years, school districts may be eligible for a waiver of the annual instructional hour requirement if the school district is unable to meet such requirement due to an Executive Order(s) of the Governor pursuant to the State of emergency declared for the COVID-19 crisis, or pursuant to Education Law § 3604(8), or due to reopening procedures implemented as a result of the COVID-19 crisis, provided that the district meets certain prescribed requirements.

o The Department now proposes to amend section 175.5(n) of the Commissioner's regulations to remove the requirement that, in order to receive the waiver described above, school districts must provide a plan to the Commissioner as to how it will comply with the instructional hour requirement in the 2022-2023 school year for all schools in the district. Additionally, the Department proposes to make a technical correction to such section to replace the reference to Education Law § 3604(8) with a reference to Education Law § 3604(7).

• Grade 8 acceleration for diploma credit

o Due to the COVID-19 crisis, the Department has adopted emergency regulations amending section 100.5 of the Commissioner's regulations providing for an exemption for the diploma requirements associated with Regents examinations and other assessments. Additionally, the Department has adopted emergency regulations amending section 100.4 of the Commissioner's regulations providing that for the 2019-2020 school year, 2020 summer school session, 2020-2021 school year and 2021 summer school session, where a principal, in consultation with relevant faculty, determines that a student has met the standards assessed in the provided coursework leading to the checkpoint A locally developed world language test, the district may choose to waive the test requirement and grant such student one unit of credit. Therefore, the Department proposes to amend section 100.4(d) of the Commissioner's regulations to provide that credit for an accelerated course in grade 8 may be awarded where a student passes the course and has been exempted from the associated Regents examination and/or checkpoint A locally developed world language test. Additionally, section 100.4(d) is amended to remove outdated assessment references.

4. COSTS:

- a. Costs to State government: The amendments do not impose any costs on State government.
- b. Costs to local government: The amendments do not impose any costs on local government.
- c. Costs to private regulated parties: The amendments do not impose any costs on private regulated parties.
- d. Cost to the regulatory agency: There are no additional costs to the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendment does not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendments are necessary to address issues resulting from the COVID-19 crisis and to provide flexibility for certain regulatory requirements in response to the COVID-19 crisis. There are no significant alternatives to the proposed amendments and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

If adopted as an emergency rule at the March 2021 Regents meeting, the proposed amendment will become effective as an emergency rule on March 16, 2021. It is anticipated that the proposed amendment will be presented for permanent adoption at the July 2021 Regents meeting, after publication of the proposed amendment in the State Register and expiration of the 60-day public comment period required under the State Administrative Procedure Act. If adopted at the July 2021 meeting, the proposed amendment will become effective as a permanent rule on July 28, 2021. It is anticipated that regulated parties will be able to comply with the proposed amendment by the effective date.

Regulatory Flexibility Analysis

(a) Small businesses:

The proposed rule relates to addressing issues resulting from the COVID-19 crisis and providing flexibility for certain regulatory requirements in response to the COVID-19 crisis. The proposed amendments do not impose any adverse economic impact, reporting, recordkeeping or any other compliance requirements on small businesses. Because it is evident from the nature of the proposed amendments that they do not affect small businesses, no further measures were needed to ascertain that fact, and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

(b) Local governments:

1. EFFECT OF RULE:

The purpose of the proposed amendments is to address issues resulting from the COVID-19 crisis and to provide flexibility for certain regulatory requirements in response to the COVID-19 crisis. The proposed amendments apply to each of the 695 public school districts in the State.

2. COMPLIANCE REQUIREMENTS:

On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department presented, and the Board of Regents voted to adopt, numerous emergency regulations to address issues resulting from interruptions caused by the COVID-19 crisis and to provide regulatory flexibility during the crisis. To address additional issues resulting from the interruptions caused by the COVID-19 crisis and to provide additional regulatory flexibility, the Department is proposing further emergency regulatory amendments as follows:

• Annual Home Instruction Assessment

o At its May 2020 meeting the Board adopted, as an emergency measure, amendments to the Commissioner's regulations providing an exemption to state assessment diploma requirements where students meet certain criteria due to the COVID-19 crisis. In order to provide flexibility during the COVID-19 crisis and to provide parity for students who receive home instruction, the Board adopted, as an emergency measure at its June 2020 meeting and as a permanent rule at its February 2021 meeting, amendments to section 100.10(h) of the Commissioner's regulations providing that for the 2019-20 school year, students who receive home instruction are exempted from the annual assessment and alternative evaluation requirements where they otherwise achieve the learning outcomes in accordance with their individualized home instruction plan (IHIP).

o At the March 2021 meeting, the Regents will vote to adopt proposed regulatory amendments to extend the state assessment diploma require-

ments exemptions through the 2020-21 school year due to the ongoing COVID-19 crisis. Therefore, the Department proposes to amend section 100.10(h)(2-a) of the Commissioner's regulations to extend the 2019-20 home instruction annual assessment and alternative evaluation requirements exemption to the 2020-21 school year to provide additional flexibility during the COVID-19 crisis and to provide parity to students receiving home instruction.

• Annual Instructional Hour Waiver

o At its February meeting, the Board of Regents voted to permanently adopt amendments to section 175.5 of the Commissioner's regulations to provide that for the 2019-2020 and 2020-2021 school years, school districts may be eligible for a waiver of the annual instructional hour requirement if the school district is unable to meet such requirement due to an Executive Order(s) of the Governor pursuant to the State of emergency declared for the COVID-19 crisis, or pursuant to Education Law § 3604(8), or due to reopening procedures implemented as a result of the COVID-19 crisis, provided that the district meets certain prescribed requirements.

o The Department now proposes to amend section 175.5(n) of the Commissioner's regulations to remove the requirement that, in order to receive the waiver described above, school districts must provide a plan to the Commissioner as to how it will comply with the instructional hour requirement in the 2022-2023 school year for all schools in the district. Additionally, the Department proposes to make a technical correction to such section to replace the reference to Education Law § 3604(8) with a reference to Education Law § 3604(7).

• Grade 8 acceleration for diploma credit

o Due to the COVID-19 crisis, the Department has adopted emergency regulations amending section 100.5 of the Commissioner's regulations providing for an exemption for the diploma requirements associated with Regents examinations and other assessments. Additionally, the Department has adopted emergency regulations amending section 100.4 of the Commissioner's regulations providing that for the 2019-2020 school year, 2020 summer school session, 2020-2021 school year and 2021 summer school session, where a principal, in consultation with relevant faculty, determines that a student has met the standards assessed in the provided coursework leading to the checkpoint A locally developed world language test, the district may choose to waive the test requirement and grant such student one unit of credit. Therefore, the Department proposes to amend section 100.4(d) of the Commissioner's regulations to provide that credit for an accelerated course in grade 8 may be awarded where a student passes the course and has been exempted from the associated Regents examination and/or checkpoint A locally developed world language test. Additionally, section 100.4(d) is amended to remove outdated assessment references.

3. PROFESSIONAL SERVICES:

The proposed amendment does not impose any additional professional services requirements on local governments.

4. COMPLIANCE COSTS:

The proposed amendment will not impose any additional costs on local governments beyond those imposed by statute.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any additional costs or technological requirements on local governments.

6. MINIMIZING ADVERSE IMPACT:

The proposed amendments are necessary to provide flexibility for certain regulatory requirements in response to the COVID-19 crisis. Accordingly, no alternatives were considered.

7. LOCAL GOVERNMENT PARTICIPATION:

Comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory district in the State and from the chief school officers of the five big city school districts.

Rural Area Flexibility Analysis

The purpose of the proposed amendments to the Regulations of the Commissioner of Education is to provide flexibility for certain regulatory requirements in response to the COVID-19 crisis. On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department presented, and the Board of Regents adopted, numerous emergency regulations to address issues resulting from interruptions caused by the COVID-19 crisis and to provide regulatory flexibility during the crisis. To address additional issues resulting from the interruptions caused by the COVID-19 crisis and to provide additional regulatory flexibility, the Department is proposing further emergency regulatory amendments as follows:

• Annual Home Instruction Assessment

• The Department proposes to amend section 100.10(h)(2-a) of the

Commissioner's regulations to extend the 2019-20 home instruction annual assessment and alternative evaluation requirements exemption to the 2020-21 school year to provide additional flexibility during the COVID-19 crisis and to provide parity to students receiving home instruction.

- Annual Instructional Hour Waiver
 - The Department proposes to amend section 175.5(n) of the Commissioner's regulations to remove the requirement that, in order to receive the annual instructional hour waiver described in such section, school districts must provide a plan to the Commissioner as to how it will comply with the instructional hour requirement in the 2022-2023 school year for all schools in the district. Additionally, the Department proposes to make a technical correction to such section to replace the reference to Education Law § 3604(8) with a reference to Education Law § 3604(7).
 - Grade 8 acceleration for diploma credit
 - The Department proposes to amend section 100.4(d) of the Commissioner's regulations to provide that credit for an accelerated course in grade 8 may be awarded where a student passes the course and has been exempted from the associated Regents examination and/or checkpoint A locally developed world language test. Additionally, section 100.4(d) is amended to remove outdated assessment references.

The proposed amendment provides flexibility for certain regulatory requirements during the COVID-19 crisis. Thus, the proposed amendment does not adversely impact entities in rural areas of New York State. Accordingly, no further steps were needed to ascertain the impact of the proposed amendment on entities in rural areas and none were taken. Thus, a rural flexibility analysis is not required and one has not been prepared.

Job Impact Statement

The purpose of the proposed amendments is to provide flexibility for certain regulatory requirements in response to the COVID-19 crisis. The proposed amendment provides flexibility related to the following:

- Annual Home Instruction Assessment
 - The Department proposes to amend section 100.10(h)(2-a) of the Commissioner's regulations to extend the 2019-20 home instruction annual assessment and alternative evaluation requirements exemption to the 2020-21 school year to provide additional flexibility during the COVID-19 crisis and to provide parity to students receiving home instruction.
- Annual Instructional Hour Waiver
 - The Department proposes to amend section 175.5(n) of the Commissioner's regulations to remove the requirement that, in order to receive the annual instructional hour waiver described in such section, school districts must provide a plan to the Commissioner as to how it will comply with the instructional hour requirement in the 2022-2023 school year for all schools in the district. Additionally, the Department proposes to make a technical correction to such section to replace the reference to Education Law § 3604(8) with a reference to Education Law § 3604(7).
 - Grade 8 acceleration for diploma credit
 - The Department proposes to amend section 100.4(d) of the Commissioner's regulations to provide that credit for an accelerated course in grade 8 may be awarded where a student passes the course and has been exempted from the associated Regents examination and/or checkpoint A locally developed world language test. Additionally, section 100.4(d) is amended to remove outdated assessment references.

Because it is evident from the nature of the proposed amendment that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

Assessment of Public Comment

The agency received no public comment.

Department of Environmental Conservation

PROPOSED RULE MAKING HEARING(S) SCHEDULED

Product Stewardship and Product Labeling

I.D. No. ENV-26-21-00003-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Repeal of Part 368; addition of new Part 368 to Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 1-0101, 3-0301, 27-0717, 27-2103, 27-2111, 27-2615, art. 27

Subject: Product Stewardship and Product Labeling.

Purpose: Expand, strengthen and clarify existing regulations to establish consistency with Federal and State requirements.

Public hearing(s) will be held at: 10:00 a.m. and 2:00 p.m., on Wednesday, September 8, 2021, via electronic webinar.

Instructions on how to "join" the hearing webinar and provide an oral statement will be published on the Department's proposed regulations webpage for 6 NYCRR Part 368 by June 30, 2021. The proposed regulations webpage for 6 NYCRR Part 368 may be accessed at: <https://www.dec.ny.gov/regulations/propregulations.html>

Persons who wish to receive the instructions by mail or telephone may call the Department at (518) 402-9003. Please provide your first and last name, address, and telephone number and reference the Part 368 public comment hearing.

The Department will provide interpreter services for hearing impaired persons, and language interpreter services for individuals with difficulty understanding or reading English, at no charge upon written request submitted no later than Wednesday, August 18, 2021. The written request must be addressed to ALJ Olivieri, DEC Office of Hearings and Mediation Services, 625 Broadway, 1st Fl., Albany, NY 12233-1550 or emailed to ALJ Olivieri at ohms@dec.ny.gov

Interpreter Service: Interpreter services will be made available to hearing impaired persons, at no charge, upon written request submitted within reasonable time prior to the scheduled public hearing. The written request must be addressed to the agency representative designated in the paragraph below.

Accessibility: All public hearings have been scheduled at places reasonably accessible to persons with a mobility impairment.

Substance of proposed rule (Full text is posted at the following State website: <http://www.dec.ny.gov/regulations/propregulations.html>): The proposed rulemaking repeals and replaces the State's existing Part 368 Recycling Emblems regulations and creates new Part 368 Product Stewardship and Product Labeling regulations, that will: (i) modify the existing regulations for recycling labeling (Subpart 368-1); (ii) develop new regulations for mercury-added consumer product labeling (Subpart 368-2); and (iii) develop new regulations for the registration and reporting of covered electronic equipment (CEE) manufacturers and collective electronic waste acceptance programs, as well as the operation of electronic waste acceptance programs (Subpart 368-3). The proposed Subpart 368-3 rulemaking also regulates the registration, collection, recycling, reuse, reporting, and closure (if applicable) of electronic waste recycling facilities, consolidation facilities, collection sites, collectors, and collection events. Clarification of the requirements for retailers is addressed as well.

Subpart 368-1 Recycling Labeling

A new Subpart 368-1 is proposed to establish consistency with existing federal and state guidance and requirements, as well as to better inform consumers about proper end-of-life management of their products. The proposed Subpart 368-1 puts forth new definitions in Section 368-1.2 for package, person and product, to provide clarity and specificity to this Subpart. Standards for "recyclables", "recycled" and "reusable" are each identified and specify that a person may only use these terms on a product or package that is in conformance with sections 260.12, 260.13 and 260.14 of the Federal Trade Commission's "Guides for the Use of Environmental Marketing Claims" published in 16 CFR Part 260. This will provide consistency with federal guidelines.

Subpart 368-2 Mercury-Added Consumer Products Labeling

A new Subpart 368-2 is proposed to establish consistency with existing federal and state guidance and requirements, as well as to inform consumers about the content of their products. The proposed Subpart 368-2 puts forth new definitions in Section 368-2.2 for consumer, distributor, manufacturer, mercury-added consumer product, package, person and retailer, to provide clarity and specificity to this Subpart. Section 368-2.3 of the proposed regulations requires mercury-added consumer products sold or offered for sale in New York State by a distributor or retailer to be labeled by the manufacturer and must clearly and conspicuously inform the consumer that mercury is present in the product and that the product cannot be disposed of or placed with waste destined for disposal as municipal solid waste until the mercury is removed and reused, recycled or otherwise managed to ensure that mercury does not become part of solid waste, contaminate waste water or is released to the environment unless an alternative label has been approved by the Department. The proposed regulations also establish a procedure for department-approval of alternative labeling upon request by a manufacturer.

Subpart 368-3 Electronic Waste Collection, Recycling and Reuse

A new Subpart 368-3 is proposed to clarify and strengthen the existing provisions of the NYS Electronic Equipment Recycling and Reuse Act (EERRA) for all participating stakeholders, to improve overall program performance, to increase consumer recycling opportunities, and to empha-

size CEE manufacturers' responsibility for all costs associated with the implementation of their electronic waste acceptance programs.

The proposed Subpart 368-3 puts forth new definitions in Section 368-3.2 for business consumer, collective, ECL, electronic waste acceptance program, electronic waste collection event, material change, and premium service; all of which the EERRA referred to, but did not define. A new definition for an electronic waste collector, and subsequent registration and reporting requirements (Section 368-3.11), are also introduced in an effort to address weight collected in the State that is received from NYS consumers, yet unreported to the department and does not contribute to the statewide goal. The definitions of computer, computer peripheral, and small electronic equipment have been updated to include a listing of products on the market (such as an e-readers, external hard drives, digital picture frames, and internet streaming devices, etc.) that have been determined by the department to meet the definition of those types of CEE, but were not expressly stated in statute. The addition of new definitions and updates to existing definitions provide clarity to all stakeholders.

CEE manufacturer requirements are strengthened in Section 368-3.3 of this proposed Subpart, to clarify program policies and procedures, as well as to improve compliance with the intent of the EERRA. The proposed regulations: set a clear procedure outlining manufacturers' responsibility and obligations in the event of brand sales or transfers; add a requirement for a manufacturer to notify retailers of its brand registration at least annually; clarify to manufacturers under which circumstances they may request withdrawal, how to submit the request for withdrawal from the program, and outline the reporting and program requirements for subsequent years; reiterate the department's authority to revoke a registration for non-compliance and outline the manufacturer's subsequent years' reporting and program requirements for a manufacturer that has had its registration revoked. The proposed regulations also strengthen the requirement for all costs associated with the collection, handling and recycling and reuse of electronic waste to be covered by the manufacturer, by expressly prohibiting charges to all persons involved in the implementation of the acceptance program's methods of acceptance.

Section 368-3.6 of the proposed regulations establish rules for credit transactions, including use, purchase, sale and transfer; provide guidance to manufacturers regarding the procedure and general requirements for requesting a surcharge waiver; and establish an invoice procedure for payment within 30 days of receipt of a recycling surcharge invoice, if applicable. Cathode ray tubes (CRTs) continue to be a large portion of the residential electronic waste stream, are costly to recycle, and their collection is often discouraged by manufacturer/collective programs. The proposed regulations help ensure effective acceptance of CRT-containing electronic waste by setting minimum percentage collection requirements of televisions/monitors for television/monitor manufacturers, and establish a surcharge for any television/monitor collection shortfall, which will apply even if the manufacturer meets its acceptance standard.

Section 368-3.4 of the proposed regulations establish specific requirements for collectives, where the EERRA provided little information, to clarify existing program policies and procedures. The proposed regulations detail a collective's responsibility for meeting the same requirements as a CEE manufacturer in the provision of its electronic waste acceptance program as well. The process for collective registration withdrawal and revocation has been clarified, and a requirement for a collective to notify its participating manufacturers of its request to withdraw from the program has been proposed.

Whether offered individually by a manufacturer, or on multiple manufacturers' behalves by a collective, electronic waste acceptance programs must meet the same requirements. Acceptance methods provided are required to be free and convenient to consumers. Section 368-3.5 of the proposed regulations establishes convenience criteria for manufacturer and collective acceptance program acceptance methods to maximize consumer participation. For example, mailback programs must be completely free to consumers, including the provision of free packaging material, shipping and handling. Also, if there are restrictions on a mailback program such as weight/size, etc., the program must offer an additional convenient method. If the program's mailback carrier drop-off location is not convenient as defined in the EERRA or if no other free and convenient acceptance method is offered, then the mailback program must offer free pick-up at the consumer's location. Permanent collection locations will be required to accept electronic waste from consumers during normal business hours, and collection events will be required to accept electronic waste from consumers at a time, date, and for a duration appropriate to the location held. The proposed regulations require acceptance programs to notify its program partners of their participation in its program and its acceptance methods, as well as of their responsibilities under the EERRA.

The proposed regulations further improve the operation of acceptance methods offered to consumers in several ways. Electronic waste acceptance programs' requirement to provide same-type acceptance, whereby

all acceptance methods offered by an acceptance program must collect all types of a manufacturer's or its participating manufacturers' (in the case of collectives) CEE is clarified, as is the meaning of collection at no charge. A manufacturer or collective is responsible for all costs, including, but not limited to, costs for the collection, handling, transportation, and recycling or reuse of electronic waste, including incidentally broken or damaged electronic waste, incurred by all persons involved in the implementation of its acceptance program. In addition, the proposed regulations outline the requirement for operating a continuous program, rather than a pounds-purchasing program, and expressly state that a manufacturer or its representing collective may not stop acceptance once its minimum standard has been achieved. Acceptance programs will require monitoring and tracking for effectiveness, and if underperforming, modification to the program or the provision of additional methods of acceptance will be required under Section 368-3.5.

The EERRA's public education program requirements are minimal, which has resulted in inadequate consumer awareness. The proposed regulations expand the acceptance program's public education program requirements to encourage program use by: requiring websites to be easily accessible and regularly updated; requiring the program to provide resolution to telephone inquiries within 72 hours; and to deliver a minimum of two public service announcements to consumers about the program annually.

Requirements for retailers of CEE selling into the State are expanded in Section 368-3.7 of the proposed regulations to require the retailer to provide a current list of CEE brands offered for sale in the State to the department upon request. This will assist the department in determining if, and where unregistered or non-compliant brands are being sold.

The proposed regulations outline and clarify the registration, operational, annual reporting, withdrawal and revocation requirements for electronic waste collection sites (Section 368-3.8), consolidation facilities (Section 368-3.9), and recycling facilities (Section 368-3.10). For collection sites, the proposed regulations expand the security requirements of providing a means to control entry to the site, as well as provide training to employees on the proper handling procedures for potentially hazardous material similarly to the current requirements for consolidation and recycling facilities under the EERRA. For recycling facilities, the regulations add tracking and labeling requirements for electronic waste, which will aid both the department and facility in determining if waste has been removed from the site within a year as required by the EERRA. The proposed regulations add closure requirements for both sites and facilities, and require recycling facilities to maintain a closure plan, including a closure cost estimate provided by an independent third-party. The recycling facility must also provide financial assurance sufficient to cover the closure cost estimate provided in the facility's closure plan under the proposed regulations.

Section 368-3.12 of the proposed regulations adds a requirement for any entity holding an electronic waste collection event, whether the entity is registered or not, to notify the department and the involved municipality of the activity in advance. Advanced notification to the department and municipality will result in increased awareness of the recycling/reuse opportunities available to consumers that may or may not be offered and advertised as part of a manufacturer's or collective's electronic waste acceptance program.

Lastly, proposed Section 368-3.13 requires electronic waste transporters and waste disposal facilities to annually notify its users of the proper management of electronic waste to improve consumer awareness. EERRA currently allows only a one-time notification.

Text of proposed rule and any required statements and analyses may be obtained from: Katie Kidalowski, Division of Materials Management, Department of Environmental Conservation, 625 Broadway, Albany, NY 12233-7253, (518) 402-8706, email: katie.kidalowski@dec.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: September 15, 2021.

Additional matter required by statute: Pursuant to Article 8 of the State Environmental Quality Review Act, a Short Environmental Assessment Form, a Negative Declaration and a Coastal Assessment Form have been prepared and are on file.

Summary of Regulatory Impact Statement (Full text is posted at the following State website: <http://www.dec.ny.gov/regulations/propregulations.html#public>): The proposed rulemaking repeals and replaces the existing regulations for Recycling Emblems, develops new regulations for mercury-added consumer product labeling and develops new regulations for the existing Electronic Equipment Recycling and Reuse Act (EERRA). The proposed regulations will apply statewide.

The Department of Environmental Conservation's (Department) statutory authority to undertake development of regulations concerning recycling labeling, mercury-added consumer product labeling and electronic waste management is found in the Environmental Conservation

Law (ECL) sections 1-0101, 3-0301, 27-0717, 27-2103, 27-2111, and 27-2615.

LEGISLATIVE OBJECTIVES

The objective of this proposal as it pertains to recycling labeling and labeling mercury-added consumer products is to be consistent with existing federal and state guidance and industry standards.

The objectives of the electronic waste portion of rulemaking are to:

- provide clarity to the existing provisions of the EERRA for all participating stakeholders, to improve overall program performance, and increase recycling opportunities;
- strengthen key provisions of the EERRA to address the challenges faced by stakeholders; and
- emphasize the manufacturer's responsibility for all costs associated with the implementation of its acceptance program.

NEEDS AND BENEFITS

Existing recycling labeling regulations are outdated and require replacement to provide for consistent labeling of packaging and products as "recycled," "recyclable" and "reusable". Additionally, there is a need to create regulations that clarify and provide specific requirements in compliance with the Mercury-Added Consumer Products Law, section 27-2103 of the ECL. The recycling labeling changes and additional mercury-added consumer products labeling provisions should help manufacturers, as well as federal regulators, ensure that standards and guidance are consistent with federal guidelines. For both recycling labeling and mercury-added consumer products labeling, there is a benefit to properly labeling products, informing consumers about the content of the product and the proper end-of-life management. This will avoid disposal of mercury-added consumer products.

Challenges faced by stakeholders necessitate the need to promulgate regulations for a consistent and clear electronic waste recycling program. The goal of promulgating regulations is to provide consistent labeling terms and requirements for manufacturers as well as ensure that consumers are provided a free and convenient electronic waste management program.

The following outlines the significant provisions of regulations organized by newly assigned Subparts:

Subpart 368-1

Recycling Labeling Standards

- Terms for "recyclables", "recycled" and "reusable" are identified and the standards specify that a person may only use these terms on a product or package that is in conformance with sections 260.12, 260.13 and 260.14 of the Federal Trade Commission's "Guides for the Use of Environmental Marketing Claims" published in 16 CFR Part 260. This will provide consistency with federal guidelines.

Subpart 368-2

Mercury-Added Consumer Product Labeling Standards

- Mercury-added consumer products sold or offered for sale in the State by a distributor or retailer must be labeled by the manufacturer and must clearly and conspicuously inform the consumer that mercury is present in the product and that the product cannot be disposed of or placed with waste destined for disposal as municipal solid waste until the mercury is removed and reused, recycled or otherwise managed to ensure that mercury does not become part of solid waste, contaminate waste water or is released to the environment unless an alternative label has been approved by the Department.

Alternative Labeling Approval

- The Department may approve alternative labeling, including package labeling, for mercury-added consumer products, upon the manufacturer's submission of approval of the label in another state, a written request for alternative labeling documenting that a product or class of products cannot reasonably be labeled to comply with these requirements; and an alternative labeling plan that describes how the proposed alternative labeling will address the intent of the regulations. Approvals are valid for four years and may be renewed by the department upon request of the manufacturer.

Subpart 368-3

Electronic Waste Collection, Recycling, and Reuse

Definitions were introduced or expanded within the proposed regulations for clarity.

Manufacturer requirements

The proposed regulations:

- strengthen the requirements for manufacturers' responsibility for all costs associated with the implementation of their acceptance programs.
- set a procedure outlining manufacturers' responsibility regarding brand sale/transfer.
- require retailer notification of brand registration annually, as well as each time a manufacturer offers a new brand of CEE for sale with that retailer.
- clarify the registration withdrawal process.
- reiterate the department's authority to revoke a registration.

Collective Requirements

The proposed regulations:

- add requirements for registration, annual reporting and management of a compliant acceptance program.
- clarify the registration withdrawal process.
- reiterate the department's authority to revoke a registration.

Electronic Waste Acceptance Program

The proposed regulations:

- require that acceptance programs accept the same type of a manufacturer's or manufacturers' CEE through all acceptance methods offered by an acceptance program.
- establish criteria for convenient acceptance methods.
- expand the requirements for a manufacturer's public education program.
- ensure effective acceptance of CRT-containing electronic waste by setting minimum collection requirements for television/monitor manufacturers and establish a surcharge for under-collection.

Credits and Surcharges

The proposed regulations:

- establish rules for credit transactions.
- provide guidance regarding the procedure and general requirements for requesting a surcharge waiver.

Retailer requirements

- The proposed regulations require retailers to provide a current list of CEE manufacturers and brands offered for sale in the State to the department or its authorized agents upon request.

Electronic waste collection site, consolidation and recycling facility requirements

The proposed regulations:

- outline and clarify the registration, operational, annual reporting, withdrawal and revocation, and closure requirements.
- expand the training and security requirements for collection sites similarly to those of facilities.

- require recycling facilities to test and certify within one year of receipt that CEE removed from the waste stream for reuse is in good working condition for the same purpose it was manufactured. CEE tested and certified to be in good working order, must be sold or donated within one year of certification.

- detail the steps a site or facility has to take before its registration with the department is formally withdrawn. A requirement for recycling facilities to obtain financial assurance has been added to the proposed regulations as well to address potential facility abandonment.

- clarify the registration withdrawal process.

- reiterate the department's authority to revoke a registration.

Electronic waste collector

- The proposed regulations add a new regulated entity, electronic waste collector, and establish a reporting requirement for electronic waste collected from State consumers. Electronic waste from State consumers may be accepted for recycling by a collector that is not a registered entity. The department is aware of weight reported through acceptance programs. This omits a potentially significant amount of electronic waste collected from State consumers, that is unreported to the department, and therefore, the weight does not contribute to the Statewide Goal.

Electronic waste collection event

- The proposed regulations add a requirement for entities holding an electronic waste collection event, whether the entity is registered or not, to notify the department and the applicable municipality of the activity in advance. Advanced notification to the department and municipality will result in increased awareness of the recycling/reuse opportunities available to consumers that may or may not be offered and advertised as part of an acceptance program.

Waste transporter and waste management facility requirements

- The EERRA currently allows electronic waste transporters and waste disposal facilities to notify its users of the proper management of electronic waste once. The proposed regulations require annual notification.

COSTS

The proposed repeal and replacement of the regulation for recycling labeling and addition of regulation for mercury-added consumer product labeling are not expected to cause any increased costs to manufacturers since they should already be complying with these requirements either through existing federal guidelines or other industry standards.

Under the proposed electronic waste regulations, collection sites will be required to train staff on the proper handling of electronic waste and control entry to the active portion of the site. Costs should be negligible.

The proposed regulations add closure requirements and the requirement for recycling facilities to obtain financial assurance to address potential facility abandonment. Financial assurance costs will vary. The proposed regulations require facilities to implement an electronic waste tracking system as well as label electronic waste with the date received. Costs for these activities are expected to be minimal and might already be part of a facility's standard operating procedure.

The proposed regulations clarify and strengthen requirements for the provision of a free and convenient acceptance program to consumers as originally intended by the Act, which may result in increased cost to manufacturers. Manufacturers and collectives will be required to enhance their acceptance programs in all the following areas: mail-back, television/monitor acceptance, public education and outreach.

The cost to the State lies within the department, for implementation and administration of the regulatory program. The primary cost impact will be with initial increased staff time needed to provide technical assistance to the regulated communities.

LOCAL GOVERNMENT MANDATES

This proposal does not directly mandate the expenditure of funds by local government.

PAPERWORK

The proposed rulemaking does not establish additional paperwork for manufacturers regarding the use of recycling labeling.

For mercury-added consumer product labeling, a simple process for seeking approval from the Department for alternate labeling has been established and would require minimal paperwork for anyone seeking that approval.

The proposed rulemaking for the electronic waste program will establish additional paperwork requirements for regulated entities in the areas of registration withdrawal requests and collection event notifications. It imposes additional paperwork requirements on manufacturers, related to requests for waivers of recycling surcharges and retailer notification. Electronic waste facilities implementing new electronic waste tracking systems as a result of the proposed regulations will see additional paperwork as well.

DUPLICATION

The proposed regulations are not intended to duplicate any other federal or state regulations or statutes. The proposed regulations for recycling labeling and mercury-added consumer product labeling are consistent with existing federal guidelines and industry standards. The electronic waste recycling and reuse proposed regulations are intended to clarify the requirements of ECL Article 27, Title 26.

ALTERNATIVES

For recycling labeling, the no action alternative would continue to allow outdated requirements for recycling labeling to remain in place causing confusion for existing manufacturers and a lack of consistency with federal guidelines.

For mercury-added consumer product labeling, the no-action alternative would continue causing confusion or lack of knowledge of the legal requirements for existing manufacturers.

For electronic waste, the no-action alternative was rejected because manufacturers are not absorbing all costs as the EERRA intended, and consequently, costs for managing electronic waste are passed on to recyclers, municipalities and consumers. Another reason the no-action alternative was rejected was to address shortcomings in the EERRA such as electronic waste leaving the State not currently being reported.

FEDERAL STANDARDS

For recycling labeling, there are Federal Trade Commission guidelines for the use of Environmental Marketing Claims. The existing regulations were not completely consistent with these guidelines. This proposal creates consistency between the State requirements and these federal guidelines.

For mercury-added consumer product labeling, there are no current federal regulations that address mercury-added consumer product labeling.

For electronic waste management, there are no federal regulations for most of the regulated entities contained in the proposed rulemaking. However, facilities are required to meet the requirements of the "CRT Rule" promulgated by the United States Environmental Protection Agency (USEPA) at 40 Codes of Federal Regulation (CFR) 261.39 (71 Federal Register (FR) 42928-42949, July 28, 2006).

COMPLIANCE SCHEDULE

For Subparts 368-1 and 368-2, compliance will be required on the effective date of the proposed regulation.

For Subpart 368-3, existing registered entities, including manufacturers, collectives, and electronic waste collection sites, consolidation facilities, and recycling facilities will be required to comply one hundred and eighty (180) days after the effective date of the proposed regulation. For all other regulated entities, compliance will be required upon the effective date of the proposed regulation.

INITIAL REVIEW OF RULE

The department will conduct an initial review of the rule within three years as required by SAPA § 207.

Regulatory Flexibility Analysis

The proposed rulemaking repeals and replaces the existing regulations for recycling emblems, develops new regulations for mercury-added consumer product labeling and develops new regulations for registration, electronic waste acceptance programs, and reporting of covered electronic

equipment (CEE) collected by manufacturer and collective electronic waste acceptance programs. The proposed rulemaking for electronic waste also regulates the registration, collection, recycling, reuse, reporting, and closure (if applicable) of electronic waste recycling facilities, consolidation facilities, collection sites, collectors, and collection events. Additional clarification of the requirements for retailers are addressed as well.

1. EFFECT OF RULE:

The proposed rulemaking is not expected to significantly affect small business and local governments. The modifications to the requirements for recycling labeling will enhance the regulations to create consistency with existing Federal Trade Commission guidance. The addition of labeling requirements for mercury-added consumer products is proposed to implement the existing law and to create consistency with standards implemented in a number of other states.

For electronic waste, the proposed rulemaking clarifies and strengthens provisions of the Electronic Equipment Recycling and Reuse Act (EERRA) to help improve collection of electronic waste and overall program performance. The proposed regulations impose several new requirements affecting local governments providing electronic waste collection and/or consolidation, as well as regulated small businesses (e.g., collection sites, consolidation facilities, recycling facilities, collectors, retailers, etc.), which are outlined in the following section. The proposed electronic waste regulations also attempt to address some of the pressing issues identified by and impacting local governments and small businesses directly. For example, emphasis is placed on manufacturers' statutory responsibility for all costs associated with the implementation of their acceptance program. This includes the costs for the collection, handling, transportation, and recycling or reuse of electronic waste incurred by all persons involved in the implementation of a manufacturer's acceptance program.

2. COMPLIANCE REQUIREMENTS

There are no new compliance requirements proposed under the recycling labeling or mercury-added consumer product labeling sections that would be applicable to local businesses. In addition, any compliance requirements for small businesses under these two sections would be consistent with standards and guidance already in place.

There would be no additional paperwork requirements for regulated entities under the recycling labeling requirements.

For the mercury-added consumer product labeling requirements, a simple process for seeking approval from the Department for alternate labeling has been established and would require minimal paperwork for anyone seeking that approval.

The proposed electronic waste regulations applicable to local governments and small businesses include:

- Expand the security requirements of providing a means to control entry to collection sites similarly to those of consolidation and recycling facilities.
- Expand the training requirements to collection sites for the handling of potentially hazardous material similarly to those of consolidation and recycling facilities.
- Add a requirement for all entities holding an electronic waste collection event, whether the entity is registered or not, to notify the department of the activity in advance.
- Detail the steps a collection site, consolidation facility or recycling facility must take, including closure requirements, before its registration with the department is formally withdrawn.
- Add a requirement for recycling facilities to obtain financial assurance to address potential facility abandonment.
- Require recycling facilities to test and certify the equipment to be in good working order, and to offer the equipment for sale within one year of acceptance.
- Require consolidation and recycling facilities to label electronic waste upon receipt and have a tracking system in place.
- Add annual outreach requirements for haulers/transporters and solid/hazardous waste management facilities to educate users on the proper methods of recycling electronic waste.
- Require retailers to maintain an up-to-date list of the brands and types of CEE sold which must be made available to the Department upon request.

3. PROFESSIONAL SERVICES

The need for additional professional services for local governments is expected to be minimal. Most local governments are registered as collection sites; and will likely be able to train their own staff and perform the necessary adjustments to the site to comply with the proposed regulations' additional security requirements. Local governments operating consolidation facilities are already required to comply with the EERRA's security and employee training requirements. In addition, registered local governments may already be employing professional services to facilitate operation in compliance with the regulatory requirements.

Small businesses acting as recycling facilities will need to obtain additional professional services to calculate closure costs and acquire

financial assurance. Small businesses operating collection sites or consolidation facilities would require the same minimal additional professional services as any other collection site or consolidation facility.

4. COMPLIANCE COSTS

Since the recycling labeling standards are already addressed in Federal Trade Commission guidelines for the use of Environmental Marketing Claims, there should not be any additional compliance costs for that section of the proposal.

The mercury-added consumer products labeling standards are already commonly used in other states and there should not be any additional compliance costs for that section of the proposal.

The proposed electronic waste regulations attempt to relieve unintended costs that have resulted from the EERRA's implementation. Local governments and small businesses may experience lower costs as a result of improved performance of manufacturer and collective electronic waste acceptance programs which will remove more electronic waste from the waste stream. It is not anticipated that there will be any variation in potential costs for small businesses or local governments of different types and of differing sizes. Any potential increases in costs are addressed below:

- Both private and municipally-run collection sites will be required to minimally train staff on the proper handling of electronic waste and control entry to the active portion of the site. Costs for staff time as well as improvements to site security must be considered.
- Closure requirements and the requirement for recycling facilities to obtain financial assurance to address potential facility abandonment have been added. Financial assurance costs will vary depending on each facility's volume and level of processing.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY

The Department has focused on proposing the regulations in a manner that is technically sound and economical. The proposed regulations are not expected to alter the costs associated with recycling terms and mercury labeling. The proposed regulations are consistent with the existing Federal Trade Commission guidelines for the use of Environmental Marketing Claims and should not have any adverse impact on local governments or small businesses.

The proposed electronic waste regulations are not expected to significantly alter costs for regulated entities, except for obtaining financial assurance for electronic waste recycling facilities, where it has not already been acquired.

Registered entities are already complying with the technological requirements of online registration and annual reporting required under the EERRA. In addition, the proposed regulations impose no additional operational requirements that would require technological innovation.

6. MINIMIZING ADVERSE IMPACT

The proposed rulemaking is not expected to have adverse impacts on local governments or small businesses in New York State. Federal Trade Commission guidelines for the use of Environmental Marketing Claims for the recycling labeling requirements are already in place. The proposed regulations for mercury-added consumer products are consistent with the industry standard and provide an alternative labeling procedure. It is not anticipated that the mercury-added consumer products requirements will have an adverse impact on local governments or small businesses.

In regard to the proposed electronic waste regulations, there should be minimal adverse impacts to local governments and small businesses operating as electronic waste collection sites or consolidation facilities. Costs for staff training as well as site security are expected to be minimal. Electronic waste tracking for consolidation and recycling facilities should also have little impact on costs. Small business electronic waste recycling facilities will have additional costs associated with the development of a closure plan and closure cost estimates, as well as financial assurance requirements for closure costs. Small business electronic waste recyclers typically store and process a lesser amount of electronic waste and therefore generally require less financial assurance for closure costs.

7. SMALL BUSINESS AND LOCAL GOVERNMENT PARTICIPATION

In addition to comprehensive internal review, the Department has conducted informal informational workshops related to electronic waste management for manufacturers, collectives, retailers, collection sites, consolidation and recycling facilities. The Department has accepted and evaluated the feedback and comments for input into the proposed rulemaking.

8. CURE PERIOD OR OTHER OPPORTUNITY FOR AMELIORATIVE ACTION

For existing regulated entities, compliance will be required within 180 days of adoption of the final rule. For newly regulated entities, compliance will be required upon adoption of the final rule.

Regarding electronic waste, for existing registered entities and electronic waste collectors, compliance will be required within 180 days of adoption of the final rule. For all other newly regulated entities, compliance will be required upon adoption of the final rule.

9. INITIAL REVIEW OF RULE

The Department will conduct an initial review of the rule within three years as required by SAPA § 207.

Rural Area Flexibility Analysis

The revised proposed rulemaking will repeal and replace the Department of Environmental Conservation's (Department) existing regulations governing recycling emblems, and will adopt new regulations governing mercury-added consumer product labeling, and electronic waste collection, recycling and reuse. The Department does not expect the new regulations to have a negative impact on rural areas.

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS

The proposed regulations apply statewide, including rural areas of the State. All areas of the State, including rural areas will be affected directly or indirectly by the proposed rulemaking.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES

The proposed rulemaking does not impose any reporting, recordkeeping or other compliance requirements in rural areas with respect to recycling labeling or mercury-added consumer product labeling.

Regarding electronic waste collection, recycling and reuse, the proposed rulemaking will require minimal additional professional services for reporting, recordkeeping and other compliance requirements including site/facility operational services and implementing closure requirements for collection sites and consolidation facilities. Additionally, recycling facilities will be required to obtain third-party closure cost estimates, have a facility closure plan in place, and maintain financial assurance necessary to cover closure costs. Recycling facilities may be required to obtain additional professional services to address these compliance requirements.

The proposed rulemaking does not impose any significant additional reporting, recordkeeping or paperwork requirements for manufacturers or electronic waste collection sites, consolidation facilities and recycling facilities affected by this rulemaking, including those located in rural areas. The Electronic Equipment Recycling and Reuse Act ("EERRA") requires registration and annual reporting from all electronic waste collection sites and facilities, and these requirements continue under the proposed regulations. The Department continues to simplify and enhance electronic reporting to ease the existing paperwork requirements. The additional paperwork required of the regulation's withdrawal process and closure plan maintenance will be submitted via the same online registration and reporting mechanism or e-mail communication process already used by the EERRA's regulated site and facilities.

All electronic waste consolidation facilities and recycling facilities including those located in rural areas will be required to implement an electronic waste tracking system under the proposed regulations. While the requirement for a tracking system is not expressly stated in the EERRA, facilities likely have already established tracking procedures to comply with the EERRA's reporting requirements. Therefore, the time, effort and cost to comply with this new requirement are expected to be minimal.

If a person or local government chooses to own or operate an electronic waste site or facility in the State, the proposed regulations may require the additional expenditure of funds to comply with the requirements of Subpart 368-3, which govern those electronic waste sites and facilities.

3. COSTS

This proposal does not directly mandate the expenditure of funds by any sector of local government. The proposed regulations will not directly impose any significant service, duty or responsibility upon any county, city, town, village, school district or fire district in a rural area.

Part 368-1 Recycling Labeling:

The proposed changes and additions for the recycling labeling are not expected to cause any increased costs to any manufacturers, including those in rural areas of the State. The recycling labeling changes should help all manufacturers by ensuring that standards and guidance are consistent with federal guidelines thereby reducing costs for potentially multiple labeling requirements.

Part 368-2 Mercury-Added Consumer Labeling:

The proposed changes and additions for the mercury-added consumer product labeling are not expected to cause any increased costs to manufacturers. The mercury-added consumer product labeling changes should help manufacturers by ensuring that New York regulations are consistent with industry or other states' guidelines thereby reducing costs for potentially multiple labeling requirements.

Part 368-3 Electronic Waste Collection, Recycling and Reuse:

This proposal will not impose any significant costs on sites and facilities with the exception of new financial assurance requirements on electronic waste recycling facilities. If a person or local government chooses to own or operate an electronic waste collection site or facility, the costs associated with compliance with the proposed rulemaking are addressed below:

- Collection Sites

The proposed electronic waste regulations add a requirement for collection sites to control entry (e.g., lockable gates, fenced areas, etc.) to the active portion of the site at all times. Many collection sites already control access to the active portion of their site via locked doors, gates or containers. For some sites, the initial capital cost to comply with this requirement could be as little as \$20.00 for a padlock. Other sites that choose to enclose an outdoor area would encounter greater initial capital costs for fencing, gates, etc., upwards of \$4,000.00 depending on materials used. Annual costs of compliance for maintaining any site security improvements should be minimal.

Under the proposed electronic waste rulemaking, collection sites would also be required to provide training to all employees who handle or have responsibility for managing electronic waste, informing them of the proper handling and emergency procedures appropriate to the type of electronic waste handled at the site. Some sites may have already met this requirement, others may incur minimal costs for staff time for implementing a training process. The proposed regulations do not require annual training for compliance.

The proposed electronic waste regulations also require that collection sites meet certain closure requirements, including decontamination of the site, if necessary. While costs for site decontamination are difficult to estimate, the need for decontamination at a collection site where electronic waste activity is limited to acceptance, handling and sorting should be minimal.

- Consolidation Facilities

The proposed electronic waste regulations require that consolidation facilities meet certain closure requirements, including decontamination of the facility, if necessary. While costs for facility decontamination are difficult to estimate, the need for decontamination at a consolidation facility where electronic waste activity is limited to acceptance, consolidation, handling and sorting should be minimal.

- Recycling Facilities

The proposed regulations add closure requirements and the requirement for recycling facilities to obtain financial assurance to address potential facility abandonment. Financial assurance instruments will be based on certified third-party estimates and will vary significantly by facility based on volume, square footage, and, type and level of processing.

- Collection Events

The proposed regulations add department notification and public service announcement requirements for persons holding electronic waste collection events. Costs for conducting public service announcements will vary depending upon the chosen method, but are not anticipated to be cost prohibitive.

4. MINIMIZING ADVERSE IMPACT

The proposed rulemaking is not expected to have adverse impacts on rural areas of New York State. As such, the Department did not consider the approaches set forth in the State Administrative Procedure Act § 220-bb(2). For electronic waste, the rural area residents and regulated entities located in rural areas will not see an increase in the cost of electronic waste management due to the rulemaking.

5. RURAL AREA PARTICIPATION

During the drafting of the regulations, the Department has accepted and evaluated public comments, given public presentations on draft criteria in numerous venues, and met with potentially affected parties. Those electronic waste facilities and other affected parties in rural areas have been solicited for input on the proposed revisions.

6. INITIAL REVIEW OF RULE

The Department will conduct an initial review of the rule within three years as required by SAPA § 207.

Job Impact Statement

The New York State Department of Environmental Conservation (Department) proposes to repeal and replace the existing Part 368 regulations. The newly proposed regulations will update standards on the use of recycling emblems, add requirements for labeling mercury-added consumer products, and add requirements for the management, collection, recycling and reuse of electronic waste. The regulations will apply statewide.

The Department does not expect the proposed regulations to have a negative impact on jobs and employment opportunities in the State.

The proposal provides changes to existing regulations for recycling labeling that would be consistent with existing federal guidelines and adds mercury-added consumer product labeling that would be consistent with existing manufacturer requirements and national industry standards. These changes should have no impact on jobs within the impacted sectors.

The proposed new electronic waste regulations apply to manufacturers and retailers of covered electronic equipment; collective electronic waste acceptance programs; electronic waste collection sites, consolidation and recycling facilities; and electronic waste collectors and collection events. While the Electronic Equipment Recycling and Reuse Act (EERRA) has resulted in increased collection of electronic waste, there is a need to

provide clarity to and strengthen the existing provisions of the EERRA for all participating stakeholders to improve overall program performance.

The proposed regulations expand manufacturer and collective acceptance program responsibilities related to the provisions of their public education programs with the ultimate goal of increasing consumer awareness of electronic waste recycling or reuse opportunities available. Improved consumer awareness will result in increased collection of electronic waste and create a need for additional recycling infrastructure. The existing recycling infrastructure may expand to meet this need, thereby creating a small number of additional jobs related to the collection, transportation and recycling of electronic waste.

1. NATURE OF IMPACT

There should be no impact regarding the use of recycling labeling or mercury-added consumer product labeling. The recycling labeling changes and the addition of mercury-added consumer products labeling provisions should help manufacturers ensure that standards and guidance are consistent with federal guidelines and industry standards.

Manufacturers of covered electronic equipment located in the State are not expected to experience negative job impacts as a result of these proposed regulations. Any increased costs incurred by manufacturers would likely be reflected in product costs.

The proposed regulations should not inhibit the growth of, and employment in, the electronic waste recycling industry. Costs related to financial assurance requirements for recycling facilities should be offset by stronger manufacturer responsibilities, increased consumer awareness and the need for increased collection and recycler involvement in the overall electronic waste recycling program.

2. CATEGORIES AND NUMBERS AFFECTED

The implementation of the proposed electronic waste regulations is not expected to have an adverse effect on jobs or employment opportunities. Nothing being proposed is expected to result in diminished economic activity, which typically results in adverse impacts on employment opportunities. Although it is difficult to predict the impact of the regulations on employment, there is potential for a small number of jobs to be created due to the need for increased collection, recycling and reuse of electronic waste.

3. REGIONS OF ADVERSE IMPACT

There is no region of the State expected to be adversely impacted from the proposed electronic waste regulations more so than any other. All sites and facilities must adhere to the same requirements regardless of where they are located. The proposed electronic waste regulations are expected to increase the overall collection infrastructure, provide increased collection opportunities to under-served areas, and expand consumer awareness. These proposed regulations will not only increase the efficiency of the electronic waste recycling and reuse program, they will provide consistency across the State for the various stakeholders and will help ensure jobs will not be negatively impacted.

4. MINIMIZING ADVERSE IMPACT

The proposed regulations are not expected to have an adverse impact on jobs and employment opportunities. The Department already regulates much of the electronic waste management activities covered by the proposed rules.

For the proposed electronic waste regulations, although the Department is proposing some new site and facility requirements, many sites and facilities are already implementing these requirements. For example, approximately 50% of registered recycling facilities are currently third-party certified and are already required to have closure plans in place. Any additional electronic waste recycling facility requirements for financial assurance will be minimized based on the facility's volume of activity and the extent of processing.

In addition, the proposed regulations attempt to ease the financial burden of electronic waste collection sites, consolidation and recycling facilities participating in manufacturer and collective acceptance programs, by clarifying and strengthening the manufacturers' requirements for covering all costs associated with the implementation of their acceptance programs' methods of acceptance.

5. SELF-EMPLOYMENT OPPORTUNITIES

The proposed regulations are not expected to negatively impact self-employment opportunities for and industry including electronic waste collection sites or consolidation facilities. The proposed requirement of financial assurance for recycling facilities in the electronic waste regulations may present an obstacle to small recyclers looking to enter the electronic waste recycling industry.

6. INITIAL REVIEW OF RULE

The Department will conduct an initial review of the rule within three years as required by SAPA § 207.

Department of Health

EMERGENCY RULE MAKING

Hospital Non-Comparable Ambulance Acute Rate Add-On

I.D. No. HLT-31-20-00012-E

Filing No. 744

Filing Date: 2021-06-14

Effective Date: 2021-06-14

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 86-1.15 of Title 10 NYCRR.

Statutory authority: L. 2020, ch. 56

Finding of necessity for emergency rule: Preservation of public health.

Specific reasons underlying the finding of necessity: The proposed amendment restricts Article 28 hospitals eligible to receive a non-comparable ambulance add-on in their acute inpatient rate to providers that are not receiving a supplemental payment for these costs in accordance with Chapter 56 of the Laws of 2020 effective on October 1, 2020.

Section three of Part LL of chapter 56 of the Laws of 2020 provides the Commissioner of Health with authority to issue emergency regulations. This regulation amendment is required in order to eliminate any potential duplicate payment for these ambulance services.

Subject: Hospital Non-comparable Ambulance Acute Rate Add-on.

Purpose: Prevents duplicate claiming by Article 28 hospitals for the ambulance add-on regarding participation in the program.

Text of emergency rule: Pursuant to the authority vested in the Commissioner of Health by section three of Part LL of Chapter 56 of the Laws of 2020, paragraph (1) of subdivision (l) of Section 86-1.15 of Title 10 (Health) of the Official Compilation of Codes, Rules and Regulations of the State of New York is amended to be effective upon filing with the Secretary of State, to read as follows:

(1) Medicaid costs associated with ambulance services operated by a facility and reported as inpatient costs in the institutional cost report. *Effective October 1, 2020, these costs shall exclude ground emergency transportation services costs that are being reimbursed pursuant to Chapter 56 of the Laws of 2020;* and

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. HLT-31-20-00012-P, Issue of August 5, 2020. The emergency rule will expire August 12, 2021.

Text of rule and any required statements and analyses may be obtained from: Katherine Ceroalo, DOH, Bureau of Program Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqa@health.ny.gov

Regulatory Impact Statement

Statutory Authority:

The statutory authority for this regulation is contained in Chapter 56 of the Laws of 2020 and authorizes the Commissioner to promulgate regulations, including emergency regulations, regarding a supplemental Medicaid reimbursement payment for ground emergency medical transportation services. This supplemental payment is in lieu of an ambulance non-comparable add-on in the hospital acute inpatient reimbursement rate. Rate regulations are set forth in Subpart 86-1 of Title 10 (Health) of the Official Compilation of Codes, Rules, and Regulations of the State of New York (NYCRR).

Legislative Objectives:

The legislative objective is to provide the ability to participate in the supplemental payment for ground emergency medical transportation services but eliminate any potential duplicate Medicaid reimbursement.

Needs and Benefits:

Based on the requirements of Chapter 56 of the Laws of 2020, eligible ground emergency transportation providers will be provided the ability to participate in a supplemental payment in lieu of receiving reimbursement through a hospital. Article 28 hospitals currently receive reimbursement through their acute hospital inpatient rate for ambulance services provided by the ground emergency medical transportation providers. For ground emergency transportation providers that meet the requirements of this chapter and receive the supplemental payment, the hospitals through

which they were reimbursed will not be eligible to also receive the ambulance add-on in the acute hospital inpatient rate.

Costs:

Costs to Private Regulated Parties:

There will be no additional costs to private regulated parties.

Costs to State Government:

There is no cost to State Government for this proposed regulation.

Costs of Local Government:

There is no cost to Local Government for this proposed regulation.

Costs to the Department of Health:

There will be no additional costs to the Department of Health as a result of this proposed regulation.

Local Government Mandates:

The proposed regulation does not impose any new programs, services, duties or responsibilities upon any county, city, town, village, school district, fire district or other special district.

Paperwork:

No additional paperwork is required of providers.

Duplication:

This regulation does not duplicate any existing federal, state or local government regulation.

Alternatives:

There is no alternative as an alternative would provide a duplicate payment to hospitals.

Federal Standards:

The proposed regulation does not exceed any minimum standards of the federal government for the same or similar subject areas.

Compliance Schedule:

The Department of Health will be implementing the ground emergency transportation services supplemental program effective on or after October 1, 2020 which requires the potential duplicate payment be removed as of the same date.

Regulatory Flexibility Analysis

No regulatory flexibility analysis is required pursuant to section 202(b)(3)(a) of the State Administrative Procedure Act. The proposed regulations do not impose an adverse economic impact on small businesses or local governments, and they do not impose reporting, recordkeeping or other compliance requirements on small businesses or local governments.

Rural Area Flexibility Analysis

No rural area flexibility analysis is required pursuant to section 202-bb(4)(a) of the State Administrative Procedure Act. The proposed regulations do not impose an adverse impact on facilities in rural areas, and they do not impose reporting, recordkeeping or other compliance requirements on facilities in rural areas.

Job Impact Statement

A Job Impact Statement is not required pursuant to Section 201-a(2)(a) of the State Administrative Procedure Act. The proposed rule will not have a substantial adverse impact on jobs or employment opportunities, nor does it have adverse implications for job opportunities.

Assessment of Public Comment

The agency received no public comment.

Department of Labor

EMERGENCY RULE MAKING

Unemployment Insurance (UI) Definition of "Day of Total Unemployment"

I.D. No. LAB-05-21-00003-E

Filing No. 746

Filing Date: 2021-06-15

Effective Date: 2021-06-15

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 470.2 of Title 12 NYCRR.

Statutory authority: State Administrative Procedure Act, section 202(6); Labor Law, sections 21(11) and 530(1)

Finding of necessity for emergency rule: Preservation of public health, public safety and general welfare.

Specific reasons underlying the finding of necessity: This emergency regulation is necessary to assist unemployed New Yorkers return to the workforce after experiencing employment loss caused by the COVID-19 public health and economic crisis. The economic impact of COVID-19 has resulted in significant financial insecurity for workers and employers. An unprecedented number of workers suffered employment loss in the past year -- since March 2020, the New York State Department of Labor paid over \$86 billion in unemployment benefits to approximately 4.7 million New Yorkers. The current interpretation of New York's UI law results in barriers that discourage part-time employment, despite the fact that part-time work can serve as a bridge to full-time employment, including developing skills and connections, augmenting household income, and ultimately reducing dependence on UI. Part-time employment opportunities will play an important role in allowing businesses to build back and especially while operating at a reduced capacity in response to the public health crisis. UI claimants who try to return to work by accepting partial employment are currently penalized by reductions in weekly unemployment benefits -- for each day in a week that a claimant works, the claimant's weekly benefit is reduced by 25%, regardless of whether the claimant works one hour or a full day. This emergency regulation defining terms within the UI law will allow UI claimants to work up to 30 hours while still collecting some UI benefits. Greater flexibility to seek job opportunities without losing UI benefits is necessary to public health, safety, and the general welfare by ensuring that UI claimants and employers are able to meet their weekly financial needs in the midst of the COVID-19 public health and economic crisis.

Subject: Unemployment Insurance (UI) definition of "day of total unemployment".

Purpose: To prevent an additional financial burden on UI claimants seeking part-time work opportunities and help employers obtain talent.

Text of emergency rule: Section 470.2 of Title 12 of the New York Code of Rules and Regulations is amended to add a new subdivision (h) to read as follows:

(h) Day of Total Unemployment

(1) For the purpose of calculating the number of effective days in a week to determine a claimant's weekly benefit entitlement in accordance with Labor Law § 590, a claimant shall experience a "day of total unemployment" or "full day of total unemployment" on each day that is not a day of employment.

(2) The total number of "day(s) of employment" in a week shall be calculated by adding the total number of hours worked in a week of employment, provided however that no hours in excess of ten are included per calendar day, dividing the total number of hours by ten, and rounding up to the nearest whole number. If the total number of hours worked in a week is equal to or less than four hours, no day of employment will have occurred. For example, a claimant who works a total of 3 hours in a week shall be deemed to have engaged in zero days of employment, a claimant who works a total of 8 hours in a week shall be deemed to have engaged in one day of employment, and a claimant who works a total of 13 hours in a week shall be deemed to have engaged in two days of employment, except that if the 13 hours occurred on one calendar day, such claimant shall be deemed to have engaged in one day of employment.

(3) A claimant who is employed on a shift continuing through midnight is deemed to have been employed on the day beginning before midnight with respect to such shift, except where night shift employees are regularly scheduled to start their work week at seven post meridiem or thereafter on a Sunday night, their regularly scheduled starting time on Sunday shall be considered as starting on Monday.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. LAB-05-21-00003-EP, Issue of February 3, 2021. The emergency rule will expire August 13, 2021.

Text of rule and any required statements and analyses may be obtained from: Michael Paglialonga, Department of Labor, Building 12, State Office Campus, Room 509, Albany, NY 12240, (518) 485-2191, email: regulations@labor.ny.gov

Regulatory Impact Statement

Statutory Authority: State Administrative Procedure Act (SAPA) § 202(6) and Labor Law §§ 21(11) and 530(1).

Legislative Objectives: Article 18 of the N.Y. Labor Law was established to ensure the payment of unemployment insurance ("UI") benefits to eligible claimants in conformity and substantial compliance with the applicable federal UI laws and regulations. As stated in Labor Law § 501, the purpose and legislative intent for the enactment of Article 18 was to alleviate the economic insecurity caused by unemployment, which is a serious menace to the health, welfare, and morale of the people of the State of New York. Finding that involuntary unemployment often falls with crushing force upon the unemployed worker and his family, on April 9, 1931,

the Legislature enacted Article 18 to establish the New York State UI program, and declared that in its considered judgment, the public good and the well-being of the wage earners of this state require the enactment of this measure for the compulsory setting aside of financial reserves for the benefit of persons unemployed through no fault of their own.

In accordance with Labor Law § 590, a claimant's compensable period is based on the number of "effective days" that a claimant accumulates each week. Labor Law § 523 defines an "effective day" as "a full day of total unemployment provided such day falls within a week in which a claimant had four or more days of total unemployment and provided further that only those days of total unemployment in excess of three days within such week are deemed 'effective days'." This statute limits the highest number of effective days to 4 days each week. The statute does not define a "day of total unemployment," and as a result, if UI claimants work any amount of time on a single day (even only one hour), their weekly benefits are reduced by 25% because one effective day is lost that week.

Labor Law § 530(1) authorizes the commissioner of labor with the authority to promulgate rules and regulations for the effective administration of Article 18.

This emergency regulation defines the phrases "day of total unemployment" and "full day of total unemployment" within Labor Law § 523 by specifying that a "day(s) of employment" is based on the number of hours actually worked by UI claimants. Under this emergency regulation, UI claimants use an hours-based formula to calculate their days of employment in a given week. When totaling hours for the week, claimants will use a maximum of 10 hours per day. The number of days of employment reduces the number of effective days in that benefit week pursuant to Labor Law § 523. Under this formulation, claimants may work up to 30 hours in a week and still receive partial UI benefits.

For example, based on the formula, if UI claimants work between 5 and 10 hours, then 1 day of employment would accumulate that week; the UI claimants would have 3 effective days; and their benefits would be reduced by 25%. Using 10 hours as the basis for this formula aligns with the highest number of effective days within the statute (4) and the widely accepted 40-hour work week that is set forth in state and federal law (e.g., the federal Fair Labor Standards Act). The formula would work as follows:

Range of Hours Worked in a Week Days of Employment Percent of Benefits to be Collected

4 hours or less	0	100%
5 hours to 10 hours	1	75%
11 hours to 20 hours	2	50%
21 hours to 30 hours	3	25%
Over 30 hours	4	0%

Greater flexibility to seek job opportunities without losing UI benefits is necessary to public health, safety, and the general welfare by ensuring that UI claimants and employers are able to meet their weekly financial needs in the midst of the COVID-19 public health and economic crisis. Such flexibility ensures the legislative intent set forth in Labor Law § 501 is met and to prevent further injury to UI claimants who are unemployed through no fault of their own.

Needs and Benefits: This emergency regulation is necessary to assist unemployed New Yorkers return to the workforce after experiencing employment loss caused by the COVID-19 public health and economic crisis. The economic impact of COVID-19 has resulted in significant financial insecurity for workers and employers. An unprecedented number of workers suffered employment loss in the past year -- since March 2020, the New York State Department of Labor paid over \$86 billion in unemployment benefits to approximately 4.7 million New Yorkers. The current interpretation of New York's UI law results in barriers that discourage part-time employment, despite the fact that part-time work can serve as a bridge to full-time employment, including developing skills and connections, augmenting household income, and ultimately reducing dependence on UI. Part-time employment opportunities will play an important role in allowing businesses to build back and especially while operating at a reduced capacity in response to the public health crisis. UI claimants who try to return to work by accepting partial employment are currently penalized by reductions in weekly unemployment benefits -- for each day in a week that a claimant works, the claimant's weekly benefit is reduced by 25%, regardless of whether the claimant works one hour or a full day. This emergency regulation defining terms within the UI law will allow UI claimants to work up to 30 hours while still collecting some UI benefits. Greater flexibility to seek job opportunities without losing UI benefits is necessary to public health, safety, and general welfare by ensuring that UI claimants and employers are able to meet their weekly financial needs in the midst of the COVID-19 public health and economic crisis.

Costs: While the potential costs or savings are unknown, the Department estimates that there will be no significant costs to the regulated community, to the Department of Labor, or to state and local governments to implement this regulation. The regulation can be implemented within the Department of Labor's existing resources.

Local Government Mandates: None. The regulation impacts an individual UI claimant's weekly benefits and does not create any mandate for federal, state, or municipal governments, or any political subdivision thereof.

Paperwork: This regulation does not impact any reporting requirements currently required in either statute or regulation. UI claimants will continue to report part-time work on weekly benefit certification forms.

Duplication: This rulemaking does not duplicate, overlap, or conflict with any other state or federal requirements.

Alternatives: There were no significant alternatives considered.

Federal Standards: This is in conformity with the federal standards, including 42 USC §§ 501-506, 26 USC §§ 3301-3320, and 20 CFR Parts 602 and 604, and the applicable guidance issued thereunder.

Compliance Schedule: This emergency rulemaking shall become effective upon the date specified within the filing with the Department of State.

Regulatory Flexibility Analysis

Effect of Rule: The purpose and intent of this emergency regulation is to prevent an additional financial burden on UI claimants who engage in part-time employment opportunities and help employers obtain talent to fill open, part-time positions. The Department anticipates this will have no negative impact on small businesses or local governments.

Compliance Requirements: Small businesses and local governments will not have to undertake any new reporting, recordkeeping, or other affirmative act in order to comply with this regulation.

Professional Services: No professional services would be required to effectuate the purposes of this regulation.

Compliance Costs: The Department estimates that there will be no costs to small businesses or local governments to implement this regulation.

Economic and Technological Feasibility: The regulation does not require any use of technology to comply.

Minimizing Adverse Impact: The Department does not anticipate that this regulation will adversely impact small businesses or local governments. Since no adverse impact to small businesses or local governments will be realized, it was unnecessary for the Department to consider approaches for minimizing adverse economic impacts as suggested in State Administrative Procedure Act § 202-b(1).

Small Business and Local Government Participation: The Department does not anticipate that this regulation will have an adverse economic impact upon small businesses or local governments, nor will it impose new reporting, recordkeeping, or other compliance requirements upon them.

Initial review of the rule pursuant to SAPA § 207: Initial review of this regulation shall occur no later than the third calendar year in which it is adopted.

Rural Area Flexibility Analysis

Types and estimated numbers of rural areas: The Department anticipates that this regulation will have a positive or neutral impact upon all areas of the state; there is no adverse impact anticipated upon any rural area of the state resulting from adoption of this regulation.

Reporting, recordkeeping and other compliance requirements: This regulation will not impact reporting, recordkeeping or other compliance requirements.

Professional services: No professional services will be required to comply with this regulation.

Costs: The Department estimates that there will be no new or additional costs to rural areas to implement this regulation.

Minimizing adverse impact: The Department does not anticipate that this regulation will have an adverse impact upon any region of the state. As such, different requirements for rural areas were not necessary.

Rural area participation: The Department does not anticipate that the regulation will have an adverse economic impact upon rural areas nor will it impose new reporting, recordkeeping, or other compliance requirements.

Job Impact Statement

Nature of impact: The Department of Labor (hereinafter "Department") projects there will be no adverse impact on jobs or employment opportunities in the State of New York as a result of this emergency regulation. Rather, this regulation will help UI claimants who experienced employment loss as a result of the COVID-19 public health and economic crisis get back to work through part-time employment.

Categories and numbers affected: The Department does not anticipate that this regulation will have an adverse impact on jobs or employment opportunities in any category of employment. This regulation will help to reduce the financial burden on UI claimants and businesses seeking to hire part-time workers during public health and economic crisis caused by COVID-19.

Regions of adverse impact: The Department does not anticipate that this regulation will have an adverse impact upon jobs or employment opportunities statewide or in any particular region of the state.

Minimizing adverse impact: Since the Department does not anticipate

any adverse impact upon jobs or employment opportunities resulting from this regulation, no measures to minimize any unnecessary adverse impact on existing jobs or to promote the development of new employment opportunities are required.

Self-employment opportunities: The Department does not foresee a measurable impact upon opportunities for self-employment resulting from adoption of this regulation.

Initial review of the rule pursuant to SAPA § 207: Initial review of this regulation shall occur no later than the third calendar year in which it is adopted.

Assessment of Public Comment

The agency received no public comment.

Department of Motor Vehicles

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Relicensing After Revocation

I.D. No. MTV-26-21-00002-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: This is a consensus rule making to amend section 136.4(e) of Title 15 NYCRR.

Statutory authority: Vehicle and Traffic Law, sections 215(a) and 2270

Subject: Relicensing after revocation.

Purpose: Conforms regulation with Departmental longstanding practice of not issuing a proposed denial of license applications.

Text of proposed rule: Subdivision (e) of Part 136.4 is repealed and reserved.

Text of proposed rule and any required statements and analyses may be obtained from: Heidi Bazicki, Department of Motor Vehicles, 6 Empire State Plaza, Room 522A, Albany, NY 12228, (518) 474-0871, email: dmv.sm.legal@dmv.ny.gov

Data, views or arguments may be submitted to: Victoria A. Plotsky, Department of Motor Vehicles, 6 Empire State Plaza, Rm. 522A, Albany, NY 12228, (518) 474-0871, email: dmv.sm.legal@dmv.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Consensus Rule Making Determination

Subdivision (e) of Part 136.4 of the Commissioner's Regulations currently provides that in the event the Department 'proposes' to deny a driver license application, there shall be an opportunity for the applicant to respond. However, the Department has not issued proposed denials to license applicants in approximately four (4) decades. The review board that would have heard these cases has not existed since at least 1984. The Department's practice is to either approve or deny a driver license application, or seek additional information from the applicant. The Department does not issue proposed denials. By repealing subsection (e) of section 136.4 of the Commissioner's Regulations, this now defunct regulation will be eliminated to prevent confusion and unnecessary litigation.

This proposed rulemaking is being submitted as a consensus rule because it is necessary to conform this regulation to the current practices of the Department.

Job Impact Statement

A Job Impact Statement is not submitted with these proposals because there is no adverse impact on job creation or development in New York State.

Public Service Commission

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Notice of Intent to Submeter Electricity and Waiver Request

I.D. No. PSC-26-21-00004-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the notice of intent of TSINY 89th Avenue, L.P. to submeter electricity at 161-01 89th Avenue, Jamaica, New York and request for waiver of the requirement of an energy audit pursuant to 16 NYCRR section 96.5(k)(3).

Statutory authority: Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Notice of intent to submeter electricity and waiver request.

Purpose: To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Substance of proposed rule: The Commission is considering the notice of intent filed by TSINY 89th Avenue, L.P. on March 8, 2021, seeking authority to submeter electricity at a new rent stabilized income-based rental building located at 161-01 89th Avenue, Jamaica, New York 11432, located in the service territory of Consolidated Edison Company of New York Inc. (Con Edison).

On May 6, 2021, the petitioner requested waiver of 16 NYCRR § 96.5(k)(3), the requirement for an energy audit for buildings where 20 percent or more of the residents receive income-based housing assistance.

In the notice of intent, TSINY 89th Avenue, L.P. requests authorization to take electric service from Con Edison and then distribute and meter that electricity to its tenants. Submetering of electricity to residential tenants is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96. The Commission is also considering the Owner's request for waiver of 16 NYCRR § 96.5(k)(3), which requires proof that an energy audit has been conducted when 20 percent or more of the residents receive income-based housing assistance. The Owner states that because the building is new construction, it must comply with the current New York State Energy Conservation Construction Code, which provides strict energy conservation requirements for new and renovated buildings, including the design and construction of energy-efficient building envelopes, mechanical, lighting and power systems and therefore, an energy audit is not appropriate in this case.

The full text of the notice of intent, waiver request, and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-E-0146SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Proposed Revisions to Tariff Schedule

I.D. No. PSC-26-21-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering tariff revisions filed by New York Electric and Gas Corporation to its tariff schedule, P.S.C. No. 120—Electricity to cancel leaves associated with the Energy Smart Community Rate Pilot.

Statutory authority: Public Service Law, sections 5, 65(1), (8), 66(1) and (12)

Subject: Proposed revisions to tariff schedule.

Purpose: To consider tariff revisions to cancel leaves associated with the Energy Smart Community Rate Pilot.

Substance of proposed rule: The Public Service Commission (Commission) is considering proposed tariff revisions filed on June 3, 2021 by New York Electric and Gas Corporation (NYSEG or the Company), to cancel

leaves associated with the Energy Smart Community (ESC) Rate Pilot from its tariff schedule, P.S.C. No. 120 – Electricity.

The ESC Rate Pilot was approved by the Commission in Case No. 17-E-0370 and implemented on a pilot basis to measure customer adoption and impacts of time varying rates. The Company has filed tariff filings to cancel the leaves associated with the ESC Rate Pilot due to the end of the pilot. The Company states that customers who are currently enrolled in the ESC Rate Pilot will be transitioned back to their previous pricing option, or can select another available option, as of November 1, 2021.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-E-0312SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Transfer of Street Lighting Facilities

I.D. No. PSC-26-21-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Niagara Mohawk Power Corporation d/b/a National Grid seeking authorization to transfer certain street lighting facilities in the County of Albany to the County of Albany.

Statutory authority: Public Service Law, sections 5, 65, 66 and 70(1)

Subject: Transfer of street lighting facilities.

Purpose: To determine whether to transfer street of lighting facilities and the proper accounting for the transaction.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed on June 4, 2021 by Niagara Mohawk Power Corporation d/b/a National Grid (National Grid), requesting authorization to transfer certain street lighting facilities located in the County of Albany (County) to the County.

The original cost of the facilities was approximately \$212,911 and the net book value of the assets is \$157,507, as of March 31, 2021. National Grid proposes to transfer the street lighting facilities to the County for approximately \$151,695, which includes the net book value of the assets as well as transition and transaction costs and a one-time price reduction of \$8,021 that will be fully absorbed by shareholders. National Grid explains that the agreement between it and the County provides that the purchase price will be adjusted (up or down) to reflect the actual net book value at the date of the closing.

The full text of the petition and the full record of the proceeding may be viewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.
(21-E-0310SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Petition to Submeter Electricity and Request for Waiver

I.D. No. PSC-26-21-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the petition of 445 Gerard LLC to submeter electricity at 445 Gerard Avenue, Bronx, New York, and request for waiver of an energy audit pursuant to 16 NYCRR § 96.5(k)(3).

Statutory authority: Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Petition to submeter electricity and request for waiver.

Purpose: To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Substance of proposed rule: The Commission is considering the petition filed by 445 Gerard LLC on April 13, 2021, to submeter electricity at a new market-rate and income-based rental building located at 445 Gerard Avenue, Bronx, New York, located in the territory of Consolidated Edison Company of New York Inc. (Con Edison). On May 6, 2021, the petitioner requested a waiver of 16 NYCRR § 96.5(k)(3).

In the petition, 445 Gerard LLC requests authorization to take electric service from Con Edison and then distribute and meter that electricity to its tenants. Submetering of electricity to residential tenants is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96. The Commission is also considering the Owner's request for waiver of 16 NYCRR § 96.5(k)(3), which requires proof that an energy audit has been conducted when 20 percent or more of the residents receive income-based housing assistance. The Owner states that because the building is new construction, it must comply with the current New York State Energy Conservation Construction Code, which provides strict energy conservation requirements for new and renovated buildings, including the design and construction of energy-efficient building envelopes, mechanical, lighting and power systems and therefore, an energy audit is not appropriate in this case.

The full text of the petition, waiver request, and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-E-0233SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Transfer of Street Lighting Facilities

I.D. No. PSC-26-21-00008-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Niag-

ara Mohawk Power Corporation d/b/a National Grid seeking authorization to transfer certain street lighting facilities in the Town of Colonie to the Town of Colonie.

Statutory authority: Public Service Law, sections 5, 65, 66 and 70(1)

Subject: Transfer of street lighting facilities.

Purpose: To determine whether to transfer street of lighting facilities and the proper accounting for the transaction.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed on June 1, 2021 by Niagara Mohawk Power Corporation d/b/a National Grid (National Grid), requesting authorization to transfer certain street lighting facilities located in the Town of Colonie (Town) to the Town.

The original cost of the street lighting facilities was approximately \$3,706,549 and the net book value of the assets is \$2,028,963, as of March 31, 2021. National Grid proposes to transfer the street lighting facilities to the Village for approximately \$2,118,821, which includes the net book value of the assets as well as transition and transaction costs. National Grid explains that the agreement between it and the Village provides that the purchase price will be adjusted (up or down) to reflect the actual net book value at the date of the closing.

The full text of the petition and the full record of the proceeding may be viewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-E-0306SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Transfer of Street Lighting Facilities

I.D. No. PSC-26-21-00009-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Niagara Mohawk Power Corporation d/b/a National Grid seeking authorization to transfer certain street lighting facilities in the Village of Liverpool to the Village of Liverpool.

Statutory authority: Public Service Law, sections 5, 65, 66 and 70(1)

Subject: Transfer of street lighting facilities.

Purpose: To determine whether to transfer street of lighting facilities and the proper accounting for the transaction.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed on May 21, 2021 by Niagara Mohawk Power Corporation d/b/a National Grid (National Grid), requesting authorization to transfer certain street lighting facilities located in the Village of Liverpool (Village) to the Village.

The original cost of the facilities was approximately \$102,552 and the net book value of the assets is \$49,845, as of March 31, 2020. National Grid proposes to transfer the street lighting facilities to the Village for approximately \$56,606, which includes the net book value of the assets as well as transition and transaction costs. National Grid explains that the agreement between it and the Village provides that the purchase price will be adjusted (up or down) to reflect the actual net book value at the date of the closing.

The full text of the petition and the full record of the proceeding may be viewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-E-0294SP1)

**PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED**

Proposed Acquisition of All Shares of Common Stock of Corning Natural Gas Holding Corporation by ACP Crotona Corp.

I.D. No. PSC-26-21-00010-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition by Corning Natural Gas Holding Corporation (CNGH), Corning Natural Gas Corporation, ACP Crotona Corp. and ACP Crotona Merger Sub Corp. to acquire all the issued and outstanding shares of common stock of CNGH.

Statutory authority: Public Service Law, sections 65, 66 and 70

Subject: Proposed acquisition of all shares of common stock of Corning Natural Gas Holding Corporation by ACP Crotona Corp.

Purpose: To consider whether the acquisition of all shares of common stock of CNGH by ACP Crotona Corp. is in the public interest.

Substance of proposed rule: The Public Service Commission is considering a petition filed on May 3, 2021 by petitioners Corning Natural Gas Holding Corporation, Corning Natural Gas Corporation, ACP Crotona Corp. and ACP Merger Sub Corp. for authority, pursuant to Public Service Law Section 70, to permit ACP Crotona Corp. to acquire all of the issued and outstanding shares of common stock of Corning Natural Gas Holding Corporation.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-G-0260SP1)

**PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED**

Notice of Intent to Submeter Electricity

I.D. No. PSC-26-21-00011-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the notice of intent of

Lafayette Morrison H.D.F.C. to submeter electricity at 820 Boynton Avenue, 880 Boynton Avenue, 825 Morrison Avenue, and 875 Morrison Avenue, Bronx, New York.

Statutory authority: Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Notice of intent to submeter electricity.

Purpose: To ensure adequate submetering equipment and consumer protections are in place.

Substance of proposed rule: The Commission is considering the notice of intent filed by Lafayette Morrison H.D.F.C. on February 10, 2021, seeking authority to submeter electricity at a mixed market rate and stabilized income-based cooperative building complex located at 820 Boynton Avenue, 880 Boynton Avenue, 825 Morrison Avenue, and 875 Morrison Avenue, Bronx, New York, in the service territory of Consolidated Edison Company of New York Inc. (Con Edison).

In the notice of intent, Lafayette Morrison H.D.F.C. requests authorization to take electric service from Con Edison and then distribute and meter that electricity to its tenants. Submetering of electricity to residential tenants is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96.

The full text of the notice of intent and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-E-0104SP1)

**PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED**

Proposed Agreement for the Provision of Water Service by Saratoga Water Services, Inc. is in the Public Interest

I.D. No. PSC-26-21-00012-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the petition of Saratoga Water Services, Inc. and Poppy's Place Food Park, LLC requesting authorization to provide water service to a prospective customer outside of its service territory.

Statutory authority: Public Service Law, sections 4(1), 20(1) and 89-b

Subject: Proposed agreement for the provision of water service by Saratoga Water Services, Inc. is in the public interest.

Purpose: To consider whether the proposed service agreement and requested waivers of Commission rules are in the public interest.

Substance of proposed rule: The Commission is considering the petition of Saratoga Water Services, Inc. (Saratoga) requesting authorization to provide water service to a prospective customer outside of its service territory. Saratoga seeks to provide water service to Poppy's Place Food Park, LLC (Poppy's Place), which is outside Saratoga's Department of Environmental Conservation (DEC) defined service territory. Saratoga would also need permission from DEC to provide service to Poppy's Place at a non-tariff rate.

Saratoga seeks to provide service to Poppy's Place under the terms of an "Agreement for the Provision of Water Service" (Agreement) dated February 28, 2021, which is not consistent with Saratoga's effective tariff and certain Commission regulations (16 NYCRR Parts 501 and 502). Specifically, Poppy's Place would pay for the entire cost of extending Saratoga's infrastructure to provide service without receiving potential refunds of that cost. In addition, Saratoga seeks waiver of the Commission's requirement (16 NYCRR § 501.9) to file main extension agree-

ments within 30 days of their execution (the Petition and Agreement were filed beyond that deadline but Saratoga argues there are no negative consequences from the delay). Finally, Saratoga seeks a waiver of 16 NYCRR § 501.10, which requires water companies to receive permission from DEC to extend their service territory before seeking a waiver of tariff requirements from the Commission.

The petition requests an Order (a) approving the terms and conditions of the Agreement as being in the public interest, (b) determining that the provision of water service by Saratoga, in accordance with the terms set forth in the Agreement, is in the public interest, (c) waiving Saratoga's tariff provisions to the extent they are inconsistent with the Agreement, and (d) waiving the applicability of 16 NYCRR § 501, including § 501.10, and § 502 to the extent they are inconsistent with the Agreement.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-W-0292SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Transfer of Street Lighting Facilities

I.D. No. PSC-26-21-00013-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Niagara Mohawk Power Corporation d/b/a National Grid seeking authorization to transfer certain street lighting facilities in the City of Troy to the City of Troy.

Statutory authority: Public Service Law, sections 5, 65, 66 and 70(1)

Subject: Transfer of street lighting facilities.

Purpose: To determine whether to transfer street of lighting facilities and the proper accounting for the transaction.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed on June 1, 2021 by Niagara Mohawk Power Corporation d/b/a National Grid (National Grid), requesting authorization to transfer certain street lighting facilities located in the City of Troy (City) to the City.

The original cost of the facilities was approximately \$6,343,597 and the net book value of the assets is \$3,985,142 as of March 31, 2021. National Grid proposes to transfer the street lighting facilities to the City for approximately \$4,094,179, which includes the net book value of the assets, as well as transition and transaction costs. National Grid explains that the agreement between it and the City provides that the purchase price will be adjusted (up or down) to reflect the actual net book value at the date of the closing.

The full text of the petition and the full record of the proceeding may be viewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-E-0307SP1)

Office of Temporary and Disability Assistance

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Camp Fees

I.D. No. TDA-26-21-00014-EP

Filing No. 745

Filing Date: 2021-06-15

Effective Date: 2021-06-30

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of section 352.7(i) of Title 18 NYCRR.

Statutory authority: Social Services Law, sections 20(3)(d), 34(3)(f), 131(1), (3), 131-a(5)(d); L. 2021, ch. 126, section 3

Finding of necessity for emergency rule: Preservation of public health and general welfare.

Specific reasons underlying the finding of necessity: It is critically important that the regulatory amendments to 18 NYCRR § 352.7(i) be adopted on June 30, 2021. The regulatory amendments reflect the new statutory requirement set forth in Chapter 126 of the Laws of 2021 that allows camp fee payments for children in households receiving family assistance (FA) or safety net assistance (SNA). Prior to the enactment of Chapter 126 of the Laws of 2021, Social Services Law (SSL) § 350(1)(d) only permitted an allowance for camp fees. Section 1 of Chapter 126 of the Laws of 2021 repealed SSL § 350(1)(d) and, by amending SSL § 131-a(5), § 2 of Chapter 126 of the Laws of 2021 expanded eligibility to pay for camp fees for children of households receiving FA or SNA.

The Office of Temporary and Disability Assistance (OTDA) is advancing these regulatory amendments to amend current 18 NYCRR § 352.7(i) in order to accurately reflect expanded camp fee eligibility in conformity with Chapter 126 of the Laws of 2021. The regulatory amendments replace the reference to "ADC" (aid to dependent children) – which, per 18 NYCRR § 350.1(d), refers only to FA – with a broader reference to "Family Assistance or Safety Net Assistance", thereby conforming the state regulation with § 2 of Chapter 126 of the Laws of 2021 and codifying in regulation the expansion of eligibility for the payment of camp fees for children in receipt of FA or SNA. The regulatory amendments allow children in receipt of FA or SNA to become eligible for camp fees as early as the summer of 2021, as required by the enactment of Chapter 126 of the Laws of 2021.

If the regulatory amendments are not adopted, there will be a resulting inconsistency between SSL § 131-a(5)(d), as amended by § 2 of Chapter 126 of the Laws of 2021 – which allows districts to provide for camp fees for children in receipt of FA or SNA – and the unamended version of 18 NYCRR § 352.7(i), which does not extend camp fee eligibility for children of SNA families. The resulting inconsistency would perpetuate disparities among similarly-situated children – namely, those children whose families receive FA and are eligible for camp fee payments, versus those children whose families receive SNA and are not eligible for camp fee payments – and continue to block the latter's access to socialization, activities, and outdoor exposure, thereby impacting their health and well-being. Further, failure to adopt the regulatory amendments would negate a valuable opportunity to support working parents by offering those families receiving SNA the opportunity to have their children cared for in a safe and meaningful environment.

It is noted that the regulatory amendments are being promulgated pursuant to a combined Notice of Emergency Adoption and Proposed Rule Making, instead of a Notice of Proposed Rule Making, due to time

constraints. Chapter 126 of the Laws of 2021 was signed by the Governor on June 11, 2021 and became effective on June 30, 2021. This did not provide sufficient time for OTDA to publish a Notice of Proposed Rule Making. Furthermore, § 3 of Chapter 126 of the Laws of 2021 explicitly provides that “the addition, amendment and/or repeal of any rule or regulation, including regulations promulgated on an emergency basis, necessary for the implementation of this act on its effective date are authorized to be made and completed on or before such effective date” (emphasis added). Although these regulations are being promulgated on an emergency basis to preserve the public health and general welfare, OTDA will receive public comments on its combined Notice of Emergency Adoption and Proposed Rule Making until 60 days after publication of this notice.

Subject: Camp fees.

Purpose: To conform state regulations to statutory requirement effectuated by chapter 126 of the Laws of 2021, signed by the Governor on June 11, 2021 and effective June 30, 2021, allowing camp fees for children in family assistance or safety net assistance cases.

Text of emergency/proposed rule: Subdivision (i) of section 352.7 of Title 18 NYCRR is amended to read as follows:

(i) Camp fees. When funds cannot be obtained from other sources, camp fees may be paid for children receiving [ADC] *Family Assistance or Safety Net Assistance* not in excess of total cost of \$400 per child per annum, in amounts not to exceed \$200 per week.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire September 12, 2021.

Text of rule and any required statements and analyses may be obtained from: Richard P. Rhodes, Jr., New York State Office of Temporary and Disability Assistance, 40 North Pearl Street, 16-C, Albany, NY 12243-0001, (518) 486-7503, email: richard.rhodesjr@otda.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. Statutory authority:

Social Services Law (SSL) § 20(3)(d) authorizes the Office of Temporary and Disability Assistance (OTDA) to promulgate regulations to carry out its powers and duties.

SSL § 34(3)(f) requires the Commissioner of OTDA to establish regulations for the administration of public assistance (PA) within the State.

SSL § 131(1) requires social services districts (districts), insofar as funds are available, to provide adequately for those unable to maintain themselves, in accordance with the provisions of the SSL.

SSL § 131(3) authorizes districts to provide services to maintain and strengthen family life.

SSL § 131-a(5)(d), as amended by § 2 of Chapter 126 of the Laws of 2021, authorizes districts to make provisions for “camp fees for a camp operated by a not-for-profit organization, corporation or agency, which has been issued an operating certificate by the appropriate health official in whose jurisdiction such camp is located, when in the judgment of the social services official it is advisable for the welfare of a child receiving family assistance [FA] or safety net assistance [SNA] to attend such camp, provided, however, that funds cannot be obtained from other sources and such assistance is not in excess of maximum fees as established by regulations of the office of temporary and disability assistance...”

Chapter 126 of the Laws of 2021 also repealed SSL § 350(1)(d), which provided for allowances for summer camp fees to children in receipt of FA only, and amended SSL § 131-a(5)(d) to allow districts to provide for camp fees to children in receipt of FA or SNA. Finally, Section 3 of Chapter 126 of the Laws of 2021 explicitly provides that “the addition, amendment and/or repeal of any rule or regulation, including regulations promulgated on an emergency basis, necessary for the implementation of this act on its effective date are authorized to be made and completed on or before such effective date” (emphasis added).

2. Legislative objectives:

It was the intent of the Legislature in enacting the above statutes that OTDA establish rules, regulations and policies to approve the form of camp fees for PA and improve the administration of same as needed as early as the summer of 2021.

3. Needs and benefits:

Prior to the enactment of Chapter 126 of the Laws of 2021, state law only permitted the payment of camp fees under the FA program. As noted above, in addition to repealing the prior statutory allowance for camp fees for children, Chapter 126 of the Laws of 2021 expanded eligibility for camp fee allowances for children of households receiving FA or SNA.

OTDA is advancing these regulatory amendments to amend current 18

NYCRR § 352.7(i) in order to accurately reflect the expanded camp fee eligibility in conformity with Chapter 126 of the Laws of 2021. The regulatory amendments replace the reference to “ADC” (aid to dependent children) – which, per 18 NYCRR § 350.1(d) refers only to FA – with a broader reference to “Family Assistance or Safety Net Assistance”, thereby conforming the state regulation with § 2 of Chapter 126 of the Laws of 2021 – which was signed by the Governor on June 11, 2021 and became effective on June 30, 2021 – and codifying in regulation the statutory expansion of eligibility for the payment of camp fees for children in receipt of FA or SNA. The regulatory amendments reflect that children in receipt of FA or SNA may become eligible for camp fees as early as the summer of 2021, as required by the enactment of Chapter 126 of the Laws of 2021.

4. Costs:

OTDA anticipates that the fiscal impact of the statutory amendments will be minimal, with an estimated annual cost of \$40,000, which would be subsumed within a total gross SNA program cost of roughly \$1.8 billion. The regulatory amendments reflect the statutory requirement.

5. Local government mandates:

The regulatory amendments will impose minimal programs, services, duties or responsibilities upon districts. SSL § 131-a(5)(d) previously authorized districts to provide for payment of camp fees to children in receipt of FA. The regulatory amendments merely extend the maximum payment of camp fees to children in receipt of SNA in accordance with § 2 of Chapter 126 of the Laws of 2021, which was signed by the Governor on June 11, 2021 and became effective on June 30, 2021.

6. Paperwork:

The regulatory amendments do not impose any new reporting requirements or other paperwork upon the State or the districts.

7. Duplication:

The regulatory amendments do not duplicate, overlap, or conflict with any existing federal or State law or regulation.

8. Alternatives:

An alternative to the regulatory amendments would be to refrain from adopting them. However, if the regulatory amendments are not adopted, there will be a resulting inconsistency between SSL § 131-a(5)(d), as amended by § 2 of Chapter 126 of the Laws of 2021 – which allows districts to provide payment of camp fees for children in receipt of FA or SNA – and the previous version of 18 NYCRR § 352.7(i), which does not extend camp fee eligibility for children of SNA families. The resulting inconsistency would be contrary to law. Consequently, OTDA does not consider such inaction to represent a viable alternative to the regulatory amendments.

9. Federal standards:

The regulatory amendments do not conflict with any federal standard.

10. Compliance schedule:

OTDA anticipates that districts would be in compliance with the regulatory amendments upon the effective date of the regulatory amendments.

Regulatory Flexibility Analysis

A Regulatory Flexibility Analysis is not required for the regulatory amendments because the regulatory amendments to 18 NYCRR § 352.7(i) will neither have an adverse economic impact upon, nor impose reporting, recordkeeping, or other compliance requirements upon small businesses or social services districts (districts). The regulatory amendments align state regulations pertaining to camp fees with Chapter 126 of the Laws of 2021, allowing camp fee payments for children in family assistance or safety net assistance cases. As it is evident from the nature of the regulatory amendments, which merely reflect the new statutory requirement, that they will not have an adverse impact upon or impose reporting, recordkeeping, or other compliance requirements upon small businesses or districts, no further measures were needed to ascertain those facts and, consequently, none were taken.

Rural Area Flexibility Analysis

A Rural Area Flexibility Analysis is not required for the regulatory amendments to 18 NYCRR § 352.7(i) because the regulatory amendments will neither have an adverse impact upon, nor impose reporting, recordkeeping, or other compliance requirements upon rural social services districts (rural districts) or private entities in rural areas. The regulatory amendments align state regulations pertaining to camp fees with Chapter 126 of the Laws of 2021, allowing camp fee payments for children in family assistance or safety net assistance cases. As it is evident that the regulatory amendments merely reflect the new statutory requirement and will not have an adverse impact upon or impose reporting, recordkeeping, or other compliance requirements upon rural districts or private entities in rural areas, no further measures were needed to ascertain those facts and, consequently, none were taken.

Job Impact Statement

A Job Impact Statement is not required for the regulatory amendments. The regulatory amendments to 18 NYCRR § 352.7(i) update state regula-

tions pertaining to camp fees to align with Chapter 126 of the Laws of 2021, allowing camp fee payments for children in family assistance or safety net assistance cases. It is apparent from the nature and purpose of the regulatory amendments that they would not have a substantial adverse impact on jobs and employment opportunities in the public and private sectors of the State. The regulatory amendments will not substantively affect the jobs of employees at the state or social service district (district) level. Adoption of the regulatory amendments, which merely reflect the new statutory requirement, will not impose any new annual costs or administrative burdens upon the districts.

Workers' Compensation Board

EMERGENCY RULE MAKING

Ambulatory Surgery Services Fees

I.D. No. WCB-13-21-00002-E

Filing No. 741

Filing Date: 2021-06-11

Effective Date: 2021-06-11

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 329-2.1 of Title 12 NYCRR.

Statutory authority: Workers' Compensation Law, sections 13, 117 and 141

Finding of necessity for emergency rule: Preservation of public health, public safety and general welfare

Specific reasons underlying the finding of necessity: The addition of 12 NYCRR 323.2 is adopted as an emergency measure because the Board wants to align fees for similar medical procedures whether they are performed as an out-patient procedure or in-patient. During the COVID-19 pandemic the discrepancy in certain fees has become more pronounced due to restrictions in scheduling in-patient surgery. This regulation will ensure that a proper fee is in place for certain procedures, and the emergency measure is necessary for the general welfare and public health and safety because the discrepancy in fees is interfering with injured workers' access to procedures that they need.

Subject: Ambulatory surgery services fees.

Purpose: To update fees for ambulatory surgery services fees, especially due to the COVID-19 pandemic.

Text of emergency rule: (a) Except as set forth in subdivision (b) herein, [P]ayment for ambulatory surgery services shall be made according to the ambulatory patient groups (APG) methodology, governing reimbursement for licensed freestanding ambulatory surgical centers and hospital-based ambulatory surgery services as set forth herein and subject to WCB specific adjustments. The effective date of this Subpart shall be October 1, 2015.

(b) The following services performed at licensed freestanding ambulatory surgical centers and hospital-based ambulatory surgery services on or after March 15, 2021 shall not be subject to APG methodology, but shall be reimbursed at the following rates:

CPT	Description	Fee
23472	Arthroplasty Shoulder Total	\$7,723.47
23615	FX Proximal Humerus Open TX W/Wo Fixation	\$5,869.06
24363	Arthroplasty Elbow Total	\$7,723.47
24666	FX Radial Head/Neck Open TX W/Radial Head Prosthetic Replacement	\$6,369.06
24685	Olecranon fracture ORIF	\$5,869.06
25446	Arthroplasty Wrist Total	\$7,723.47
25574	FX Radial and Ulnar Shaft Open TX W/Fixation Radius or Ulna	\$5,869.06
25575	FX Radial and Ulnar Shaft Open TX W/Fixation Radius & Ulna	\$5,869.06

CPT	Description	Fee
25607	FX Distal Radial Extra-Articular Open TX W/Internal Fixation	\$5,869.06
25608	2 and 3 distal radius fractures	\$5,869.06
25609	3 and distal radius fractures	\$5,869.06
25825	Arthrodesis Wrist Limited W/Autograft	\$4,461.63
27130	Arthroplasty Hip Total	\$7,723.47
27132	Conversion Previous Hip SX to Total Hip Replacement	\$7,723.47
27447	Arthroplasty Knee Total	\$7,723.47
27486	Revise Total Knee Arthroplasty One Component	\$7,723.47
27702	Arthroplasty Ankle Total	\$7,723.47
27703	Arthroplasty Ankle Revision Total Ankle	\$7,723.47
27792	FX Lateral Malleolus (Distal Fibula) Open TX W/Wo Fixation	\$5,869.06
27823	Open reduction internal fixation of trimalleolar fracture	\$5,869.06
27870	Ankle Arthrodesis	\$5,311.97
28299	Hallux Valgus Correction by Double Osteotomy	\$5,449.85
28615	Open reduction of tarsometatarsal dislocations	\$5,869.06
28715	Trip Arthrodesis	\$4,949.85
28725	Subtalar Arthrodesis	\$4,949.85
28730	Tarsometatarsal Arthrodesis	\$4,949.85
28740	Tarsometatarsal Arthrodesis	\$4,949.85
29827	Arthroscopy Shoulder W/Rotator Cuff Repair	\$6,723.47
29888	Arthroscopy Ant Cruciate Ligament Repair/Augment Reconstruction	\$6,677.77

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. WCB-13-21-00002-EP, Issue of March 31, 2021. The emergency rule will expire August 9, 2021.

Text of rule and any required statements and analyses may be obtained from: Heather MacMaster, NYS Workers' Compensation Board, 328 State Street, Schenectady, NY 12305, (518) 486-9564, email: regulations@wcb.ny.gov

Regulatory Impact Statement

1. Statutory authority: Workers' Compensation Law (WCL) § 117(1) and 142 authorizes the Chair of the Workers' Compensation Board (Board) to adopt reasonable rules consistent with, and supplemental to, the provisions of the WCL. WCL § 13 requires the Chair to set fee schedules for medical treatment.

2. Legislative objectives: The emergency adoption and permanent proposal seeks to align fees for similar medical procedures whether they are performed as an out-patient procedure or in-patient. WCL § 13 requires the Chair to consult with various medical professionals when setting fee schedules. During the COVID pandemic the discrepancy in certain fees has become more pronounced due to restrictions in scheduling in-patient surgery. This regulation will ensure that a proper fee is in place for certain procedures.

3. Needs and benefits: The regulatory proposal seeks to make fees for similar procedures more equal to encourage efficient and safe management of injured worker's health care made more pronounced by the COVID pandemic. It is needed to ensure that injured workers are not put at risk due to delays in treatment or unnecessary in-patient procedures.

4. Costs: The costs for certain delineated out-patient procedures will increase, which would be borne by carriers and self-insured employers. However, these costs are still less than if the procedure were performed in-patient. Thus, the Board believes the costs for these procedures may actually decrease when more procedures are performed out-patient rather than in-patient, due to fee equity.

5. Local government mandates: The proposed amendments do not impose any additional program, service, duty, or responsibility upon any county, city, town, village, school district, fire district, or other special district – they simply change the fees for ambulatory surgery services.

6. Paperwork: The regulatory proposal does not require any additional paperwork – it changes the fees for ambulatory surgery services.

7. Duplication: The proposal does not duplicate other regulatory initiatives.

8. Alternatives: An alternative would be to leave the current regulation in place, and not make these fees more equal. However, this could lead to less equal health care for injured workers, especially in the midst of the COVID-19 pandemic.

9. Federal standards: There are no applicable Federal Standards.

10. Compliance schedule: The emergency adoption takes effect immediately upon filing to ensure that the updated fees are used to help provide efficient and safe management of injured workers' health care.

Regulatory Flexibility Analysis

The rule will not impose any adverse economic impact or reporting, recordkeeping or other compliance requirements on small businesses or local governments. The emergency adoption simply changes the fees for ambulatory surgery services.

Rural Area Flexibility Analysis

The rule will not impose any adverse economic impact or reporting, recordkeeping or other compliance requirements on rural areas. The emergency adoption simply changes the fees for ambulatory surgery services.

Job Impact Statement

A Job Impact Statement is not required because the proposal will not have any impact on jobs or employment opportunities. The proposal makes fees for similar procedures more equal to encourage efficient and safe management of injured worker's health care made more pronounced by the COVID pandemic.

Assessment of Public Comment

During the public comment period, the Board received one written comment.

The comment requested that the Board expand the policy goal of the emergency adoption for all procedures and not just the procedures addressed in the emergency adoption. The procedures addressed were identified by the Board and its stakeholders as being in particular need of attention and were therefore prioritized with this set of regulatory updates. The process is an ongoing dynamic one, and other procedures may be addressed in the future.

The comment also requested an explanation for why the Board revised only the procedures listed in the emergency adoption (mostly orthopedic procedures) and not others, and requested the ability to suggest additional procedures be added to the list to improve access to outpatient surgical services. The procedures addressed were identified by the Board and its stakeholders as being in particular need of attention and were therefore prioritized with this set of regulatory updates. The process is an ongoing dynamic one, and other procedures may be addressed in the future.

The comment also requested an explanation of how the Board calculated the fees in the emergency adoption, opining that several of the new fees are insufficient, and requested an opportunity to suggest revised fees. The process to determine the fees utilizes statewide and national norms and benchmarks and applies them in the context of the NYS WCB medical fee schedule framework. Additionally, the fees were set to serve as an offset to the cost of the implants.

The comment also requested a transparent process for a permanent regulation. This regulation as adopted as an emergency measure in addition to the proposal, because there was a need to address the services issues caused by the COVID-19 pandemic as quickly as possible. Because the emergency adoption was also proposed as a permanent adoption and open for a 60 day public comment period before a permanent adoption would be considered, no change was made in response to this comment.

The Board has made no changes to this emergency adoption as a result of this comment but will take into account this comment before making a decision on the permanent proposal published March 31, 2021.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Payment of Medical Bills and Disputes

I.D. No. WCB-26-21-00001-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of section 325-1.25 of Title 12 NYCRR.

Statutory authority: Workers' Compensation Law, sections 117 and 141

Subject: Payment of medical bills and disputes.

Purpose: To require all objections to medical bills be made simultaneously and make process more efficient.

Text of proposed rule: Subdivision (c) of Section 325-1.25 of Title 12 NYCRR is hereby amended to read as follows:

(c) Payment of bills for Medical Care.

(1) The employer or insurance carrier (or third-party administrator), within 45 days after the bill has been [submitted] *received* shall pay the bill or shall notify the physician, occupational or physical therapist, podiatrist, chiropractor, psychologist, nurse practitioner, physician assistant, licensed clinical social worker, acupuncturist, hospital, or other provider of Medical Care, *the claimant and claimant's attorney if applicable*, and the Board in the format prescribed by the Chair (which may be electronic) for such purpose that the bill is not being paid and the reasons for non-payment. If the employer or insurance carrier (or third-party administrator) objects to payment of all or part of the bill for reasons concerning its legal liability for payment, the legal objections shall be [placed on the Chair prescribed form] *made in the format prescribed by the Chair* for such purpose and submitted to the physician, occupational or physical therapist, podiatrist, chiropractor, psychologist, nurse practitioner, physician assistant, licensed clinical social worker, acupuncturist, hospital, or other provider of Medical Care, *the claimant and claimant's attorney if applicable*, and the Board *simultaneously with any other objections to the bill*. If the employer or insurance carrier (or third-party administrator) objects to payment of all or part of the bill for reasons concerning the value of the treatment performed or the amount billed, the valuation objections shall be [placed on the Chair prescribed form] *made in the format prescribed by the Chair* for that purpose and submitted to the physician, occupational or physical therapist, podiatrist, chiropractor, psychologist, nurse practitioner, physician assistant, licensed clinical social worker, acupuncturist, hospital, or other provider of Medical Care, *the claimant and claimant's attorney if applicable*, and the Board *simultaneously with any other objections to the bill*. [, except] *However*, if the only objection is that the amount billed for the particular Current Procedural Terminology (CPT) code is in excess of the appropriate fee schedule for the region where the services were provided, [then] the insurance carrier or employer (or third-party administrator) may *instead* file its explanation of benefits form. If the employer or insurance carrier (or third-party administrator) objects to payment of all or part of the bill for one or more of the Medical Treatment Guidelines objections set forth in paragraph (7) of this subdivision, the objections shall be placed in the format prescribed by the Chair (which may be electronic), along with the basis for the objection, and submitted to the physician, occupational or physical therapist, podiatrist, chiropractor, or psychologist, nurse practitioner, physician assistant, licensed clinical social worker, acupuncturist, hospital, or other provider of Medical Care, *the claimant and claimant's attorney if applicable*, and the Board *simultaneously with any other objections to the bill*.

(2) If the employer or insurance carrier (or third-party administrator) objects to only a portion of the bill submitted, it shall pay the uncontested portion within 45 days and file objections to the remaining portion as indicated herein.

(3) If the employer or insurance carrier (or third-party administrator) has not objected in the manner described herein to the payment of the bill within 45 days of submission, it shall be liable for payment of the full amount billed up to the maximum amount established in *the* applicable fee schedule. The Board shall not review any objection made thereafter.

(4) Legal, valuation, and Medical Treatment Guidelines objections shall be made *simultaneously* in the format prescribed by the Chair (which may be electronic).

(5) Valuation objections as to the amount of the bill include, but are not limited to, contentions that the bill is excessive and not in accordance with the pertinent fee schedule; has not been properly pro-rated or apportioned between providers; involves concurrent, duplicative, or overlapping services; uses improper current procedural terminology codes; is not in accordance with the Ground Rules limitation in the appropriate official workers' compensation fee schedule; is rendered too frequently; involves unnecessary or excessive hospitalization; or involves a physician, occupational or physical therapist, podiatrist, chiropractor, psychologist, nurse practitioner, physician assistant, licensed clinical social worker or acupuncturist treating outside the scope of practice.

(6) Legal objections as to the liability of the employer or insurance carrier (or third-party administrator) to pay include, but are not limited to, contentions that the claim has been controverted and liability has not been resolved; prior authorization for the special medical service was not granted; treatment was not causally related to the compensable injury; treatment provided was outside of the preferred provider organization; the medical report was not timely filed or was legally defective; the medical appliance, program, or provider is not authorized under the Workers' Compensation Law; or the bill is for evidentiary purposes and not for treatment. Pursuant to Workers' Compensation Law section 13(a), raising the issue of liability under Workers' Compensation Law section 25-a is not a valid legal objection to payment of a bill for treatment. *A legal objec-*

tion that was not properly raised in response to any applicable prior authorization request will be denied. The employer or insurance carrier (or third-party administrator) shall attach or identify the denial made to any applicable prior authorization request to its legal objection.

(7) The Medical Treatment Guidelines objections as to the liability of the employer or insurance carrier (or third-party administrator) to pay are:

(i) the treatment is not consistent with the Medical Treatment Guidelines and a variance was not requested or approved by the employer or insurance carrier (or third-party administrator), or the Board before the Medical Care was rendered;

(ii) the physician, podiatrist, chiropractor, psychologist, nurse practitioner, licensed clinical social worker, or hospital varied from the Medical Treatment Guidelines, the physician, podiatrist, chiropractor, psychologist, nurse practitioner, licensed clinical social worker, hospital, or other provider of Medical Care, requested and received approval for a variance from the employer or insurance carrier (or third-party administrator) or the Board before the Medical Care was rendered but provided Medical Care other than what was covered by the variance; or

(iii) the physician, occupational or physical therapist, podiatrist, chiropractor, psychologist, nurse practitioner, physician assistant, licensed clinical social worker, acupuncturist, hospital or other legally permitted Medical Care provider misapplied the Medical Treatment Guidelines.

(iv) A Medical Treatment Guideline objection that was not properly raised in response to any applicable prior authorization request will be denied. The employer or insurance carrier (or third-party administrator) shall attach or identify the denial made to any applicable prior authorization request to its legal objection.

Paragraph (3) of subdivision (d) of section 325-1.25 of Title 12 NYCRR is hereby amended to read as follows:

(3)(i) The Board will not accept any request for an administrative award until *thirty days* after all issues duly and timely raised by the employer or insurance carrier (or third-party administrator) with respect to its legal liability for payment and/or any Medical Treatment Guidelines objections set forth in paragraph (c)(7) of this section have been finally determined adversely to it.

(ii) A provider may only submit one request for an administrative award for each date of service. A request for administrative award that includes a date of service that was included on a previously submitted request for administrative award will be rejected.

Paragraph (4) of subdivision (e) of section 325-1.25 of Title 12 NYCRR is hereby amended to read as follows:

(4) The Chair will not accept any request for an arbitration award until *thirty days* after all issues duly and timely raised by the employer or insurance carrier (or third-party administrator) with respect to its legal liability for payment and/or any Medical Treatment Guidelines objections set forth in paragraph (c)(7) of this section have been finally determined adversely to it.

Paragraph (2) of subdivision (f) of section 325-1.25 of Title 12 NYCRR is hereby amended to read as follows:

(2) If legal liability and/or Medical Treatment Guidelines objection for the service is found in favor of the physician, podiatrist, chiropractor, psychologist, occupational or physical therapist, nurse practitioner, physician assistant, licensed clinical social worker, acupuncturist, hospital or other provider of Medical Care, the employer, insurance carrier (or third-party administrator) shall pay the bill within 30 days from the filing of the *proposed decision* or Notice of Decision *unless the employer or insurance carrier (or third-party administrator) timely submitted a valuation objection simultaneously with its legal objection(s) to the medical provider's bill* [or may raise valuation issues as to all or part of the bill within 30 days in the format prescribed by the Chair (which may be electronic) for such purpose as indicated herein].

Paragraph (3) of subdivision (f) of section 325-1.25 of Title 12 NYCRR is hereby amended to read as follows:

(3) If the employer or insurance carrier (or third-party administrator) files an application for review pursuant to Workers' Compensation Law section 23 from the Notice of Decision finding legal liability and/or Medical Treatment Guidelines objection in favor of the physician, podiatrist, chiropractor, psychologist, occupational or physical therapist, nurse practitioner, physician assistant, licensed clinical social worker, acupuncturist, hospital or other provider of Medical Care, the employer or insurance carrier (or third-party administrator) may withhold payment of the bills up to the amount in dispute until a Workers' Compensation Law Judge or conciliation decision, or if appealed, a Board Panel decision is rendered by the Board. If a Workers' Compensation Law Judge or conciliation decision, or if appealed, a Board Panel decision is filed finding legal liability and/or Medical Treatment Guidelines objection in favor of the physician, podiatrist, chiropractor, psychologist, occupational or physical therapist, nurse practitioner, physician assistant, licensed clinical social worker, acupuncturist, hospital or other provider of Medical Care, the employer or insurance carrier (or third-party administrator) shall pay the bill within 30

days from the filing of the Workers' Compensation Law Judge or conciliation decision, or if appealed, Board Panel decision *unless the employer or insurance carrier (or third-party administrator) timely submitted a valuation objection simultaneously with its legal objection(s) to the medical provider's bill* [or may raise valuation issues as to all or part of the bill within 30 days by submitting such valuation issues in the format prescribed by the Chair (which may be electronic) for such purpose as indicated herein]. A subsequent application to the Full Board, except for review by the Full Board of a Board Panel decision which one member dissented from, or to the Appellate Division of the Supreme Court, Third Department, or to the Court of Appeals on the issue of legal liability/or Medical Treatment Guidelines objection shall not operate as a stay of the payment of the bills for medical or hospital services.

Text of proposed rule and any required statements and analyses may be obtained from: Heather MacMaster, NYS Workers' Compensation Board, Office of General Counsel, 328 State Street, Schenectady, NY 12305, (518) 486-9564, email: regulations@wcb.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. Statutory Authority:

The Chair of the Workers' Compensation Board (Chair) is authorized to amend Part 325 of Title 12 NYCRR. Workers' Compensation Law (WCL) § 117(1) authorizes the Chair to make reasonable regulations consistent with the provisions of the WCL. WCL § 141 authorizes the Chair to enforce all provisions of the chapter and make administrative regulations and orders providing in part for the receipt, indexing, and examining of all notices, claims and reports.

2. Legislative Objectives:

The Chair of Workers' Compensation Board is authorized to adopt reasonable rules consistent with and supplemental to the Workers' Compensation Law (Workers' Compensation Law §§ 117[1] and 141). In keeping with this goal, this proposal and the Board's new OnBoard: Limited Release technology seek to make the worker's compensation system more efficient.

3. Needs and Benefits:

The proposal seeks to make the system more efficient for all parties, and specifically making the process of resolving medical billing disputes more efficient and to conform to the functionality of the Board's new technology. This proposal provides a process for the carrier to look at the bill holistically and allows the medical providers to easily understand any objections to their bill.

4. Costs:

This proposal is not expected to have costs associated with it – it provides clarity and a more efficient timeline so that there will no longer be multiple objections interspersed throughout the claim process. Having all objections happening at the same time will streamline operations and should decrease costs overall for all parties.

5. Local Government Mandates:

The rule only imposes a mandate on local governments that are self-insured. The mandates on local governments are the same as those imposed on private self-insured employers and insurance carriers participating in the worker's compensation system.

6. Paperwork:

The proposed amendments to the regulations streamline the objections to medical bills and require all necessary objections to be made at the same time – it simply changes the timing but does not add any additional paperwork. Additionally, the technology is electronic.

7. Duplication:

The proposed regulation does not duplicate or conflict with any state or federal requirements.

8. Alternatives:

One alternative was to not update this regulation to streamline the medical billing disputes process. However, OnBoard: Limited Release seeks to make the entire system more efficient, and the proposal conforms to that technology.

9. Federal Standards:

There are no federal standards applicable to this proposed regulation.

10. Compliance Schedule:

The regulation will take effect upon adoption.

Regulatory Flexibility Analysis

1. Effect of rule:

The impact on the State Insurance Fund and all private insurance carriers is not covered in this document as they are not small businesses. Group self-insured trusts and third-party administrators hired by private insurance carriers may be small businesses and must also comply with this

proposal. Medical providers treating workers' compensation claimants may also be small businesses and must comply as well.

2. Compliance requirements:

The proposed rule provides a more efficient and streamlined timeline for making objections to medical bills and requires them to be made at the same time instead of interspersed throughout the life of the claim.

3. Professional services: Small businesses and local governments affected by the rule will not need any new professional services to comply with this rule, as the proposal changes the timeline to make it more efficient but does not otherwise change the process.

4. Compliance costs:

The proposed amendments should have no compliance costs associated with them, as the proposal provides a clearer timeline and provides for the carrier to look at the bill holistically and make objections at the outset. This allows medical providers to know every item disputed in their bill from the beginning, and should streamline operations for all and ultimately lower costs as a result of increased efficiency.

5. Economic and technological feasibility: It is economically and technologically feasible for small businesses and local governments to comply with the proposed amendments. While the proposed amendments implement a new technology at the Board, the changes do not add any technological requirements or economic challenges for small businesses as the technology is very user-friendly and accessible from any computer with internet access. The changes will make the process more efficient for all participants.

6. Minimizing adverse impact: As stated above, the implementation of the proposed amendments is proposed in order to streamline operations and allow clarity and efficiency in the medical billing disputes process.

7. Small business and local government participation: The Board will duly consider comments from any small businesses or local governments during the public comment period.

The Board does not have a small employer or municipality database, but has sent an electronic communication describing the proposal to approximately 8,100 subscribers for Board updates (self-insured employers and medical providers) on June 11, 2021.

Rural Area Flexibility Analysis

1. Types and estimated numbers of rural areas:

The amendment of 12 NYCRR 325-1.25 will apply to all covered employers and insurance carriers, etc. as well as all medical providers participating in the workers' compensation system. These types of employers exist throughout the state, including all rural areas.

2. Reporting, recordkeeping and other compliance requirements; and professional services:

The proposal requires all necessary objections to a medical bill at the beginning in order to streamline the process.

3. Costs:

This proposal is not expected to have costs associated with it – it provides clarity and a more efficient timeline so that there will no longer be multiple objections interspersed throughout the claim process. Having all objections happening at the same time will streamline operations and should decrease costs overall for all parties.

4. Minimizing adverse impact:

The proposal is intended to make the workers' compensation system more efficient by streamlining the medical dispute billing process. The proposal is intended to minimize adverse impact by providing clarity through the timeline and allowing medical providers to know at the outset any objections to the medical bill.

5. Rural area participation:

The proposal will be available for public comment on the Board's website and in the State Register, and the Board will duly consider all public comments received.

Job Impact Statement

The proposed rule will not have an adverse impact on jobs. The proposed rule provides a streamlined timeline for objections to medical bills.

The rule does not eliminate any existing process, procedure, or program, and will not result in an adverse impact on jobs.

HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
Agriculture and Markets, Department of		
AAM-23-21-00001-P	Regulated Commodity Labeling, Packaging and Method of Sale Requirements	WebEx, (518) 549-0500, Access: 161 509 994—July 7, 2021, 11:00 a.m.
Environmental Conservation, Department of		
ENV-19-21-00001-P	Set Monitoring, Operational and Reporting Requirements for the Oil and Natural Gas Sector	Electronic webinar—July 20, 2021, 2:00 p.m. and 6:00 p.m. Instructions on how to “join” the hearing webinar and provide an oral statement will be published on the Department’s proposed regulations webpage for 6 NYCRR Part 203 by May 12, 2021. The proposed regulations webpage for 6 NYCRR Part 203 may be accessed at: https://www.dec.ny.gov/regulations/propregulations.html Persons who wish to receive the instructions by mail or telephone may call the Department at (518) 402-9003. Please provide your first and last name, address, and telephone number and reference the Part 203 public comment hearing. The Department will provide interpreter services for hearing impaired persons, and language interpreter services for individuals with difficulty understanding or reading English, at no charge upon written request submitted no later than June 29, 2021. The written request must be addressed to ALJ Lara Q. Olivieri, NYS DEC Office of Hearings and Mediation Services, 625 Broadway, 1st Floor, Albany, NY 12233-1550 or emailed to ALJ Olivieri at: ohms@dec.ny.gov
ENV-24-21-00008-P	Petroleum Bulk Storage (PBS)	Electronic webinar—August 17, 2021, 2:00 p.m. and 6:00 p.m. Instructions on how to “join” the hearing webinar and provide an oral statement will be published on the Department’s proposed regulations webpage for 6 NYCRR Parts 597, 598, and 613 by June 16, 2021. The proposed regulations webpage for 6 NYCRR Parts 597, 598, and 613 may be accessed at: https://www.dec.ny.gov/regulations/propregulations.html Persons who wish to receive the instructions by mail or telephone may call the Department at (518) 402-9003. Please provide your first and last name, address, and telephone number and reference the Parts 597, 598, and 613 public comment hearing. The Department will provide interpreter services for hearing impaired persons, and language interpreter services for individuals with difficulty understanding or reading English, at no charge upon written request submitted no later than July 27, 2021. The written request must be addressed to Chief ALJ James T. McClymonds, NYS DEC Office of Hearings and Mediation Services, 625 Broadway, 1st Floor, Albany, NY 12233-1550 or emailed to Chief ALJ McClymonds at ohms@dec.ny.gov.
ENV-24-21-00009-P	Chemical Bulk Storage (CBS)	Electronic webinar—August 17, 2021, 2:00 p.m. and 6:00 p.m.

Instructions on how to “join” the hearing webinar and provide an oral statement will be published on the Department’s proposed regulations webpage for 6 NYCRR Parts 597, 598, and 613 by June 16, 2021. The proposed regulations webpage for 6 NYCRR Parts 597, 598, and 613 may be accessed at:

<https://www.dec.ny.gov/regulations/propregulations.html>

Persons who wish to receive the instructions by mail or telephone may call the Department at (518) 402-9003. Please provide your first and last name, address, and telephone number and reference the Parts 597, 598, and 613 public comment hearing.

The Department will provide interpreter services for hearing impaired persons, and language interpreter services for individuals with difficulty understanding or reading English, at no charge upon written request submitted no later than July 27, 2021. The written request must be addressed to Chief ALJ James T. McClymonds, NYS DEC Office of Hearings and Mediation Services, 625 Broadway, 1st Floor, Albany, NY 12233-1550 or emailed to Chief ALJ McClymonds at ohms@dec.ny.gov.

ENV-26-21-00003-P Product Stewardship and Product Labeling

Electronic webinar—September 8, 2021, 10:00 a.m. and 2:00 p.m.

Instructions on how to “join” the hearing webinar and provide an oral statement will be published on the Department’s proposed regulations webpage for 6 NYCRR Part 368 by June 30, 2021. The proposed regulations webpage for 6 NYCRR Part 368 may be accessed at:

<https://www.dec.ny.gov/regulations/propregulations.html>

Persons who wish to receive the instructions by mail or telephone may call the Department at (518) 402-9003. Please provide your first and last name, address, and telephone number and reference the Part 368 public comment hearing.

The Department will provide interpreter services for hearing impaired persons, and language interpreter services for individuals with difficulty understanding or reading English, at no charge upon written request submitted no later than Wednesday, August 18, 2021. The written request must be addressed to ALJ Olivieri, DEC Office of Hearings and Mediation Services, 625 Broadway, 1st Fl., Albany, NY 12233-1550 or emailed to ALJ Olivieri at ohms@dec.ny.gov

Public Service Commission

PSC-19-21-00009-P Major Electric Rate Filing

Teleconference—July 12, 2021, 10:30 a.m. and continuing daily as needed. (Evidentiary Hearing)*

*On occasion, there are requests to reschedule or postpone hearing dates. If such a request is granted, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 21-E-0074.

PSC-19-21-00012-P Major Gas Rate Filing

Teleconference—July 12, 2021, 10:30 a.m. and continuing daily as needed. (Evidentiary Hearing)*

State, Department of

DOS-19-21-00014-P..... Minimum Standards for Administration and Enforcement of the Uniform Code and Energy Code

*On occasion, there are requests to reschedule or postpone hearing dates. If such a request is granted, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 21-G-0073.

99 Washington Ave., Rm. 505, Albany, NY—
July 15, 2021, 10:00 a.m.
Due to the ongoing pandemic, the public hearing scheduled to accept public comments may be held virtually only. Instructions for attendance online or by phone will be provided on the Department of State’s Division of Building Standards and Codes’ website at <https://dos.ny.gov/notice-proposed-rule-making> at least fourteen (14) days prior to the public hearing.

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals

listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	01	12	00001	P

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
-----------------	---------	----------------	-------------------

AGRICULTURE AND MARKETS, DEPARTMENT OF

*AAM-12-20-00006-P	07/24/21	Calibrating and testing of certain weights and measures standards and devices.	To allow the Dept. to increase the fees it charges in calibrating and testing certain weights & measures standards and devices.
AAM-21-21-00021-EP	05/26/22	Control of the European Cherry Fruit Fly	To help control the spread of the European Cherry Fruit Fly (ECFF), which renders cherries unmarketable if they are infested
AAM-23-21-00001-P	07/07/22	Regulated commodity labeling, packaging and method of sale requirements	Amend packaging, labeling & method of sale requirements for various commodities to align with industry & federal standards

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF

ASA-28-20-00013-P	09/14/21	Patient Rights	To set-forth the minimum regulatory requirements for patient rights in OASAS certified, funded or otherwise authorized programs
ASA-28-20-00016-RP	09/14/21	Designated Services	To set-forth the minimum regulatory requirements for certified programs to seek an Office designation

CHILDREN AND FAMILY SERVICES, OFFICE OF

*CFS-04-20-00009-P	09/14/21	Host Family Homes	The proposed regulations would establish standards for the approval and administration of host family homes.
CFS-49-20-00006-EP	12/09/21	Maintenance reimbursement for residential CSE programs when a student has been absent from the program for more than 15 days.	Remove an existing regulatory barrier that precludes maintenance reimbursement for residential CSE programs.

CIVIL SERVICE, DEPARTMENT OF

*CVS-06-20-00004-P	09/14/21	Jurisdictional Classification	To classify positions in the non-competitive class
--------------------	----------	-------------------------------	--

Action Pending Index**NYS Register/June 30, 2021**

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
*CVS-13-20-00015-P	09/14/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
*CVS-13-20-00016-P	09/14/21	Jurisdictional Classification	To classify positions in the exempt class
*CVS-24-20-00002-P	09/14/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
*CVS-24-20-00003-P	09/14/21	Jurisdictional Classification	To classify positions in the non-competitive class
*CVS-24-20-00004-P	09/14/21	Jurisdictional Classification	To classify positions in the non-competitive class
*CVS-24-20-00005-P	09/14/21	Jurisdictional Classification	To classify a position in the non-competitive class
*CVS-24-20-00006-P	09/14/21	Jurisdictional Classification	To classify positions in the exempt class
*CVS-24-20-00007-P	09/14/21	Jurisdictional Classification	To classify positions in the non-competitive class
*CVS-24-20-00008-P	09/14/21	Jurisdictional Classification	To classify positions in the non-competitive class
*CVS-24-20-00009-P	09/14/21	Jurisdictional Classification	To classify positions in the non-competitive class
*CVS-24-20-00010-P	09/14/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-28-20-00004-P	09/14/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-28-20-00005-P	09/14/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-28-20-00006-P	09/14/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-28-20-00007-P	09/14/21	Jurisdictional Classification	To delete a position from the exempt class
CVS-28-20-00008-P	09/14/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-28-20-00009-P	09/14/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-28-20-00010-P	09/14/21	Jurisdictional Classification	To classify positions in the non-competitive class.
CVS-28-20-00011-P	09/14/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-28-20-00012-P	09/14/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-32-20-00003-P	09/14/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-32-20-00004-P	09/14/21	Jurisdictional Classification	To classify positions in the exempt and the non-competitive classes

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-32-20-00005-P	09/14/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-32-20-00006-P	09/14/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-10-21-00001-P	03/10/22	Jurisdictional Classification	To delete a subheading and positions from and to classify a subheading and positions in the exempt and non-competitive classes
CVS-10-21-00002-P	03/10/22	Jurisdictional Classification	To classify a position in the exempt class and to classify a position from the non-competitive class
CVS-10-21-00003-P	03/10/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-10-21-00004-P	03/10/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-10-21-00005-P	03/10/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-21-00005-P	03/31/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-21-00006-P	03/31/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-21-00007-P	03/31/22	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-13-21-00008-P	03/31/22	Jurisdictional Classification	To classify positions in the exempt class
CVS-14-21-00001-P	04/07/22	Juneteenth Holiday	To add Juneteenth to the listing of holidays in the Attendance Rules
CVS-14-21-00002-P	04/07/22	Supplemental military leave benefits	To extend the availability of supplemental military leave benefits for certain New York State employees until December 31, 2021
CVS-19-21-00003-P	05/12/22	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-19-21-00004-P	05/12/22	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-19-21-00005-P	05/12/22	Jurisdictional Classification	To delete a position from and classify positions in the non-competitive class
CVS-23-21-00006-P	06/09/22	Jurisdictional Classification	To classify positions in the exempt class
CVS-23-21-00007-P	06/09/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-23-21-00008-P	06/09/22	Jurisdictional Classification	To delete positions from the exempt class
CVS-23-21-00009-P	06/09/22	Jurisdictional Classification	To classify a subheading and positions in the exempt class
COMMISSIONER OF PILOTS, BOARD OF			
COP-24-21-00011-P	exempt	Rate increases for pilot services	To offset costs being incurred by pilots by failure of users to properly assess assistance needed from pilots

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF			
CCS-34-20-00001-P	09/14/21	Family Reunion Program	To clarify for logic and consistency, and make additional changes to the current Family Reunion Program
ECONOMIC DEVELOPMENT, DEPARTMENT OF			
EDV-48-20-00001-P	12/02/21	Employee Training Incentive Program	To update the administrative processes for the ETIP program
EDUCATION DEPARTMENT			
*EDU-17-19-00008-P	09/14/21	To require study in language acquisition and literacy development of English language learners in certain teacher preparation	To ensure that newly certified teachers enter the workforce fully prepared to serve our ELL population
*EDU-27-19-00010-P	07/24/21	Substantially Equivalent Instruction for Nonpublic School Students	Provide guidance to local school authorities to assist them in fulfilling their responsibilities under the Compulsory Ed Law
*EDU-20-20-00008-ERP	11/16/21	Addressing the COVID-19 Crisis	To provide flexibility for certain regulatory requirements in response to the COVID-19 crisis
EDU-08-21-00002-P	02/24/22	The Definition of the Term "University"	To clarify and broaden the definition of the term "university"
EDU-13-21-00011-EP	03/31/22	Licensure requirements for Land Surveyors	Adding and amending licensure requirements for Land Surveyors
EDU-13-21-00012-P	03/31/22	Renaming the Languages Other Than English (LOTE) learning standards to "World Languages"	To rename the LOTE learning standards to "World Languages" and corresponding changes to the certificate title and tenure titles
EDU-13-21-00013-P	03/31/22	Eligibility of School Psychology Candidates for the Internship Certificate	To allow candidates enrolled in registered school psychology programs to be eligible for the Internship certificate
EDU-13-21-00014-EP	03/31/22	Addressing the COVID-19 Crisis	To provide regulatory flexibility in response to the COVID-19 crisis
EDU-17-21-00009-EP	04/28/22	Including Emergency Protocols in District-Wide School Safety Plans	To implement Chapter 168 of the Laws of 2020, as amended by Chapter 30 of the Laws of 2021
EDU-17-21-00010-EP	04/28/22	Students' Eligibility to Participate in Interscholastic Competition Until the Conclusion of the Interscholastic Spring Season	To ensure that eligible students are able to complete their interscholastic athletic spring season the year they graduate
EDU-17-21-00011-P	04/28/22	Education Law 310 Appeals to the Commissioner and Initiation Conduct of Proceedings for the Removal of School Officers	To make technical changes and other clarifying amendments to section 310 appeal procedures and requirements
EDU-17-21-00012-EP	04/28/22	Extending the edTPA Safety Net in Response to the COVID-19 Crisis	To extend the edTPA safety net in response to the COVID-19 crisis
EDU-21-21-00006-P	05/26/22	Civic Readiness Pathway to Graduation and the New York State Seal of Civic Readiness	To establish the civic readiness pathway to graduation and the New York State Seal of Civic Readiness

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
EDU-21-21-00007-EP	05/26/22	Providing flexibility for hands-only CPR instruction, the NYS Seal of Biliteracy, and health examinations and certificates	To provide regulatory flexibility in response to the COVID-19 crisis
EDU-21-21-00008-P	05/26/22	Requirements for Chiropractic Education Programs and Education Requirements for Licensure as a Chiropractor	To conform the Commissioner's regulations to national education standards for postsecondary education
EDU-21-21-00009-P	05/26/22	School Counselor Bilingual & Supplementary Bilingual Education Extension & Registration Requirements	To create the bilingual education extension, supplementary bilingual education extension, and registration requirements for programs leading to the bilingual education extension for initial and professional school counselor certificates
EDU-21-21-00010-P	05/26/22	Adding the Doctor of Business Administration (D.B.A.) Degree and Master of Theological Studies (M.T.S.) Degree in New York State	To add the D.B.A. degree and M.T.S. degree in New York State
EDU-25-21-00014-P	06/23/22	Permanent School Counselor Certificate Requirements	To allow candidates who have an expired Provisional School Counselor certificate and apply for a Permanent School Counselor certificate prior to February 2, 2023
EDU-25-21-00015-P	06/23/22	Extending the Instructional Hour COVID-19 Waiver to the 2021-22 School Year	To provide additional regulatory flexibility by extending the instructional hour COVID-19 waiver to the 2021-22 school year
EDU-25-21-00016-P	06/23/22	Mandatory Peer Review Program in the Profession of Public Accountancy	To conform to the national peer review program standards to enhance enforcement efforts to help ensure the quality of attest services provided by New York public accounting firms
EDU-25-21-00017-P	06/23/22	Financial Viability, Accountability, and Evaluating Academic Progress in Licensed Private Career Schools & Certified ESL Schools	To establish standards of financial viability, accountability, and evaluating academic progress in licensed private career schools and certified English as a second language schools
EDU-25-21-00018-EP	06/23/22	Permitting the Dignity for All Students Act (DASA) Training to be Provided Entirely Online Due to the COVID-19 Crisis	To permit DASA training to be provided entirely online through December 31, 2021 due to the COVID-19 crisis
EDU-25-21-00019-EP	06/23/22	Two-Step Identification Process for Academic Services (AIS) for Students Who Will Be Enrolled in Grades 3-8 in 2021-22	To provide flexibility for the 2-step AIS identification process for students enrolled in grades 3-8 in the 2021-22 school year
ELECTIONS, STATE BOARD OF			
SBE-13-21-00015-P	03/31/22	Implementation of Cyber Security Requirements for Local Boards of Elections	Requires that that every county board of elections adhere to a minimum level of cyber-security standards
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-01-21-00003-ERP	01/06/22	Regulations governing recreational fishing for striped bass	To require circle hooks when fishing recreationally for striped bass using bait
ENV-03-21-00010-P	03/23/22	Application of Site-Specific Criteria to Class I and Class SD Waters	Add site-specific criteria to Class I and SD waters to provide additional water quality protection of the existing best uses

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-04-21-00007-P	03/30/22	Chlorpyrifos prohibition	Prohibit distribution, sale, purchase, possession, or use of pesticides that contain the active ingredient chlorpyrifos
ENV-04-21-00008-P	04/07/22	Food Donation and Food Scraps Recycling	Required by Title 22 of Article 27, the rule increases food donation and the recycling of food scraps through composting
ENV-12-21-00004-P	03/24/22	Public use of Wildlife Management Areas, and areas with special regulations	To ensure that public use of WMAs and other sites does not interfere or conflict with intended purposes of those areas
ENV-16-21-00012-P	04/21/22	Regulations governing whelk management	To protect immature whelk from harvest and establish gear and reporting rules for marine resource protection and public safety
ENV-19-21-00001-P	07/20/22	Set monitoring, operational and reporting requirements for the oil and natural gas sector	Reduce emissions of methane and volatile organic compounds from the oil and natural gas sector
ENV-22-21-00001-EP	06/02/22	Peekamoose Valley Riparian Corridor	Protect public health, safety, general welfare and natural resources on the Peekamoose Valley Riparian Corridor
ENV-23-21-00011-P	06/09/22	Deer and Bear Hunting	Strategically increase antlerless deer harvest, expand hunting hours, simplify bear hunting seasons, and enhance hunter safety
ENV-24-21-00007-P	06/16/22	Amendments to permit requirements for trapping fisher and marten in New York State	To remove the requirement for a special fisher trapping permit, and to simplify marten trapping requirements
ENV-24-21-00008-P	08/17/22	Petroleum Bulk Storage (PBS)	To amend the PBS regulations, 6 NYCRR Part 613
ENV-24-21-00009-P	08/17/22	Chemical Bulk Storage (CBS)	To repeal existing 6 NYCRR Parts 596, 598, 599 and replace with new Part 598; and amend existing Part 597; for the CBS program
ENV-26-21-00003-P	09/08/22	Product Stewardship and Product Labeling	Expand, strengthen and clarify existing regulations to establish consistency with federal and state requirements
FINANCIAL SERVICES, DEPARTMENT OF			
*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
*DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liability Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company
*DFS-43-19-00017-RP	09/14/21	Independent Dispute Resolution for Emergency Services and Surprise Bills	To require notices and consumer disclosure information related to surprise bills and bills for emergency service to be provided
DFS-49-20-00011-P	12/09/21	Credit for Reinsurance	To conform to covered agreements entered into between the US and EU and the US and UK, and implement NAIC models.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
FINANCIAL SERVICES, DEPARTMENT OF			
DFS-13-21-00001-P	03/31/22	Enterprise Risk Management and Own Risk and Solvency Assessment	To require an entity subject to the rule to describe its ERM function in its enterprise risk report, among other things
DFS-14-21-00007-P	04/07/22	Public Adjusters	To update the rule regarding public adjusters, including to conform to Chapter 546 of the Laws of 2013
GAMING COMMISSION, NEW YORK STATE			
SGC-34-20-00009-P	08/26/21	Qualification time in harness racing	To improve harness pari-mutuel wagering and generate reasonable revenue for the support of government
SGC-50-20-00007-P	12/16/21	Contactless payment methods for chances in charitable gaming	To promote public health and support of organizations authorized to operate games of chance
SGC-09-21-00014-P	03/03/22	Lasix administrations on race day	To enhance the safety and integrity of pari-mutuel racing
SGC-17-21-00001-P	04/28/22	Time, place and manner of Powerball drawings	To provide that the time, place and manner of Powerball drawings will be as authorized by the Multi-State Lottery Association
HEALTH, DEPARTMENT OF			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
*HLT-36-19-00006-P	09/14/21	Limits on Executive Compensation	Removes "Soft Cap" prohibition on covered executive salaries.
*HLT-46-19-00003-P	09/14/21	Tanning Facilities	To prohibit the use of indoor tanning facilities by individuals less than 18 years of age
*HLT-47-19-00008-P	09/14/21	Hospital Medical Staff - Limited Permit Holders	To repeal extra years of training required for limited permit holders to work in New York State hospitals.
*HLT-51-19-00001-P	09/14/21	Women, Infants and Children (WIC) Program	To support implementation of eWIC; clarify rules for violations, penalties & hearings & conform vendor authorization criteria.
*HLT-04-20-00003-RP	10/22/21	Applied Behavior Analysis	To include Applied Behavior Analysis in the Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) benefit.
*HLT-11-20-00003-P	09/14/21	Adult Day Health Care (ADHC)	To allow for reimbursement of real property leases in certain situations when used for operations of an ADHC program
HLT-27-20-00006-P	09/14/21	Medicaid Managed Care State Fair Hearings and External Appeals Processes and Standards	To address & clarify rules of procedure & presentation of evidence for Medicaid managed care fair hearings & external appeals
HLT-28-20-00019-RP	09/14/21	Personal Care Services (PCS) and Consumer Directed Personal Assistance Program (CDPAP)	To implement a revised assessment process and eligibility criteria for PCS and CDPAP

Action Pending Index**NYS Register/June 30, 2021**

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HEALTH, DEPARTMENT OF			
HLT-31-20-00012-EP	 exempt	Hospital Non-comparable Ambulance Acute Rate Add-on	Prevents duplicate claiming by Article 28 hospitals for the ambulance add-on regarding participation in the program
HLT-38-20-00006-P	 09/23/21	Medicaid Transportation Program	Medicaid payment standards for emergency ambulance providers participating in an Emergency Triage, Treat & Transport (ET3) model
HLT-45-20-00002-RP	 11/10/21	Cannabinoid Hemp	To create a licensing framework for cannabinoid hemp processors and cannabinoid hemp retailers
HLT-05-21-00011-P	 02/03/22	Ingredient Disclosures for Vapor Products and E-Cigarettes	To provide for enhanced public awareness of the chemicals used in vapor products and electronic cigarettes
HLT-07-21-00012-P	 02/17/22	Rate Setting for Residential Habilitation in Community Residences and for Non-State Providers of Day Habilitation	To amend rate methodologies limiting payments to IRA providers to conform to provisions in approved waiver
HLT-09-21-00009-EP	 03/03/22	Surrogacy Programs and Assisted Reproduction Service Providers	To license and regulate surrogacy programs
HLT-19-21-00002-EP	 05/12/22	Meeting Space in Transitional Adult Homes	Establish criteria for suitable meeting space to ensure privacy in conversations and submit a compliance plan to the Department
HLT-22-21-00003-P	 06/02/22	Reducing Biannual Testing of Adult Care Facility Staff	To remove the requirement for biannual testing of adult care workers
HLT-22-21-00004-P	 06/02/22	Hospice Residence Rates	To authorize Medicaid rate of payment to increase the Hospice Residence reimbursement rates by 10 percent
HLT-22-21-00005-P	 06/02/22	Stroke Services	Amend transition period for existing stroke centers to allow the Dept. to extend the three year transition period, if necessary
HLT-22-21-00009-P	 06/02/22	Managed Care Organizations (MCOs)	To maintain the contingent reserve requirement at 7.25% through 2022 applied to Medicaid Managed Care, HIV SNP & HARP programs
HLT-22-21-00010-P	 06/02/22	Labeling Requirements Concerning Vent-Free Gas Space Heating Appliances	To adjust the current labeling requirements for unvented gas space heating appliances

HUMAN RIGHTS, DIVISION OF

HRT-15-21-00005-P	 04/14/22	Notice of tenants' rights to reasonable modifications and accommodations for persons with disabilities	To comply with the requirements of Executive Law section 170-d
-------------------	----------------	--	--

JOINT COMMISSION ON PUBLIC ETHICS, NEW YORK STATE

JPE-21-21-00002-P	 05/26/22	Records access	To update regulations governing records access
-------------------	----------------	----------------	--

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LABOR, DEPARTMENT OF			
*LAB-46-19-00004-P	09/14/21	NY State Public Employees Occupational Safety and Health Standards	To incorporate by reference updates to OSHA standards into the NY State Public Employee Occupational Safety and Health Standards
LAB-49-20-00012-P	12/09/21	Sick Leave Requirements	To provide definitions and standards for the sick leave requirements contained in Section 196-b of the Labor Law
LAB-05-21-00003-EP	02/03/22	Unemployment Insurance (UI) definition of "day of total unemployment"	To prevent an additional financial burden on UI claimants seeking part-time work opportunities and help employers obtain talent
LAW, DEPARTMENT OF			
*LAW-18-20-00002-P	09/14/21	Designation of a Privacy Officer	Removal of a named Privacy Officer., along with their contact information
LIQUOR AUTHORITY, STATE			
LQR-12-21-00005-P	06/09/22	Minimum curriculum requirements for ATAP schools	To add information regarding sexual violence prevention to minimum curriculum requirements for ATAP schools
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting
*LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
*LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment.
*LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap.
*LPA-09-20-00010-P	exempt	To update and implement latest requirements for ESCOs proposing to do business within the Authority's service territory.	To strengthen customer protections and be consistent with Public Service Commission orders on retail energy markets.
LPA-28-20-00033-EP	exempt	LIPA's late payment charges, reconnection charges, and low-income customer discount enrollment	To allow waiver of late payment and reconnection charges and extend the grace period for re-enrolling in customer bill discounts

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LONG ISLAND POWER AUTHORITY			
LPA-37-20-00013-EP	 exempt	The terms of deferred payment agreements available to LIPA's commercial customers	To expand eligibility for and ease the terms of deferred payment agreements for LIPA's commercial customers
LPA-12-21-00011-P	 exempt	LIPA's Long Island Choice (retail choice) tariff	To simplify and improve Long Island Choice based on stakeholder collaborative input
LPA-14-21-00010-P	 exempt	To increase participation in the NYSEDA GJGNY On-Bill Loan Installment program	To provide low-cost on-bill financing for customers investing in energy efficiency, DERs, and beneficial electrification
LONG ISLAND RAILROAD COMPANY			
LIR-39-20-00005-ERP	 09/30/21	Requiring wearing masks over the nose and mouth when using terminals, stations, and trains operated by Long Island Rail Road	To safeguard the public health and safety on terminals, stations and trains operated by Long Island Rail Road
MANHATTAN AND BRONX SURFACE TRANSIT OPERATING AUTHORITY			
MBA-39-20-00007-EP	 09/30/21	Requiring mask wearing covering the nose and mouth when using facilities and conveyances operated by the MABSTOA	To safeguard the public health and safety by amending existing rules to require use of masks when using the transit system
MENTAL HEALTH, OFFICE OF			
OMH-09-21-00001-EP	 03/03/22	Redesigning Residential Treatment Facilities (RTF)	To provide clarity and provide uniformity relating to RTF's and to implement Chapter 58 of the Laws of 2020
OMH-15-21-00001-EP	 04/14/22	Residential treatment facility leave of absence	Update requirements for leave of absence in RTFs; Implement State Plan Amendments effective 7/1/18 (attachment 4.16C (pg 2 & 3))
OMH-20-21-00006-P	 05/19/22	Establishment of Youth Assertive Community Treatment (ACT)	To include children in the populations eligible to receive ACT and other conforming changes
METRO-NORTH COMMUTER RAILROAD			
MCR-39-20-00004-EP	 09/30/21	Requiring mask wearing covering the nose and mouth when using terminals, stations, and trains operated by Metro-North Railroad	To safeguard the public health and safety by amending the rules to require use of masks when using Metro-North facilities
METROPOLITAN TRANSPORTATION AGENCY			
MTA-39-20-00009-EP	 09/30/21	Requiring mask wearing covering the nose and mouth when using the facilities and conveyances operated by MTA Bus Company	To safeguard the public health and safety by amending rules to require use of masks when using MTA Bus facilities and conveyance
MTA-16-21-00004-EP	 04/21/22	Requiring mask wearing when using the facilities and conveyances of the MTA and its operating affiliates and subsidiaries	To safeguard the public health and safety by adding a new all-agency rule requiring the use of masks in facilities and conveyances
MOTOR VEHICLES, DEPARTMENT OF			
MTV-21-21-00001-P	 05/26/22	Dealer Document Fee	Raises the dealer document fee from \$75 to \$175

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
MOTOR VEHICLES, DEPARTMENT OF			
MTV-26-21-00002-P	06/30/22	Relicensing after revocation	Conforms regulation with Departmental longstanding practice of not issuing a proposed denial of license applications
NEW YORK CITY TRANSIT AUTHORITY			
NTA-39-20-00006-EP	09/30/21	Requiring mask wearing covering the nose and mouth when using facilities and conveyances operated by NYC Transit Authority	To safeguard the public health and safety by amending existing rules to require use of masks when using the transit system
NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
OGDENSBURG BRIDGE AND PORT AUTHORITY			
*OBA-33-18-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit.
*OBA-07-19-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR			
PDD-15-21-00002-EP	04/14/22	Billing for Day Program Duration	To allow providers of day hab and site-based prevocational services to bill for day program duration with greater flexibility
POWER AUTHORITY OF THE STATE OF NEW YORK			
*PAS-01-10-00010-P	exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PUBLIC SERVICE COMMISSION			
*PSC-09-99-00012-P	exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P	exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-44-01-00005-P	exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-07-02-00032-P	exempt	Uniform business practices	To consider modification

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-36-03-00010-P	 exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P	 exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P	 exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P	 exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P	 exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-02-04-00008-P	 exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P	 exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P	 exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P	 exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P	 exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P	 exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P	 exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P	 exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P	 exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P	 exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P	 exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P	 exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P	 exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-28-04-00006-P	 exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P	 exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P	 exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P	 exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P	 exempt	Accounts receivable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts receivable
*PSC-46-04-00012-P	 exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P	 exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P	 exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P	 exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P	 exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P	 exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P	 exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-25-05-00011-P	 exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P	 exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P	 exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P	 exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-46-05-00015-P	 exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P	 exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-50-05-00008-P	 exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P	 exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P	 exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P	 exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P	 exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P	 exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P	 exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P	 exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P	 exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP	 exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P	 exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P	 exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P	 exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P	 exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P	 exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P	 exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P	 exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-06-07-00020-P	 exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P	 exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-11-07-00011-P	 exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P	 exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P	 exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P	 exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P	 exempt	Natural Gas Supply and Acquisition Plan by Conring Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P	 exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P	 exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P	 exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P	 exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P	 exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P	 exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P	 exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P	 exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P	 exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P	 exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P	 exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P	 exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-08-08-00016-P	 exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P	 exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P	 exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P	 exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P	 exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P	 exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P	 exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P	 exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P	 exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P	 exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-32-08-00009-P	 exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P	 exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P	 exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P	 exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P	 exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P	 exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-43-08-00014-P	 exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P	 exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P	 exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P	 exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P	 exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P	 exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P	 exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P	 exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P	 exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P	 exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P	 exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P	 exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P	 exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P	 exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P	 exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P	 exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-05-09-00009-P	 exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P	 exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P	 exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P	 exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P	 exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P	 exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P	 exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P	 exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P	 exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P	 exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P	 exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P	 exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commercial accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P	 exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P	 exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P	 exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P	 exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-18-09-00012-P	 exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P	 exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P	 exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P	 exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P	 exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P	 exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P	 exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P	 exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P	 exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P	 exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P	 exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P	 exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p.
*PSC-29-09-00011-P	 exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P	 exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P	 exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P	 exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-36-09-00008-P	 exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P	 exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P	 exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P	 exempt	Modifications to the \$5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income \$5 Bill Credit Program
*PSC-39-09-00018-P	 exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P	 exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P	 exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P	 exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P	 exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P	 exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to \$50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P	 exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P	 exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P	 exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility
*PSC-07-10-00009-P	 exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P	 exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847
*PSC-08-10-00009-P	 exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-12-10-00015-P	 exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P	 exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P	 exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P	 exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P	 exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P	 exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P	 exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P	 exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P	 exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P	 exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P	 exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P	 exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P	 exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-34-10-00005-P	 exempt	Approval of a contract for \$250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of \$250,000 for tank repairs
*PSC-34-10-00006-P	 exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P	 exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-40-10-00014-P	 exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P	 exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P	 exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P	 exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P	 exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P	 exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P	 exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P	 exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P	 exempt	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P	 exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P	 exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P	 exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P	 exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York
*PSC-20-11-00013-P	 exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P	 exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-26-11-00007-P	 exempt	Water rates and charges	To approve an increase in annual revenues by about \$25,266 or 50%

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-26-11-00009-P	 exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P	 exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P	 exempt	Petition requesting the Commission reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-35-11-00011-P	 exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P	 exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P	 exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P	 exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P	 exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P	 exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P	 exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P	 exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P	 exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P	 exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P	 exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York
*PSC-01-12-00007-P	 exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P	 exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P	 exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-11-12-00002-P	 exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P	 exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P	 exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P	 exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than \$100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P	 exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P	 exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P	 exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P	 exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P	 exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P	 exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P	 exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-30-12-00010-P	 exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P	 exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles
*PSC-37-12-00009-P	 exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P	 exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P	 exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-45-12-00010-P	 exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P	 exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P	 exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P	 exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.
*PSC-06-13-00008-P	 exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P	 exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P	 exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P	 exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P	 exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.
*PSC-18-13-00007-P	 exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P	 exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P	 exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P	 exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive
*PSC-21-13-00009-P	 exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P	 exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P	 exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-25-13-00008-P	 exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P	 exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00012-P	 exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-27-13-00014-P	 exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P	 exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P	 exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P	 exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P	 exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P	 exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P	 exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.
*PSC-33-13-00029-P	 exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P	 exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P	 exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P	 exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-43-13-00015-P	 exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P	 exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.
*PSC-45-13-00022-P	 exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-45-13-00023-P	 exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P	 exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P	 exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P	 exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P	 exempt	Conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.
*PSC-49-13-00008-P	 exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P	 exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P	 exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P	 exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P	 exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).
*PSC-52-13-00015-P	 exempt	To enter into a loan agreement with the banks for up to an amount of \$94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-05-14-00010-P	 exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P	 exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.
*PSC-07-14-00012-P	 exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P	 exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality and the Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P	 exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-11-14-00003-P	 exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P	 exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.
*PSC-16-14-00015-P	 exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P	 exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P	 exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P	 exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P	 exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P	 exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P	 exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P	 exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.
*PSC-23-14-00010-P	 exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P	 exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P	 exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
*PSC-26-14-00013-P	 exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.
*PSC-26-14-00020-P	 exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.
*PSC-26-14-00021-P	 exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.
*PSC-28-14-00014-P	 exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-30-14-00023-P	 exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.
*PSC-30-14-00026-P	 exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive,Albany, NY.
*PSC-31-14-00004-P	 exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P	 exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P	 exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-35-14-00005-P	 exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
*PSC-36-14-00009-P	 exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
*PSC-38-14-00003-P	 exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.
*PSC-38-14-00004-P	 exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00005-P	 exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-38-14-00007-P	 exempt	Whether to expand Con Edison's low income program to include Medicaid recipients.	Whether to expand Con Edison's low income program to include Medicaid recipients.
*PSC-38-14-00008-P	 exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00010-P	 exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.
*PSC-38-14-00012-P	 exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-39-14-00020-P	 exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P	 exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-40-14-00009-P	 exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
*PSC-40-14-00011-P	 exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-40-14-00013-P	 exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
*PSC-40-14-00014-P	 exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-40-14-00015-P	 exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-42-14-00003-P	 exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P	 exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P	 exempt	Considering the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.
*PSC-52-14-00019-P	 exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY..
*PSC-01-15-00014-P	 exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00010-P	 exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.
*PSC-10-15-00007-P	 exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
*PSC-10-15-00008-P	 exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
*PSC-13-15-00024-P	 exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P	 exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
*PSC-13-15-00027-P	 exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-13-15-00028-P	 exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P	 exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P	 exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million
*PSC-18-15-00005-P	 exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P	 exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P	 exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P	 exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P	 exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P	 exempt	Notice of Intent to Submeter electricity.	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York.
*PSC-29-15-00025-P	 exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P	 exempt	Development of a Community Solar Demonstration Project.	To approve the development of a Community Solar Demonstration Project.
*PSC-33-15-00009-P	 exempt	Remote net metering of a demonstration community net metering program.	To consider approval of remote net metering of a demonstration community net metering program.
*PSC-33-15-00012-P	 exempt	Remote net metering of a Community Solar Demonstration Project.	To consider approval of remote net metering of a Community Solar Demonstration Project.
*PSC-34-15-00021-P	 exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
*PSC-35-15-00014-P	 exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P	 exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-40-15-00014-P	 exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-42-15-00006-P	 exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC.	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements.
*PSC-44-15-00028-P	 exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P	 exempt	Whitepaper on Implementing Lightened Ratemaking Regulation.	Consider Whitepaper on Implementing Lightened Ratemaking Regulation.
*PSC-48-15-00011-P	 exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016.	Consider the proposed retirement of Huntley Units 67 and 68.
*PSC-50-15-00006-P	 exempt	The reduction of rates.	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P	 exempt	Notice of Intent to submeter electricity.	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York.
*PSC-51-15-00010-P	 exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P	 exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P	 exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility.	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility.
*PSC-04-16-00012-P	 exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station.	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station.
*PSC-04-16-00013-P	 exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.
*PSC-06-16-00013-P	 exempt	Continued deferral of approximately \$16,000,000 in site investigation and remediation costs.	To consider the continued deferral of approximately \$16,000,000 in site investigation and remediation costs.
*PSC-06-16-00014-P	 exempt	MEGA's proposed demonstration CCA program.	To consider MEGA's proposed demonstration CCA program.
*PSC-14-16-00008-P	 exempt	Resetting retail markets for ESCO mass market customers.	To ensure consumer protections with respect to residential and small non-residential ESCO customers.
*PSC-18-16-00013-P	 exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00014-P	 exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00015-P	 exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process.	To ensure consumer protections for ESCO customers.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-18-16-00016-P	 exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00018-P	 exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-20-16-00008-P	 exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).
*PSC-20-16-00010-P	 exempt	Deferral and recovery of incremental expense.	To consider deferring costs of conducting leak survey and repairs for subsequent recovery.
*PSC-20-16-00011-P	 exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study.	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device.
*PSC-24-16-00009-P	 exempt	Petition to submeter gas service.	To consider the Petition of New York City Economic Development Corp. to submeter gas at Pier 17, 89 South Street, New York, NY.
*PSC-25-16-00009-P	 exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018.	To extend the time period between the Companies' third-party assessments of customer personally identifiable information.
*PSC-25-16-00025-P	 exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.
*PSC-25-16-00026-P	 exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications.	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications.
*PSC-28-16-00017-P	 exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework.	To determine appropriate rules for and calculation of the distributed generation reliability credit.
*PSC-29-16-00024-P	 exempt	Participation of NYPA customers in surcharge-funded clean energy programs.	To consider participation of NYPA customers in surcharge-funded clean energy programs.
*PSC-32-16-00012-P	 exempt	Benefit-Cost Analysis Handbooks.	To evaluate proposed methodologies of benefit-cost evaluation.
*PSC-33-16-00001-EP	 exempt	Use of escrow funds for repairs.	To authorize the use of escrow account funds for repairs.
*PSC-33-16-00005-P	 exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility.	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges.
*PSC-35-16-00015-P	 exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-36-16-00004-P	 exempt	Recovery of costs for installation of electric service.	To consider the recovery of costs for installation of electric service.
*PSC-40-16-00025-P	 exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-47-16-00009-P	 exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P	 exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P	 exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P	 exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P	 exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-02-17-00010-P	 exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for RG&E.
*PSC-02-17-00012-P	 exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for NYSEG.
*PSC-18-17-00024-P	 exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist.
*PSC-18-17-00026-P	 exempt	Revisions to the Dynamic Load Management surcharge.	To consider revisions to the Dynamic Load Management surcharge.
*PSC-19-17-00004-P	 exempt	NYAW's request to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2016.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2016.
*PSC-20-17-00008-P	 exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-20-17-00010-P	 exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-21-17-00013-P	 exempt	The establishment and implementation of Earnings Adjustment Mechanisms.	To consider the establishment and implementation of Earnings Adjustment Mechanisms.
*PSC-21-17-00018-P	 exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc.	To consider a waiver and approval of terms of a service agreement.
*PSC-22-17-00004-P	 exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-24-17-00006-P	 exempt	Development of the Utility Energy Registry.	Improved data access.
*PSC-26-17-00005-P	 exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-17-00011-P	 exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-37-17-00005-P	 exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives.	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms.
*PSC-39-17-00011-P	 exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan.	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan.
*PSC-42-17-00010-P	 exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report.	To consider NFGD's petition for rehearing.
*PSC-48-17-00015-P	 exempt	Low Income customer options for affordable water bills.	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs.
*PSC-50-17-00017-P	 exempt	New Wave Energy Corp.'s petition for rehearing.	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P	 exempt	Application of the Public Service Law to DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
*PSC-50-17-00019-P	 exempt	Transfer of utility property.	To consider the transfer of utility property.
*PSC-50-17-00021-P	 exempt	Disposition of tax refunds and other related matters.	To consider the disposition of tax refunds and other related matters.
*PSC-51-17-00011-P	 exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project.	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project.
*PSC-04-18-00005-P	 exempt	Notice of intent to submeter electricity.	To consider the notice of intent of Montante/Morgan Gates Circle LLC to submeter electricity.
*PSC-05-18-00004-P	 exempt	Lexington Power's ZEC compliance obligation.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-06-18-00012-P	 exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria
*PSC-06-18-00017-P	 exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity
*PSC-07-18-00015-P	 exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades.	To consider AEC's petition requesting resolution of their billing dispute with National Grid.
*PSC-11-18-00004-P	 exempt	New York State Lifeline Program.	To consider TracFone's petition seeking approval to participate in Lifeline.
*PSC-13-18-00015-P	 exempt	Eligibility of an ESCO to market to and enroll residential customers.	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
*PSC-13-18-00023-P	 exempt	Reconciliation of property taxes.	To consider NYAW's request to reconcile property taxes.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-14-18-00006-P	 exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
*PSC-17-18-00010-P	 exempt	Petition for use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
*PSC-18-18-00009-P	 exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest
*PSC-23-18-00006-P	 exempt	Whether to impose consequences on Aspiry for its non-compliance with Commission requirements.	To ensure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-24-18-00013-P	 exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-28-18-00011-P	 exempt	Storm Hardening Collaborative Report.	To ensure safe and adequate gas service.
*PSC-29-18-00008-P	 exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
*PSC-29-18-00009-P	 exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
*PSC-34-18-00015-P	 exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and energy efficiency protections are in place.
*PSC-34-18-00016-P	 exempt	Deferral of pre-staging and mobilization storm costs.	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs.
*PSC-35-18-00003-P	 exempt	Con Edison's 2018 DSIP and BCA Handbook Update.	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00005-P	 exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update.	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers.
*PSC-35-18-00006-P	 exempt	National Grid's 2018 DSIP and BCA Handbook Update.	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00008-P	 exempt	Central Hudson's 2018 DSIP and BCA Handbook Update.	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00010-P	 exempt	O&R's 2018 DSIP and BCA Handbook Update.	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider.
*PSC-39-18-00005-P	 exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-40-18-00014-P	 exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-42-18-00011-P	 exempt	Voluntary residential beneficial electrification rate design.	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers.
*PSC-42-18-00013-P	 exempt	Petition for clarification and rehearing of the Smart Solutions Program Order.	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity.
*PSC-44-18-00016-P	 exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
*PSC-45-18-00005-P	 exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
*PSC-01-19-00013-P	 exempt	Order of the Commission related to caller ID unblocking.	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County.
*PSC-03-19-00002-P	 exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings.	To reduce damage to underground utility facilities by requiring certain training and approving training curricula.
*PSC-04-19-00004-P	 exempt	Con Edison's petition for the Gas Innovation Program and associated budget.	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals.
*PSC-04-19-00011-P	 exempt	Update of revenue targets.	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues.
*PSC-06-19-00005-P	 exempt	Consideration of the Joint Utilities' proposed BDP Program.	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects.
*PSC-07-19-00009-P	 exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements.	To insure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-07-19-00016-P	 exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-09-19-00010-P	 exempt	Non-pipeline alternatives report recommendations.	To consider the terms and conditions applicable to gas service.
*PSC-12-19-00004-P	 exempt	To test innovative pricing proposals on an opt-out basis.	To provide pricing structures that deliver benefits to customers and promote beneficial electrification technologies.
*PSC-13-19-00010-P	 exempt	New Commission requirements for gas company operator qualification programs.	To make pipelines safer with improved training of workers who perform construction and repairs on natural gas facilities.
*PSC-19-19-00013-P	 exempt	Proposed merger of three water utilities into one corporation.	To determine if the proposed merger is in the public interest.
*PSC-20-19-00008-P	 exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
*PSC-20-19-00010-P	 exempt	Compensation policies for certain CHP projects	To consider appropriate rules for compensation of certain CHP resources

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-31-19-00013-P	 exempt	Implementation of Statewide Energy Benchmarking.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-31-19-00015-P	 exempt	Proposed major rate increase in KEDNY's gas delivery revenues by \$236.8 million (13.6% increase in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-31-19-00016-P	 exempt	Proposed major rate increase in KEDLI's gas delivery revenues of approximately \$49.4 million (or 4.1% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-32-19-00012-P	 exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources
*PSC-38-19-00002-P	 exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-39-19-00018-P	 exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
*PSC-41-19-00003-P	 exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges.	To provide qualifying residential customers with an optional three-part rate.
*PSC-44-19-00003-P	 exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00005-P	 exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00006-P	 exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00007-P	 exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00008-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
*PSC-44-19-00009-P	 exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-46-19-00008-P	 exempt	Wappingers Falls Hydroelectric LLC's facility located in Wappingers Falls, New York.	To promote and maintain renewable electric energy resources.
*PSC-46-19-00010-P	 exempt	To test innovative rate designs on an opt-out basis.	To implement alternative innovative rate designs intended to assess customer behaviors in response to price signals
*PSC-52-19-00006-P	 exempt	Authorization to defer pension settlement losses.	To address the ratemaking related to the pension settlement losses.
*PSC-03-20-00009-P	 exempt	Changes to the Utility Energy Registry	To determine appropriate rules for data availability
*PSC-07-20-00008-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-08-20-00003-P	 exempt	PSC regulation 16 NYCRR § 86.3(a)(2) and 86.3(b)(2).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
*PSC-10-20-00003-P	 exempt	The Commission's statewide low-income discount policy.	To consider modifications to certain conditions regarding utility low-income discount programs.
*PSC-12-20-00008-P	 exempt	Delivery rates of Corning Natural Gas Corporation.	Whether to postpone the implementation of a change in rates that would otherwise become effective on June 1, 2020.
*PSC-15-20-00011-P	 exempt	To modify the terms and conditions under which gas utilities provide service to electric generators.	To provide clarity and uniformity to the provision of gas service to electric generators.
*PSC-15-20-00013-P	 exempt	Ownership of New York American Water Company, Inc.	To consider whether a proposed transfer of ownership of New York American Water Company, Inc. is in the public interest.
*PSC-16-20-00004-P	 exempt	Disposition of a state sales tax refund.	To determine how much of a state sales tax refund should be retained by Central Hudson.
*PSC-18-20-00012-P	 exempt	The purchase price of electric energy and capacity from customers with qualifying on-site generation facilities.	To revise the price to be paid by the Company under Service Classification No. 10. for qualifying purchases of unforced capacity
*PSC-18-20-00015-P	 exempt	Participation of Eligible Telecommunications Carriers (ETCs) in New York State Lifeline Program.	Commission will consider each petition filed by an ETCs seeking approval to participate in the NYS Lifeline program.
*PSC-19-20-00004-P	 exempt	Clarification of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether energy service companies should be permitted to bank RECs to satisfy their renewable energy requirements.
*PSC-19-20-00005-P	 exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation.	To provide cost recovery for new DLM programs and prevent double compensation to participating customers.
*PSC-19-20-00009-P	 exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation.	To consider revisions to P.S.C. No. 10 - Electricity, and P.S.C. No. 12 - Electricity.
*PSC-21-20-00008-P	 exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
*PSC-23-20-00008-P	 exempt	Disposition of sales tax refund and other related matters.	To consider the appropriate allocation of the sales tax refund proceeds while balancing ratepayer and shareholder interests.
*PSC-25-20-00010-P	 exempt	Whitepaper regarding energy service company financial assurance requirements.	To consider the form and amount of financial assurances to be included in the eligibility criteria for energy service companies.
*PSC-25-20-00016-P	 exempt	Modifications to the Low-Income Affordability program.	To address the economic impacts of the COVID-19 pandemic.
PSC-27-20-00003-P	 exempt	To make the uniform statewide customer satisfaction survey permanent.	To encourage consumer protections and safe and adequate service.
PSC-28-20-00022-P	 exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-28-20-00034-P	 exempt	Petition to implement Section 7(5) of the Accelerated Renewable Energy Growth and Community Benefit Act	To develop the bulk transmission investments necessary to achieve the Climate Leadership and Community Protection Act goals
PSC-31-20-00008-P	 exempt	Submetering of electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-34-20-00004-P	 exempt	Notice of intent to submeter electricity and waiver of energy audit requirement.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-34-20-00005-P	 exempt	Petition to provide a renewable, carbon-free energy option to residential and small commercial full-service customers.	To increase customer access to renewable energy in the Consolidated Edison Company of New York, Inc. service territory.
PSC-37-20-00006-P	 exempt	Con Edison's petition for a proposed Non-Pipeline Solutions portfolio and associated budget.	To provide for continued service reliability and to meet customer energy needs while addressing greenhouse gas reduction goals.
PSC-38-20-00004-P	 exempt	The annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.
PSC-40-20-00003-P	 exempt	NYSEG's petition for a proposed Non-Pipeline Alternatives portfolio of projects and associated budget.	To provide for continued service reliability and to meet customer energy needs while addressing greenhouse gas reduction goals.
PSC-40-20-00004-P	 exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-40-20-00006-P	 exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-41-20-00010-P	 exempt	Disposition of a \$50 million municipal tax refund	To consider a disposition of a municipal tax refund for customer and company benefit
PSC-42-20-00006-P	 exempt	Proposed major rate increase in National Grid's delivery revenues of approximately \$41.8 million (or 9.8% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-42-20-00008-P	 exempt	Availability of gas leak information to the public safety officials.	Facilitate availability of gas leak information to public safety officials by gas corporations.
PSC-42-20-00009-P	 exempt	Proposed major rate increase in National Grid's delivery revenues of approximately \$100.4 million (or 3.2% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-43-20-00003-P	 exempt	The use of \$50 million to support residential and commercial customers experiencing financial hardship	To consider whether the proposed support of ratepayers is in the public interest
PSC-44-20-00007-P	 exempt	Establishment of the regulatory regime applicable to an approximately 90.5 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 90.5 MW electric generating facility.
PSC-44-20-00009-P	 exempt	Notice of intent to submeter electricity and waiver of energy audit requirement.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-45-20-00003-P	 exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-45-20-00004-P	 exempt	Major gas rate filing	To consider an increase in Central Hudson's gas delivery revenues
PSC-45-20-00005-P	 exempt	Major electric rate filing	To consider an increase in Central Hudson's electric delivery revenues
PSC-46-20-00005-P	 exempt	The recommendations of the DPS Staff report to improve Hudson Valley Water's service.	To determine if approving the DPS Staff's recommendations is in the public interest.
PSC-47-20-00007-P	 exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-47-20-00008-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-48-20-00004-P	 exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-48-20-00005-P	 exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Chief Energy Power, LLC should be permitted to offer green gas products to mass market customers.
PSC-48-20-00007-P	 exempt	Tariff modifications to change National Fuel Gas Distribution Corporation's Monthly Gas Supply Charge provisions.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-49-20-00007-P	 exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-51-20-00006-P	 exempt	Notice of intent to submeter electricity and waiver of energy audit requirement.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-51-20-00007-P	 exempt	Whitepaper on the ACOS method used by utilities in developing Standby and Buyback Service rates.	To standardize the utility ACOS methods and resulting rates, and to enable stand-alone energy storage systems.
PSC-51-20-00009-P	 exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether petitioner should be permitted to offer its "Energy Savings Program" to mass market customers.
PSC-51-20-00010-P	 exempt	Petition to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-51-20-00014-P	 exempt	Electric system needs and compensation for distributed energy resources.	To ensure safe and adequate service and just and reasonable rates, including compensation, for distributed energy resources.
PSC-52-20-00002-P	 exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-52-20-00003-P	 exempt	Notice of intent to submeter electricity and waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-52-20-00004-P	 exempt	Use of pipeline refund.	To consider how a pipeline refund of \$2.26 million will be utilized by National Fuel.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-52-20-00008-P	 exempt	Transfer of a natural gas pipeline and the associated certificate, and application of lightened and incidental regulation.	To determine whether the requested transfers and regulatory treatment are consistent with the law and the public interest.
PSC-52-20-00009-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-52-20-00011-P	 exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-01-21-00004-P	 exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether petitioner should be permitted to offer its Home Warranty product to mass market customers.
PSC-01-21-00006-P	 exempt	A debt financing arrangement with respect to an electric transmission line under development.	To review the proposed financing and consider whether it is within the public interest.
PSC-01-21-00007-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-02-21-00006-P	 exempt	Disposition of a sales tax refund received by New York American Water, Inc.	To determine the disposition of tax refunds and other related matters.
PSC-03-21-00006-P	 exempt	Comprehensive study to identify distribution and transmission investments in accordance with the AREGCB Act.	To support distribution and local transmission investments necessary to achieve the State's climate goals.
PSC-03-21-00007-P	 exempt	Waiver of certain rules, i.e., 7-day installation requirements pertaining to cable television franchise.	To determine whether to waive any rules and regulations.
PSC-04-21-00016-P	 exempt	Request for a waiver.	To consider whether good cause exists to support a waiver of the Commission's Test Period Policy Statement.
PSC-04-21-00017-P	 exempt	Funding and management of the the Clean Energy Fund portfolio.	To review NYSEERDA's proposed modifications to the Clean Energy Fund portfolio and determine whether the changes are acceptable.
PSC-04-21-00020-P	 exempt	NFG's Implementation Plan and audit recommendations.	To consider to implement the management audit recommendations.
PSC-05-21-00004-P	 exempt	Alternative proposal for net crediting billing.	To facilitate development of and participation in Community Distributed Generation projects.
PSC-05-21-00005-P	 exempt	The applicable regulatory regime under the Public Service Law for the owner of a merchant electric generating facility.	Consideration of a lightened regulatory regime for the owner of an approximately 100 MW electric generating facility.
PSC-05-21-00006-P	 exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-05-21-00007-P	 exempt	Petition to amend bill estimation procedures.	To consider the petition of Central Hudson Gas & Electric Corporation to amend its current bill estimation procedures.
PSC-05-21-00008-P	 exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-05-21-00012-P	 exempt	The electric utilities' 2021 Electric Emergency Response Plans.	To consider the adequacy of the proposed 2021 Electric Emergency Response Plans.
PSC-06-21-00009-P	 exempt	Disposition of a property tax refund received by New York American Water, Inc.	To determine the disposition of tax refunds and other related matters.
PSC-06-21-00011-P	 exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-07-21-00005-P	 exempt	Staff Whitepaper recommending modifications to the utility's energy affordability program.	To consider updates and enhancements to the utility's low-income energy affordability program.
PSC-07-21-00007-P	 exempt	Conditioned pre-approval of stock transactions of regulated entities.	To consider allowing stock transactions within statutory parameters without Commission approval for individual transactions.
PSC-08-21-00003-P	 exempt	Utility-owned ESR participation in the New York Independent System Operator, Inc. (NYISO) administered wholesale markets.	To consider if National Grid should use a ESR in NYISO markets, and whether any conditions are appropriate for such use.
PSC-08-21-00006-P	 exempt	Transfer of street lighting facilities.	To determine whether to transfer street lighting facilities and the proper accounting for the transaction.
PSC-09-21-00002-P	 exempt	Gas moratorium procedures	To consider procedures and criteria to minimize customer hardships in the unlikely event of a future gas moratorium
PSC-09-21-00003-P	 exempt	Proposed filing to modify language to reflect upgrades being made to its Legacy Customer Information System.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-09-21-00004-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-09-21-00005-P	 exempt	Utility capital expenditure proposal.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-09-21-00006-P	 exempt	Long-term gas system planning.	To consider a process to review gas distribution utilities' long-term system planning.
PSC-09-21-00007-P	 exempt	Proposed filing to modify language to reflect upgrades being made to its Legacy Customer Information System.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-10-21-00007-P	 exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-10-21-00008-P	 exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-10-21-00009-P	 exempt	Gas Demand Response Pilot Program.	To provide promote natural gas system reliability by encouraging reductions of natural gas demand during peak gas demand days.
PSC-11-21-00003-P	 exempt	NYSEG and RG&E's petition for a waiver of its customer service quality performance.	To determine if NYSEG and RG&E's petition for waiver is in the public interest.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-11-21-00004-P	 exempt	Pre-authorization to transfer certain cyber-security related equipment to other utilities participating in the CMA program.	To enhance the reliability of the energy system by enabling transfers of certain equipment to other participating utilities.
PSC-11-21-00005-P	 exempt	Petition concerning tariff amendments regarding billing of transformer losses.	To ensure that the National Grid tariff contains appropriate provisions for the billing of transformer losses.
PSC-12-21-00008-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-12-21-00009-P	 exempt	Transfer of ownership interests and facilities associated with three nuclear generating units, funds, and storage facilities.	To ensure appropriate regulatory review, oversight, and action concerning the proposed transfer to serve the public interest.
PSC-13-21-00016-P	 exempt	Revised distribution strategies and reallocation of remaining funding.	To ensure the appropriate use of funding reserved for gas safety programs.
PSC-13-21-00017-P	 exempt	The applicable regulatory regime under the Public Service Law for the owner of an energy storage facility.	Consideration of a lightened regulatory regime for the owner of an approximately 100 MW energy storage facility.
PSC-13-21-00018-P	 exempt	Compensation of and incentives for distributed energy resources.	To encourage the development of and ensure just and reasonable rates for distributed energy resources.
PSC-13-21-00019-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-13-21-00020-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-13-21-00021-P	 exempt	Headroom analyses of local transmission and distribution system to support additional renewable energy generation.	To support distribution and local transmission investments necessary to achieve the the State's climate goals.
PSC-13-21-00022-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-13-21-00023-P	 exempt	Petition for the use of steam metering equipment.	To ensure that consumer bills are based on accurate measurements of steam usage.
PSC-14-21-00003-P	 04/07/22	More specific requirements for Operator Qualification to work on pipelines. Allows applications for "special permits."	To make the provision of natural gas service safer in New York State with better qualified pipeline workers.
PSC-14-21-00008-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-14-21-00009-P	 exempt	Tariff amendments regarding the Clean Energy Standard Supply Surcharge.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-15-21-00006-P	 exempt	Proposed sale of the Company's stock to the Buyers.	To determine if sale of the Company's stock to the Buyers is in the public interest.
PSC-15-21-00007-P	 exempt	The applicable regulatory regime under the Public Service Law for the owner of a merchant electric generating facility.	Consideration of a lightened regulatory regime.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-15-21-00008-P	 exempt	Transfer easement of transmission right-of-way.	To consider the proposed easement and the proper accounting treatment.
PSC-15-21-00009-P	 exempt	Proposed filing to account for the acquisition of DTI by EGTS.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-15-21-00010-P	 exempt	Proposed filing to account for the acquisition of DTI by EGTS.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-15-21-00011-P	 exempt	Tariff rate modifications for net metered distributed energy resources.	To implement just and reasonable rates for distributed energy resources.
PSC-16-21-00005-P	 exempt	Tier 2 Maintenance Tier Program of the Renewable Energy Standard.	To promote and maintain renewable electric energy resources.
PSC-16-21-00006-P	 exempt	The appropriate level of community credit capacity for distributed energy generation projects in the territory.	Consideration of an increase in the community credit capacity for distributed generation projects in the territory.
PSC-16-21-00007-P	 exempt	Accounting-related rules for utilities implementing the Integrated Energy Data Resource.	To consider cost recovery of capital expenditures and budget allocations of costs between affiliated companies.
PSC-16-21-00008-P	 exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-16-21-00009-P	 exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-16-21-00010-P	 exempt	Petition to submeter electricity and request for waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-16-21-00011-P	 exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-17-21-00002-P	 exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-17-21-00003-P	 exempt	Notice of intent to submeter electricity and waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-17-21-00004-P	 exempt	Waiver of tariff rules.	To consider whether a waiver of tariff rules is just and reasonable and in the public interest.
PSC-17-21-00005-P	 exempt	Submetering equipment.	To consider use of submetering equipment and if it is in the public interest.
PSC-17-21-00006-P	 exempt	Community Choice Aggregation and Community Distributed Generation.	To consider permitting opt-out Community Distributed Generation to be offered as the sole product in an aggregation.
PSC-17-21-00007-P	 exempt	Utility studies of climate change vulnerabilities.	To assess the need for utilities to conduct distinct studies of their climate change vulnerabilities.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-17-21-00008-P	 exempt	Issuance of securities and other forms of indebtedness.	To provide funding for capital needs, including construction, refinancing of maturing debt.
PSC-18-21-00004-P	 exempt	Community Choice Aggregation programs.	To modify and improve Community Choice Aggregation programs in New York State.
PSC-18-21-00005-P	 exempt	Proposed transfer of the Company's capital stock to the Purchaser.	To determine if transfer of the Company's capital stock to the Purchaser is in the public interest.
PSC-18-21-00006-P	 exempt	Community Choice Aggregation renewable products.	To consider waiving the locational and delivery requirements for RECs purchased to support renewable CCA products.
PSC-18-21-00007-P	 exempt	System modernization tracker (SMT) recovery period amendment.	To determine whether to extend the recovery period associated with the SMT's leak prone pipe replacement costs.
PSC-18-21-00008-P	 exempt	RG&E's Economic Development Programs and exemption from funding limits.	To consider RG&E to grant up to \$5.25 million in ED funding to Project Block to the benefit of ratepayers.
PSC-19-21-00006-EP	 exempt	Waiver of a tariff rule.	To financially assist customers in a time of hardship.
PSC-19-21-00007-P	 exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-19-21-00008-P	 exempt	Community Choice Aggregation (CCA) and Community Distributed Generation (CDG).	To consider permitting Upstate Power, LLC to serve as a CCA administrator offering an opt-out CDG focused program.
PSC-19-21-00009-P	 exempt	Major electric rate filing.	To consider an increase in O&R's electric delivery revenues.
PSC-19-21-00010-P	 exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-19-21-00011-P	 exempt	Debt financing arrangement.	To review the proposed financing and consider whether authorization is within the public interest.
PSC-19-21-00012-P	 exempt	Major gas rate filing.	To consider an increase in O&R's gas delivery revenues.
PSC-19-21-00013-P	 exempt	The proposed transfer of ownership interests and debt financing arrangement related to certain electric generating facilities.	To determine whether the proposed transfer of ownership interests and financing arrangement are in the public interest.
PSC-20-21-00003-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-20-21-00004-P	 exempt	Regulatory approvals in connection with a 437 MW electric generating facility.	To ensure appropriate regulatory review, oversight, and action, consistent with the public interest.
PSC-21-21-00012-P	 exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-21-21-00013-P	 exempt	The Competitive Tier 2 program adopted in the Commission's Order Adopting Modifications to the Clean Energy Standard.	To determine the process for the resale of environmental attributes procured under the Competitive Tier 2 program.
PSC-21-21-00014-P	 exempt	Transfer of excess development rights associated with utility property.	To determine whether to authorize the transfer of excess development rights associated with utility property.
PSC-21-21-00015-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-21-21-00016-P	 exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-21-21-00017-P	 exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-21-21-00018-P	 exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-21-21-00019-P	 exempt	Utility capital expenditure proposal.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-21-21-00020-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-22-21-00006-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-22-21-00007-P	 exempt	The applicable regulatory regime under the Public Service Law for the owner of a merchant electric generating facility.	Consideration of a lightened regulatory regime for the owner of an approximately 7.6 mile, 13 kV AC electric cable.
PSC-22-21-00008-P	 exempt	Cost allocation for project(s) to meet a Public Policy Transmission Need/Public Policy Requirement.	To address the cost allocation methodology for use by the New York Independent System Operator, Inc. (NYISO).
PSC-23-21-00002-P	 exempt	Waiver for allocation of natural gas to commercial and industrial economic development customers.	To provide commercial and industrial economic development customers access to natural gas.
PSC-23-21-00003-P	 exempt	Petitions for rehearing of the Order Adopting a Data Access Framework and Establishing Further Process.	To consider modifications and/or clarifications to the Order Adopting a Data Access Framework and Establishing Further Process.
PSC-23-21-00004-P	 exempt	Establishing an alternative recovery mechanism for certain types of fees.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-23-21-00005-P	 exempt	Issuance of securities and other forms of indebtedness.	To provide funding for capital needs, including construction, refinancing of maturing debt.
PSC-23-21-00010-P	 06/09/22	Technical amendments of state regulations and administrative corrections.	To make the provisions of natural gas service safer in New York State.
PSC-24-21-00005-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-25-21-00004-P	 exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-25-21-00005-P	 exempt	Transfer of Penelec assets and franchise rights.	To consider the transfer of utility assets and franchise to be in Waverly ratepayer and public interest.
PSC-25-21-00006-P	 exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities to the Town of Newfield.
PSC-25-21-00007-P	 exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-25-21-00008-P	 exempt	NYSERDA and National Grid's proposed Expanded Solar For All Program for low-income customers.	To consider the authorization and appropriate design of an opt-out community solar program for low-income customers.
PSC-25-21-00009-P	 exempt	Hydroelectric facility located in Carthage, New York.	To promote and maintain renewable electric energy resources.
PSC-25-21-00010-P	 exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-25-21-00011-P	 exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-25-21-00012-P	 exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-25-21-00013-P	 exempt	Negative revenue adjustments for gas main replacements targets in 2020.	To promote and ensure safety and reliability enhancements for utility infrastructure replacement.
PSC-26-21-00004-P	 exempt	Notice of intent to submeter electricity and waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-26-21-00005-P	 exempt	Proposed revisions to tariff schedule.	To consider tariff revisions to cancel leaves associated with the Energy Smart Community Rate Pilot.
PSC-26-21-00006-P	 exempt	Transfer of street lighting facilities.	To determine whether to transfer street of lighting facilities and the proper accounting for the transaction.
PSC-26-21-00007-P	 exempt	Petition to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-26-21-00008-P	 exempt	Transfer of street lighting facilities.	To determine whether to transfer street of lighting facilities and the proper accounting for the transaction.
PSC-26-21-00009-P	 exempt	Transfer of street lighting facilities.	To determine whether to transfer street of lighting facilities and the proper accounting for the transaction.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-26-21-00010-P	 exempt	Proposed acquisition of all shares of common stock of Corning Natural Gas Holding Corporation by ACP Crotona Corp.	To consider whether the acquisition of all shares of common stock of CNGH by ACP Crotona Corp. is in the public interest.
PSC-26-21-00011-P	 exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-26-21-00012-P	 exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc. is in the public interest.	To consider whether the proposed service agreement and requested waivers of Commission rules are in the public interest.
PSC-26-21-00013-P	 exempt	Transfer of street lighting facilities.	To determine whether to transfer street of lighting facilities and the proper accounting for the transaction.
STATE, DEPARTMENT OF			
DOS-05-21-00013-P	 02/03/22	Requirements and procedures related to filing, review and publication of financial reports filed with the Department of State	To provide procedures related to the filing, review and publication of financial reports filed with the Department of State
DOS-12-21-00010-P	 05/27/22	New York State Uniform Fire Prevention and Building Code (the Uniform Code)	To amend the existing Uniform Code to add specific provisions applicable to rail stations
DOS-19-21-00014-P	 07/15/22	Minimum standards for administration and enforcement of the Uniform Code and Energy Code	To revise the minimum standards applicable to a program for administration and enforcement of the Uniform Code and Energy Code
STATE UNIVERSITY OF NEW YORK			
*SUN-53-19-00005-P	 09/14/21	Proposed amendments to the traffic and parking regulations at State University Agricultural and Technical College at Morrisville	Amend existing regulations to update traffic and parking regulations
SUN-29-20-00004-EP	 09/14/21	State basic financial assistance for the operating expenses of community colleges under the program of SUNY and CUNY	To modify limitations formula for basic State financial assistance and remove an operating support "floor"
SUN-29-20-00005-EP	 09/14/21	Student Assembly Elections, Student Assembly Officers, Campus Government Elections, Student Activity Fees	To postpone voting on student activity fees and elections of Student Assembly representatives and officers until Fall 2020
SUN-11-21-00006-EP	 03/17/22	Gender Neutral Bathrooms	To conform with legislation requiring SUNY state-operated campuses to designate all single occupancy bathrooms as gender neutral
SUN-17-21-00014-EP	 04/28/22	Holiday Leave	To designate Juneteenth as a holiday for SUNY employees
SUN-17-21-00015-EP	 04/28/22	Appointment of Employees; Eligibility	To allow for the addition of one year to the service limits for faculty hired between May 20, 2020 - June 30, 2021
SUN-20-21-00005-EP	 05/19/22	State basic financial assistance for the operating expenses of community colleges under the programs of SUNY and CUNY	To modify limitations formula for basic State financial assistance and establish a funding floor
SUN-24-21-00002-EP	 06/16/22	Gender Neutral Bathrooms	To conform with legislation requiring SUNY state-operated campuses to designate all single occupancy bathrooms as gender neutral

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
STATEN ISLAND RAPID TRANSIT OPERATING AUTHORITY			
SIR-39-20-00008-EP	09/30/21	Requiring mask wearing covering the nose and mouth when using terminals, stations and trains operated by SIRTOA.	To safeguard the public health and safety by amending rules to require the use of masks when using terminals and stations.
TAXATION AND FINANCE, DEPARTMENT OF			
TAF-46-20-00003-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2021 through March 31, 2021
TAF-21-21-00005-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period July 1, 2021 through September 30, 2021
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-13-21-00010-P	03/31/22	Establishment of parentage	To amend state regulations for the establishment of paternity to reflect federal and recently-enacted state statutory requirements, to coordinate and update terminology used by the Child Support Program, and to conform regulatory citations with state laws
TDA-26-21-00014-EP	06/30/22	Camp fees	To conform state regulations to statutory requirement effectuated by Chapter 126 of the Laws of 2021, signed by the Governor on June 11, 2021 and effective June 30, 2021, allowing camp fees for children in family assistance or safety net assistance cases
TRANSPORTATION, DEPARTMENT OF			
TRN-14-21-00004-P	04/07/22	Regulation of commercial motor carriers in New York State	The rule making updates Title 49 CFR provisions incorporated by reference pursuant to regulation of commercial motor carriers
WORKERS' COMPENSATION BOARD			
WCB-28-20-00003-EP	07/24/21	Adding COVID-19 diagnosis by a health care provider as a serious health condition for purposes of Paid Family Leave	To clarify that employees may take PFL to care for a family member with COVID-19
WCB-42-20-00004-P	10/21/21	Medical Treatment Guidelines	To add PTSD and acute stress disorder, and major depressive disorder MTGs
WCB-42-20-00005-RP	10/21/21	Medical Treatment Guidelines	To add PTSD and acute stress disorder, and major depressive disorder MTGs
WCB-06-21-00013-P	02/10/22	Medical Treatment Guidelines	To update back, neck, shoulder, knee, and NAP MTGs
WCB-13-21-00002-EP	03/31/22	Ambulatory surgery services fees	To update fees for ambulatory surgery services fees, especially due to the COVID-19 pandemic
WCB-13-21-00003-EP	03/31/22	Designated contact information	To provide a compliance date for carriers, self-insured employers, or TPAs to designate points of contact in the PAR process

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
WORKERS' COMPENSATION BOARD			
WCB-13-21-00004-EP	03/31/22	Notice as required for compliance with the Formulary	To provide a compliance date for carriers, self-insured employers, or TPAs to provide notice as required by 12 NYCRR 441.3(f)
WCB-13-21-00009-P	03/31/22	Updating the prescription drug formulary prior authorization process	To include medical marijuana in the prior authorization process
WCB-15-21-00003-P	04/14/22	Medical Treatment Guidelines	To update the NAP MTGs
WCB-21-21-00011-P	05/26/22	PFL intermittent leave	To clarify the number of intermittent leave days eligible employees can take
WCB-26-21-00001-P	06/30/22	Payment of medical bills and disputes	To require all objections to medical bills be made simultaneously and make process more efficient

ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

SEALED BIDS

PROVIDE
FIRE SPRINKLER
Elmira Psychiatric Center
Elmira, Chemung County

Sealed bids for Project No. Q1781-N, comprising a contract for Fire Protection/Sprinkler Work, Provide Fire Sprinkler Building No. 9 & Power Plant Building No. 1, Elmira Psychiatric Center, 100 Washington Street, Elmira (Chemung County), NY will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Mental Health, until 2:00 p.m. on Wednesday, July 21, 2021 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$23,200 for N).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$250,000 and \$500,000 for N.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862, and John Lewycky, Acting Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <https://ogs.ny.gov/ACPL/>

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and

reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

 X Project commenced design before January 1, 2020. Not subject to provision.

 Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 573 days after the Agreement is approved by the Comptroller.

No pre-bid site visits have been scheduled for this project and prospective bidders are not allowed to visit the project site or facility buildings and grounds to take measurements or examine existing conditions.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 0% for MWBE participation, 0% for Minority-Owned Business Enterprises ("MBE") participation and 0% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 0% for the N trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction's plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: <http://www.bidexpress.com>

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By John D. Lewyckyj, Deputy Director
OGS - Design & Construction Group

PROVIDE
FALL LEVEE PROTECTION
Various DEC Facilities – Water Resources
Broome, Tompkins and Onondaga Counties

Sealed bids for Project No. Q1790-C, comprising a contract for Construction Work, Provide Fall Levee Protection, Various DEC Facilities – Water Resources (Broome, Tompkins and Onondaga Counties), NY will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Environmental Conservation- Water Resources, until 2:00 p.m. on Wednesday, July 21, 2021, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$24,300 for C).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$500,000 and \$1,000,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller (“Restricted Period”) to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862, and John Lewyckyj, Acting Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <https://ogs.ny.gov/ACPL/>

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

- ☒ Project commenced design before January 1, 2020. Not subject to provision.
- ☐ Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 412 days after the Agreement is approved by the Comptroller.

No pre-bid site visits have been scheduled for this project and prospective bidders are not allowed to visit the project site or facility buildings and grounds to take measurements or examine existing conditions.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises (“MWBEs”) and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 4% for MWBE participation, 2% for Minority-Owned Business Enterprises (“MBE”) participation and 2% for Women-Owned Business Enterprises (“WBE”) participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make “good faith efforts” to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses (“SDVOBs”). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs’ participation under this contract as follows: 3% for the C trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make “good faith efforts” to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction’s plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: <http://www.bidexpress.com>

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By John D. Lewyckyj, Deputy Director
OGS - Design & Construction Group

REPAIR/REPLACE
MASONRY/DOORS/WINDOWS
State Armory
Peekskill, Westchester County

Sealed bids for Project No. 45984-C, comprising a contract for Construction Work, Repair Masonry & Replace Doors and Windows, State Armory, 955 Washington Street, Peekskill (Westchester County), NY will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Division of Military and Naval Affairs, until 2:00 p.m. on Wednesday, July 14, 2021, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security

(i.e. certified check, bank check, or bid bond in the amount of \$94,200 for C).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$3,000,000 and \$4,000,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862, and John Lewyckyj, Acting Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <https://ogs.ny.gov/ACPL/>

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

☒ Project commenced design before January 1, 2020. Not subject to provision.

☐ Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 664 days after the Agreement is approved by the Comptroller.

As a condition of award, within 48 hours of receipt of the proposed Contract Agreement from the State, the apparent low bidder shall return the Contract Agreement to the State, properly executed, along with the Bonds if required by said Agreement. Low bidders who cannot meet these provisions may be subject to disqualification and forfeiture of the bid security.

No pre-bid site visits have been scheduled for this project and prospective bidders are not allowed to visit the project site or facility buildings and grounds to take measurements or examine existing conditions.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE

and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 6% for the C trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction's plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: <http://www.bidexpress.com>

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj*, Deputy Director
OGS - Design & Construction Group

REHABILITATE WALK-IN REFRIGERATORS/FREEZERS Creedmoor Psychiatric Center Queens Village, Queens County

Sealed bids for Project No. 46203-C, comprising a contract for Construction Work, Rehabilitate Walk-In Refrigerators & Freezers, Building 41, Creedmoor Psychiatric Center, 79-25 Winchester Boulevard, Queens Village (Queens County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Mental Health, until 2:00 p.m. on Wednesday, July 21, 2021 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$51,600 for C).

Further, Wicks Exempt Projects require a completed form BDC 59 (Wicks Exempt List of Contractors) be filled out and submitted (included in a separate, sealed envelope) in accordance with Document 002220, Supplemental Instructions to Bidders – Wicks Exempt. Failure to submit this form correctly will result in a disqualification of the bid.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$1,000,000 and \$2,000,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS

website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Acting Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <https://ogs.ny.gov/ACPL/>

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

 X Project commenced design before January 1, 2020. Not subject to provision.

 Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 566 days after the Agreement is approved by the Comptroller.

No pre-bid site visits have been scheduled for this project and prospective bidders are not allowed to visit the project site or facility buildings and grounds to take measurements or examine existing conditions.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 6% for the C trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction's plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: <http://www.bidexpress.com>

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj, Deputy Director*
OGS - Design & Construction Group

REHABILITATE LATRINES/LOCKER ROOMS State Armory Olean, Cattaraugus County

Sealed bids for Project Nos. 47104-C, 47104-H, 47104-P and 47104-E comprising separate contracts for Construction Work, HVAC Work, Plumbing Work, and Electrical Work, Rehabilitate Latrines & Locker Rooms, State Armory, 119 Times Square, Olean (Cattaraugus County) NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Division of Military and Naval Affairs, until 2:00 p.m. on Wednesday, July 14, 2021 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$24,100 for C, \$5,600 for H, \$7,600 for P, and \$9,400 for E).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$250,000 and \$500,000 for C, between \$50,000 and \$100,000 for H, between \$50,000 and \$100,000 for P, and between \$100,000 and \$250,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862, and John Lewyckyj, Acting Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid

form. Further information about these requirements can be found within the project manual or at: <https://ogs.ny.gov/ACPL/>

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

_____ Project commenced design before January 1, 2020. Not subject to provision.

X Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 393 days after the Agreement is approved by the Comptroller.

As a condition of award, within 48 hours of receipt of the proposed Contract Agreement from the State, the apparent low bidder shall return the Contract Agreement to the State, properly executed, along with the Bonds if required by said Agreement. Low bidders who cannot meet these provisions may be subject to disqualification and forfeiture of the bid security.

No pre-bid site visits have been scheduled for this project and prospective bidders are not allowed to visit the project site or facility buildings and grounds to take measurements or examine existing conditions.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Construction Work and an overall goal of 10% for MWBE participation, 5% for Minority-Owned Business Enterprises ("MBE") participation and 5% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Plumbing Work and Electrical Work. The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 6% for the C trade contractor, 0% for the E trade contractor, 0% for the H trade contractor, and 3% for the P trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction's plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid

Express. Registration along with viewing and downloading of documents can be accessed at the following link: <http://www.bidexpress.com>

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj*, Deputy Director
OGS - Design & Construction Group

REHABILITATE 2ND FLOOR State Armory Peekskill, Westchester County

Sealed bids for Project Nos. 47105-C, 47105-H, 47105-P and 47105-E, comprising separate contracts for Construction Work, HVAC Work, Plumbing Work and Electrical Work, Rehabilitate 2nd Floor, Admin Area, State Armory, 955 Washington Street, Peekskill (Westchester County), NY will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Division of Military and Naval Affairs, until 2:00 p.m. on Wednesday, July 14, 2021 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$38,100 for C, \$22,400 for H, \$11,200 for P, and \$23,000 for E).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$500,000 and \$1,000,000 for C, between \$250,000 and \$500,000 for H, between \$100,000 and \$250,000 for P, and between \$250,000 and \$500,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupos, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862, and John Lewyckyj, Acting Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <https://ogs.ny.gov/ACPL/>

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any

State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

_____ Project commenced design before January 1, 2020. Not subject to provision.

X Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 357 days after the Agreement is approved by the Comptroller.

As a condition of award, within 48 hours of receipt of the proposed Contract Agreement from the State, the apparent low bidder shall return the Contract Agreement to the State, properly executed, along with the Bonds if required by said Agreement. Low bidders who cannot meet these provisions may be subject to disqualification and forfeiture of the bid security.

No pre-bid site visits have been scheduled for this project and prospective bidders are not allowed to visit the project site or facility buildings and grounds to take measurements or examine existing conditions.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Construction Work, an overall goal of 10% for MWBE participation, 5% for Minority-Owned Business Enterprises ("MBE") participation and 5% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for HVAC and Plumbing work, and an overall goal of 8% for MWBE participation, 4% for Minority-Owned Business Enterprises ("MBE") participation and 4% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Electrical Work. The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 6% for the C trade contractor, 3% for the E trade contractor, 3% for the H trade contractor, and 3% for the P trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction's plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: <http://www.bidexpress.com>

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj, Deputy Director*
OGS - Design & Construction Group

**PROVIDE
ARMORY VAULT
NY State Armory
Whitestone, Queens County**

Sealed bids for Project No. 47106-C, comprising a contract for Construction Work, Whitestone Armory-Provide Armory Vault, NY State Armory, 150-174 East Sixth Avenue, Whitestone (Queens County), NY will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Division of Military and Naval Affairs, until 2:00 p.m. on Wednesday, July 14, 2021 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$31,100 for C).

Further, Wicks Exempt Projects require a completed form BDC 59 (Wicks Exempt List of Contractors) be filled out and submitted (included in a separate, sealed envelope) in accordance with Document 002220, Supplemental Instructions to Bidders – Wicks Exempt. Failure to submit this form correctly will result in a disqualification of the bid.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$500,000 and \$1,000,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Acting Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <https://ogs.ny.gov/ACPL/>

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or

Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

_____ Project commenced design before January 1, 2020. Not subject to provision.

X Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 203 days after the Agreement is approved by the Comptroller.

As a condition of award, within 48 hours of receipt of the proposed Contract Agreement from the State, the apparent low bidder shall return the Contract Agreement to the State, properly executed, along with the Bonds if required by said Agreement. Low bidders who cannot meet these provisions may be subject to disqualification and forfeiture of the bid security.

No pre-bid site visits have been scheduled for this project and prospective bidders are not allowed to visit the project site or facility buildings and grounds to take measurements or examine existing conditions.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 6% for the C trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction's plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: <http://www.bidexpress.com>

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>.

By *John D. Lewyckyj, Deputy Director*
OGS - Design & Construction Group

NOTICE OF AVAILABILITY OF STATE AND FEDERAL FUNDS

New York Homes and Community Renewal
Affordable Housing Corporation
641 Lexington Ave.
New York, NY 10022

CITIES, TOWNS, VILLAGES, COUNTIES, HOUSING AUTHORITIES, HOUSING DEVELOPMENT FUND COMPANIES, NOT-FOR-PROFIT CORPORATIONS OR CHARITABLE ORGANIZATIONS WHICH HAVE AS ONE OF THEIR PRIMARY PURPOSES THE DEVELOPMENT AND IMPROVEMENT OF HOUSING
AHC Affordable Home Ownership Development Program (AHODP)

The New York State Affordable Housing Corporation (AHC) announces the availability of \$26,000,000 for its Affordable Home Ownership Development Program (AHODP) pursuant to the State budget for Fiscal Year 2021-2022.

PROGRAM DESCRIPTION

The Affordable Home Ownership Development Program (AHODP) is a State funded program administered by the New York State Affordable Housing Corporation's (AHC's) Office of Community Renewal (OCR). AHC's mission is to promote Home Ownership by persons of low- and moderate- income, which, in turn, fosters the development, stabilization and preservation of neighborhoods and communities throughout New York State.

AHC AHODP ELIGIBLE APPLICANTS

Eligible applicants include cities, towns, villages, and counties throughout New York State, housing authorities, housing development fund companies, and not-for-profit corporations or charitable organizations which have as one of their primary purposes the development and improvement of housing.

AHC AHODP ELIGIBLE ACTIVITIES

The AHODP provides funds for three project types:

- New construction of owner-occupied homes for sale, including one-to-four family homes, condominiums, cooperatives and the replacement of dilapidated mobile and manufactured homes with new Energy-Star manufactured homes or stick-built homes on homeowner-owned land.
- Acquisition/rehabilitation of owner-occupied homes for sale, including one-to-four family homes, condominiums and cooperatives.
- Home improvements to existing, owner-occupied one-to-four family homes, condominiums or cooperatives.

In the event the homes are to be located in a mixed-use structure, then any costs associated with the nonresidential uses are ineligible costs.

Proposals may not include more than one type of project. Individual proposals must be submitted for each project type. Eligible activities and owner-occupancy requirements are pursuant to the Program Regulations of the AHODP (please see the AHC Request for Proposals for details).

MAXIMUM FUNDING LIMITS

The maximum AHODP award is \$35,000 per unit except for high cost areas where the maximum award is \$40,000 per unit. Additional information regarding high cost areas can be found at: <https://hcr.ny.gov/ahc-high-cost-area-table>

Due to limited funds, AHC may ask that projects requesting more

than \$1,000,000 in grant funds be developed in phases. In addition, Acquisition/Rehabilitation applications that show maximum leveraging funds per unit, utilizing AHC funds solely as gap funding, with annual housing carrying costs between 25% and 33% will receive priority.

AHC reserves the right to reject all proposals, to negotiate with applicants, recommend funding in an amount less than requested, conduct site visits, interview the applicant and development team, extend the submission deadline, and request additional information. AHC reserves the right to waive or modify any requirement contained in the Request for Proposals, subject to the applicable statutes and the Program Regulations.

APPLICATION FOR FUNDING

The AHODP Application will be available on June 14, 2021 on the NYS Homes and Community Renewal website: <https://hcr.ny.gov/search/funding-opportunities>

During this funding round, AHC will be accepting applications immediately after this NOFA is released until such time that all the AHC funds have been fully appropriated. Please make sure that when your application is submitted, it is complete, and the project will be ready to proceed immediately upon funding.

Applications are to be submitted as a pdf and sent to the following email address: AHCRFP@NYSHCR.ORG

The subject line should be: Grantee/Applicant Name – Project Name
Ex: "The Affordable Housing Corporation – 2021 Home Improvement Program"

Applications not submitted via email will be sent back to the Applicant.

Minority- and Women-Owned Business Enterprises (M/WBE) and Service-Disabled Veteran-Owned Businesses (SDVOB) COMPLIANCE

In accordance with Section 312 of Article 15-A and Article 17-B of the Executive Law, NYS Homes and Community Renewal requires contractors and awardees to make affirmative efforts to ensure that New York State certified Minority and Women Owned Business Enterprises and Service-Disabled Veteran-Owned Businesses (SDVOB) have opportunities for meaningful participation on projects awarded funding by the Housing Trust Fund Corporation and the Affordable Housing Corporation.

On October 1, 2014 Governor Andrew M. Cuomo announced that his Administration is increasing its goal for MWBE state contracting utilization to 30 percent, the highest such target for any state in the nation. You can read more about the Governor's announcement at <http://www.governor.ny.gov/press/10114-mwbe-contracting>.

The Service-Disabled Veteran-Owned Business Act, signed into law by Governor Andrew M. Cuomo on May 12, 2014, allows eligible Veteran business owners to get certified as a New York State Service-Disabled Veteran-Owned Business (SDVOB). To ensure continued progress toward the statewide SDVOB utilization, a goal of 6% has been established by New York State Executive Law Article 17-B. For an overview, please visit <https://ogs.ny.gov/veterans>.

Applicants must submit a preliminary Utilization Plan with their application, identifying a list of prospective MWBEs and SDVOBs for their project. The Utilization Plan can be found at: <https://hcr.ny.gov/diversity-and-inclusion-economic-opportunity-and-partnership-development-forms>

Additional information regarding MWBE/SDVOB compliance for the Programs included in this NOFA can be found at: <https://hcr.ny.gov/diversity-inclusion-corner-mwbe-and-sdvob-information>

NEW YORK STATE GRANTS GATEWAY PREQUALIFICATION

The New York State Grants Gateway is a statewide effort that will improve the way the State administers grants by simplifying and streamlining the grants management process.

Effective August 1, 2014, not-for-profit organizations must be prequalified to do business with New York State. To prequalify, not-for-profit organizations must submit an online Prequalification Application through the Grants Gateway. The Prequalification Application is comprised of five components to gauge your organizational structure and the types of services you provide. The required forms and document uploads are all part of the Grants Gateway Document Vault. Resources to complete the application and associated document vault can be found in the Quick Links Section of the Grants Gateway page at <http://grantsreform.ny.gov/>.

Prequalification is designed as a way for not-for-profits to interact more directly with State agencies before they compete for State contracts, enabling them to make adjustments and answer concerns prior to entering a competitive bid process. Once they have been prequalified, multiple State agencies will have ready access to the prequalification materials, eliminating redundant submissions of such information by the vendor. Not-for-profits will only have to formally prequalify every 3 years, with the responsibility to keep their information current throughout the 3-year period.

PLEASE NOTE: Not-for-Profit applicants that are not prequalified through the New York State Grants Gateway will not be eligible to apply for and receive AHC funds, and any applications submitted to OCR will be deemed ineligible for review and consideration.

CONTACT INFORMATION

For inquiries and technical assistance regarding AHC's AHODP, please contact: New York State Homes and Community Renewal, Affordable Housing Corporation, 641 Lexington Ave., 4th Fl., New York, NY 10022, e-mail: AHCGrantee.Support@nyshcr.org

MISCELLANEOUS NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

PUBLIC NOTICE Columbia County

Columbia County, New York is soliciting proposals from Administrative Service Agencies, Trustees, and Financial Organizations for services in connection with a Deferred Compensation Plan that will meet the requirements of Section 457 of the Internal Revenue Code and Section 5 of the State Finance Law, including all rules and regulations issued pursuant thereto.

A copy of the proposal questionnaire may be obtained from: Michael Williams-Riordon, Director of Human Resources, Columbia County, 401 State Street, Hudson, NY 12534, mwr@columbiacountyny.com

All proposals must be submitted not later than 30 days from the date of publication in the New York State Register.

PUBLIC NOTICE Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for non-institutional services to revise provisions of the Ambulatory Patient Group (APG) reimbursement methodology. The following changes are proposed:

Non-Institutional Services

Effective on or after July 1, 2021, the Ambulatory Patient Group (APG) reimbursement methodology is revised to include recalculated weight and component updates to reflect the APG policy updates.

There is no additional estimated annual change to gross Medicaid expenditures as a result of this proposed amendment.

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County
250 Church Street
New York, New York 10018

Queens County, Queens Center
3220 Northern Boulevard
Long Island City, New York 11101

Kings County, Fulton Center
114 Willoughby Street
Brooklyn, New York 11201

Bronx County, Tremont Center
1916 Monterey Avenue
Bronx, New York 10457

Richmond County, Richmond Center
95 Central Avenue, St. George
Staten Island, New York 10301

For further information and to review and comment, please contact: Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY 12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for non-institutional services to comply with NYS Education Law Article 137 § 6806. The following changes are proposed:

Non-Institutional Services

Effective on or after July 1, 2021, pharmacy interns will be included in the New York State Plan in order to comply with state statute. The legislation enacted, December 7, 2018, allows pharmacy interns under the direct supervision of a licensed pharmacist and upon receipt of a certificate of administration to administer vaccinations. Medicaid-enrolled pharmacies that employ or contract with pharmacists and/or interns certified by the New York State Board of Pharmacy to administer vaccines will receive reimbursement for immunization services and products.

There is no additional estimated annual change to gross Medicaid expenditures as a result of this proposed amendment.

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County
250 Church Street
New York, New York 10018

Queens County, Queens Center
3220 Northern Boulevard
Long Island City, New York 11101

Kings County, Fulton Center
114 Willoughby Street
Brooklyn, New York 11201

Bronx County, Tremont Center
1916 Monterey Avenue
Bronx, New York 10457

Richmond County, Richmond Center
95 Central Avenue, St. George
Staten Island, New York 10301

For further information and to review and comment, please contact:
Department of Health, Division of Finance and Rate Setting, 99
Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY
12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE

Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for all services to comply with enacted statutory provisions. The following changes are proposed:

All Services

Effective on or after July 1, 2021, the Department of Health will adjust rates statewide to reflect a one percent Cost of Living Adjustment for the following Office of Mental Health (OMH) and Office for People With Developmental Disabilities (OPWDD) services: OMH Licensed Mental Health Outpatient Hospital, Freestanding Clinic and Other Rehabilitative Services, Residential Treatment Facilities for Children and Youth, Intermediate Care Facility (ICF/IDD), Day Treatment, Article 16 Clinic services, Specialty Hospital, and Independent Practitioner Services for Individual with Developmental Disabilities (IPSIDD).

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to the July 1, 2021 one percent Cost of Living Adjustment contained in the budget for State Fiscal Year 2022 is \$16.4 million.

Long Term Care Services

Effective on or after July 1, 2021, a demonstration program for young adults with medical fragility shall be established.

The young adult demonstration will certify two young adult facilities for the purpose of improving the quality of care for young adults with medical fragility. These facilities shall support the continuing needs for youth with medical fragility residing in pediatric facilities as they age beyond 21 years old, pending the establishment of a young adult unit. The State intends to utilize its current pediatric nursing home reimbursement rates for those patients between the ages of 18 and 35 years old in the newly certified young adult facility.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to the establishment of a young adult program contained in the budget for state fiscal year 2021/2022 is \$17.5 million.

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County
250 Church Street
New York, New York 10018

Queens County, Queens Center
3220 Northern Boulevard
Long Island City, New York 11101

Kings County, Fulton Center
114 Willoughby Street
Brooklyn, New York 11201

Bronx County, Tremont Center
1916 Monterey Avenue
Bronx, New York 10457

Richmond County, Richmond Center
95 Central Avenue, St. George
Staten Island, New York 10301

For further information and to review and comment, please contact:
Department of Health, Division of Finance and Rate Setting, 99
Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY
12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE

Department of State

F-2021-0161

Date of Issuance – June 31, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program. The applicant's consistency certification and accompanying public information and data are available for inspection on the New York State Department of State's website at: <https://dos.ny.gov/system/files/documents/2021/06/f-2021-0161.pdf>

In F-2021-0161, or the "Pultneyville Yacht Club Jetty Construction", the applicant – Pultneyville Yacht Club proposes to place large (4-5 ton) limestone rocks to restore jetty structure, resulting in an approximate height of 251 feet, 12 feet of width at top, and a 1:2 slope on the north side of the E-W structure that suffers from wave and ice damage. The planned work will not exceed either width or length of the structure. Existing degraded jetty is about 200' long.

The purpose of the proposed work is "repair and reinforce the existing jetty as required by sustained Lake Ontario high water levels and seasonal ice damage". The proposed project is located at 7852 Hamilton Street Extension in the Town of Williamson, Wayne County on Lake Ontario.

Any interested parties and/or agencies desiring to express their views concerning the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, July 15, 2021.

Comments should be addressed to: Consistency Review Unit, Department of State, Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0176

Date of Issuance – June 31, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program. The applicant's consistency certification and accompanying public information and data are available for inspection on the New York State Department of State's website at: <https://dos.ny.gov/system/files/documents/2021/06/f-2021-0176.pdf>

In F-2021-0176, or the "Prochner Bulkhead and Dock", the applicant – Christopher Prochner proposes to reconstruct in place 173' bulkhead with vinyl 10" higher to match west, to install a 4' x 6' platform leading to a 3' x 12' ramp and 4' x 16' float secured by 10" piles (2). In addition, the applicant proposes for the area disturbed to be replanted with existing vegetation or in kind species. No backfill is anticipated.

The purpose of the proposed work is to rebuild the bulkhead to reduce the risk of erosion and the proposed dock system for the applicant to enjoy water dependent activities. The proposed project is located at 26 Landsend Lane (canal side) in the Town of Southampton, Suffolk County on Cold Spring.

Any interested parties and/or agencies desiring to express their views concerning the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, July 15, 2021.

Comments should be addressed to: Consistency Review Unit, Department of State, Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0190

Date of Issuance – June 30, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program. The applicant's consistency certification and accompanying public information and data are available for inspection on the New York State Department of State's website at: <https://dos.ny.gov/system/files/documents/2021/06/f-2021-0190a.pdf>

<https://dos.ny.gov/system/files/documents/2021/06/f-2021-0190b.pdf>

<https://dos.ny.gov/system/files/documents/2021/06/f-2021-0190c.pdf>

In F-2020-0190, or the "Wilson V REDI WWTP", the applicant – Village of Wilson – proposing to decommission the existing wastewater treatment plant in Wilson and redirect all sewage into the Town of Newfane's wastewater system. The Village of Wilson is proposing to install approximately 1,100 LF of 12-inch PVC gravity sewer pipe that connects into the proposed pump station. The Village of Wilson is proposing to install approximately 31,000 LF of 10-inch PVC forcemain. The 10-inch diameter PVC forcemain will be installed along Ontario Street and East/West Lake Road (State Highway 18), up

until existing manhole 5.6 in the ROW of W. Main Street in the Town of Newfane. The purpose of this project is to pump sewage in Wilson to the Town of Newfane to allow the Village of Wilson's existing WWTP to be decommissioned. This will allow the lot of land the WWTP is on to be redeveloped for future use. Work will take place in the Village of Wilson, Town of Wilson, and Town of Newfane.

The purpose of the proposed project is to pump wastewater to the Town of Newfane to allow the Town of Wilson's waste water treatment plant to be decommissioned. The project will occur on Ontario Street and East/West Lake Road, in the Town of Wilson, Niagara County and will cross 5 jurisdictional streams that outlet to Lake Ontario, including Hopkins Creek.

Any interested parties and/or agencies desiring to express their views concerning the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, July 15, 2021.

Comments should be addressed to: Consistency Review Unit, Department of State, Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0435 (DA)

Date of Issuance – June 30, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The District Commander, U.S. Army Corps of Engineers District, Buffalo, New York, is considering, on behalf of the general public, minor modification and reissuance of Regional Permits 81-000-1 for minor recreational dredging activities in waters of the United States within the State of New York and subject to regulation by the U.S. Army Engineer District, Buffalo. This renewal action is being taken in accordance with Title 33 of the Code of Federal Regulations Parts 320 thru 330 as published in the November 13, 1986 Federal Register, Volume 51, No. 219.

The renewal and proposed modification to Regional General Permit 81-000-1 for Minor Dredging to Improve Recreational Use, including discharges of dredged material, other than incidental fallback, associated with in-water excavation activities. The dredging activity is limited to a maximum of 200 cubic yards below the Ordinary High Water (OHW) elevation for activities located within that portion of the State of New York subject to regulation by the U.S. Army Engineer District, Buffalo.

The Corps is proposing a number of minor changes to the Regional Permits, some of which were made in attempt to make the document and procedures clearer to the general public.

Proposed changes to Regional Permit No. 81-000-1 include the following:

1) The USACE is considering adding authorization for 'return water' from a contained disposal area to the Regional Permit. This regulated discharge is generally authorized by Nationwide Permit No. 16.

2) Special Condition 11 was modified to require Pre-construction notification and written affirmation from this office of the applicability of this permit is required for any project that may adversely impact a barrier beach.

3) The USACE is considering the removal of Special Condition No. 12, which requires a Pre-construction notification, and states that this permit does not authorize work in areas designated as erosion hazard areas, unless a permit is obtained under the New York Coastal Erosion Hazard Area Act (Article 34 of the Environmental Conservation Law). Permits are administered by the New York State Department of Environmental Conservation (NYSDEC), municipality, or county.

The applicant's consistency certification and supporting information are available for review at: <https://dos.ny.gov/system/files/documents/2021/06/f-2021-0435.pdf>

Any interested parties and/or agencies desiring to express their views concerning the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, July 15, 2021.

Comments should be addressed to: Department of State, Office of Coastal, Local Government and Community Sustainability, One Commerce Plaza, 99 Washington Ave., Suite, 1010, Albany, NY 12231, (518) 474-6000, Fax (518) 474-6572. This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0436 (DA)

Date of Issuance – June 30, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The District Commander, U.S. Army Corps of Engineers District, Buffalo, New York, is considering, on behalf of the general public, minor modification and reissuance of Regional Permits 86-000-1 for the construction of stone filled timber crib docks in waters of the United States within the State of New York and subject to regulation by the U.S. Army Engineer District, Buffalo. This renewal action is being taken in accordance with Title 33 of the Code of Federal Regulations Parts 320 thru 330 as published in the November 13, 1986 Federal Register, Volume 51, No. 219.

The renewal and proposed modification to RGP 86-000-1 for Stone filled timber crib dock(s), deck, stairway(s), electrical line(s) along dock, boat/jet ski hoist(s), and covered (not enclosed) boat slip(s) and hoist(s), for activities in locations within that portion of the State of New York subject to regulation by the U.S. Army Engineer District, Buffalo.

Proposed changes to Regional Permit No. 86-000-1 include the following:

1) Special Condition 17 was modified to require Pre-construction notification and written affirmation from this office of the applicability of this permit is required for any project that may adversely impact a barrier beach.

2) The USACE is considering the removal of Special Condition No. 18, which requires a Pre-construction notification, and states that this permit does not authorize work in areas designated as erosion hazard areas, unless a permit is obtained under the New York Coastal Erosion Hazard Area Act (Article 34 of the Environmental Conservation Law). Permits are administered by the New York State Department of Environmental Conservation (NYSDEC), municipality, or county.

The applicant's consistency certification and supporting information are available for review at: <https://dos.ny.gov/system/files/documents/2021/06/f-2021-0436.pdf>

Any interested parties and/or agencies desiring to express their views concerning the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, July 15, 2021.

Comments should be addressed to: Department of State, Office of Coastal, Local Government and Community Sustainability, One Commerce Plaza, 99 Washington Ave., Suite, 1010, Albany, NY 12231, (518) 474-6000, Fax (518) 474-6572. This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930

PUBLIC NOTICE

Department of State
F-2021-0437 (DA)

Date of Issuance – June 30, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities

described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The District Commander, U.S. Army Corps of Engineers District, Buffalo, New York, is considering, on behalf of the general public, minor modification and reissuance of Regional Permits 87-000-1 for the construction of open pile docks in waters of the United States within the State of New York and subject to regulation by the U.S. Army Engineer District, Buffalo. This renewal action is being taken in accordance with Title 33 of the Code of Federal Regulations Parts 320 thru 330 as published in the November 13, 1986 Federal Register, Volume 51, No. 219.

Proposed changes to Regional Permit No. 87-000-1 include the following:

1) The USACE is considering adding kayak/canoe launches to the list of authorized activities under this Regional Permit. The USACE has received numerous applications for these structures, and has permitted them under Letters of Permission. The USACE has not found situations where these structures are expected to result in more than minimal impacts. We are considering appropriate size limitations to include in the Regional Permit and welcome any recommendations.

2) Added clarification to Special Condition No. 7 that any step downs from a dock or deck are counted in the size of the dock or deck.

3) The USACE is considering the removal of Special Condition No. 19, which requires a pre-construction notification, and states that a permit does not authorize work in areas designated as erosion hazard areas, unless a permit is obtained under the New York Coastal Erosion Hazard Area Act (Article 34 of the Environmental Conservation Law). Permits are administered by the New York State Department of Environmental Conservation (NYSDEC), municipality, or county.

The applicant's consistency certification and supporting information are available for review at: <https://dos.ny.gov/system/files/documents/2021/06/f-2021-0437.pdf>

Any interested parties and/or agencies desiring to express their views concerning the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, July 15, 2021.

Comments should be addressed to: Department of State, Office of Coastal, Local Government and Community Sustainability, One Commerce Plaza, 99 Washington Ave., Suite, 1010, Albany, NY 12231, (518) 474-6000, Fax (518) 474-6572. This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0442

Date of Issuance - June 30, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2021-0442, Bay Village Condominium Association, is proposing to improve the marina shoreline, and boat and dock ramps. At 100 Bay Village Drive, the applicant proposes to install a bench wall with large stone boulders, build on an existing revetment, elevate dock landings, and dredge the silted area of Irondequoit Bay caused from sedimentation from the town's stormwater outfall. At 1000 Bay Village Drive, the proposal includes creating a bench wall with larger stone boulders, installation of a rock revetment, replacing the boat launch ramp and raising the dock landing.

The stated purpose of the proposed action is to protect the property from inundation due to high waters in Lake Ontario.

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/system/files/documents/2021_06_F-2021-0442_Bay_Village_Condos

Original copies of public information and data submitted by the ap-

plicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or July 30, 2021.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development & Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0458(DA)

Date of Issuance – June 30, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

A federal agency has determined that the proposed activity complies with and will be conducted in a manner consistent to the maximum extent practicable with the approved New York State Coastal Management Program. The agency's consistency determination and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2021-0458(DA), The US Fish and Wildlife Service in collaboration with New York State DEC and the US Geological Survey is proposing to implement a habitat improvement project for lake trout (*Salvelinus namaycush*), Cisco (*Coregonus* spp.) and walleye (*Sander vitreus*) in Chaumont Bay, Lake Ontario, Jefferson County. The project would involve the placement of two angular stone reefs within the bay. Reef 1 would extend from 5m to 10 meters while Reef 2 would extend from 1.5m to 6m depths. The reefs would be approximately 4' tall and slope from a central peak at an approximate 6:1 slope to existing bed elevation. Material for both spawning reefs would be angular stone with a D50 of 160mm. Reef 1 would require approximately 1,400 Cubic Yards (CY) of material. Reef 2 would require approximately 1,800 CY of material.

The reefs would be located by Herrick Shoal (76° 10' 58" W, 44° 2' 21" N). 2) and Middle Shoal (76° 11' 24" W, 44° 2' 54" N).

The applicant's consistency determination and supporting information are available for review at: <https://dos.ny.gov/system/files/documents/2021/06/f-2021-0458publicnotice.pdf>

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15-days from the date of publication of this notice, or July 15, 2021.

Comments should be addressed to: Department of State, Office of Planning, Development & Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464.

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0479 (DA)

Date of Issuance – June 30, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities

described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The US Department of Energy (DOE) has determined that the proposed activity will be undertaken in a manner consistent to the maximum extent practicable with the enforceable policies of the New York State Coastal Management Program. The applicant's consistency determination and accompanying supporting information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue in Albany, New York.

Pacific Northwest National Laboratory (PNNL) wishes to collect data to better understand how tidal turbines may create changes in the water column. PNNL proposes to conduct water velocity measurement surveys in the wake of a Verdant Power deployed tidal turbine in the East River, New York City, NY to measure changes made by turbines. Two acoustic doppler current profilers (ADCP) will run at 1 MHz and 6 MHz. ADCP's will be deployed from a boat into the water column. No interaction with the seabed will occur. Surveys will be conducted over 5 days with on-water activity occurring during peak tidal flows between 4-6 hours per day.

The applicant's consistency certification and supporting information are available for review at: [https://dos.ny.gov/system/files/documents/2021/06/f-2021-0479\(da\)pnnl.pdf](https://dos.ny.gov/system/files/documents/2021/06/f-2021-0479(da)pnnl.pdf)

Any interested parties and/or agencies desiring to express their views concerning the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 from the date of publication of this notice, or July 30, 2021.

Comments should be addressed to: Department of State, Office of Coastal, Local Government and Community Sustainability, One Commerce Plaza, 99 Washington Ave., Suite, 1010, Albany, NY 12231, (518) 474-6000, Fax (518) 474-6572.

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0484

Date of Issuance – June 30, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2021-484, Fire Island Summer Club Condominium proposes the construction of 480' x 8' fixed timber catwalk with open grate decking and wavebreak, oriented north-south; leading to a 188.5' x 8' fixed timber catwalk with open grate decking and wavebreak, oriented NE-SW; leading to a 30' x 6' fixed timber catwalk wavebreak with open grate decking, oriented NW-SE. Twelve (12) 16' x 2' finger piers are proposed on the south and east sides of the catwalks. Twenty-four (24) tie-off piles and (118) support piles are proposed; piles to be 12" diameter timber with depth of penetration of 15 feet (min.). The site is located on Great South Bay at 48 Central Walk, Ocean Beach, NY 11770.

The applicant's consistency certification and supporting information are available for review at: <https://dos.ny.gov/system/files/documents/2021/06/F-2021-0484fireislandsummerclub.pdf>

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or July 30, 2021.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2021-0220: Matter of the Capital District Psychiatric Center, 75 New Scotland Avenue, City of Albany, State of New York, 12208, for a variance concerning safety requirements, including cross corridor opening protectives. Involved is an existing building known as the Capital District Psychiatric Center, located at 75 New Scotland Avenue, City of Albany, County of Albany, State of New York.

2021-0302: Matter of Joseph Riitano, 941 Crane Street, Schenectady, NY 12303, for a variance concerning safety requirements, including cellar ceilings. Involved is an existing building known as New Mt. Pleasant Bakery, located at 941 Crane Street, City of Schenectady, County of Schenectady, State of New York.

PUBLIC NOTICE

Department of State Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2021-0264: Matter of The Crosby Building, 166 Franklin Street, City of Buffalo (County of Erie), NY, for a variance concerning sprinkler system. (Board Variance)

PUBLIC NOTICE

Department of State Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2021-0268: Matter of Gina Lanzafama, 2063 East River Road, Town of Grand Island (County of Erie), NY, for a variance concerning sprinkler system. (Board Variance)

PUBLIC NOTICE

Department of State Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2021-0269: Matter of Ellicott Square Building (3rd and 4th Floor Project), 295 Main Street, City of Buffalo (County of Erie), NY, for a variance concerning sprinkler system. (Board Variance)

PUBLIC NOTICE

Department of State Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2021-0270: Matter of Ellicott Square Building (7th Floor Project), 295 Main Street, City of Buffalo (County of Erie), NY, for a variance concerning sprinkler system. (Board Variance)

PUBLIC NOTICE

Department of State Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2021-0295: Matter of Elsasser Expediting Services, John Roy, 1134B Route 25, Selden, NY 11784, for a variance concerning safety requirements, including the required height under a girder/soffit. Involved is an existing one-family dwelling located at 32 Morewood Drive, Town of Smithtown, NY 11787, County of Suffolk, State of New York.

2021-0296: Matter of Shirley A. Schmidt, 42 Jackson Avenue, Sound Beach, NY 11789, for a variance concerning safety requirements, including the required ceiling height. Involved is an existing one-family dwelling located at One Norwich Road, Sound Beach, Town of Brookhaven, NY 11789, County of Suffolk, State of New York.

PUBLIC NOTICE

Department of State Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or

Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2021-0297: In the matter of Michael Orr of Clayville Self-Storage LLC, P.O. Box, Sauquoit, NY 13456, for an appeal/ variance for flood proof construction of the Building Code of New York State at the Clayville Self-Storage buildings, 9726 Latus Road, Village of Clayville, Oneida County, State of New York.

PUBLIC NOTICE

Department of State Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2021-0305: In the matter of James Austin for the Board of Education Lyncourt UFSD, 2707 Court Street, Syracuse, NY, 13208, for a variance for life safety matters of the Building Code of New York State at the Lyncourt School UFSD, 2707 Court Street, City of Syracuse, Onondaga County, State of New York.

2021-0307: In the matter of Terrence Thisse of T.T.S. Engineers, 6371 East Martinsburg Road, Lowville, NY, 13367, for a variance for life safety matters of the Building Code of New York State at the Breezy Knoll Farm Banquet Facility, 7138 New York State Route 26, Town of Martinsburg, Lewis County, State of New York.

PUBLIC NOTICE

Department of State Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2021-0313: In the matter of Neda Liane Williams-Liu, 17544 Heardsburg Avenue, Healdsburg, CA, 95448, for a variance for diminutive code issues concerning handrail and guardrail height at 118 Wait Avenue, City of Ithaca, Tompkins County, State of New York.

PUBLIC NOTICE

Department of State Notice of Review for the Village of Sylvan Beach Draft Local Waterfront Revitalization Program

PURSUANT to Article 42 of the New York State Executive Law and 19 NYCRR Part 601, the New York State Department of State (DOS) has accepted a Draft Local Waterfront Revitalization Program (LWRP) for the Village of Sylvan Beach, located within Oneida County and the Mohawk Valley Region. The LWRP is a comprehensive management program for the Village's waterfront resources along Oneida Lake, Fish Creek, and the Erie Canal.

To approve the Village of Sylvan Beach LWRP, the Secretary of State must find that it is consistent with Article 42 of the NYS Executive Law and that it does not conflict with existing State programs and policies. Since State agency actions must be consistent with an approved LWRP, Article 42 requires that the public and any potentially affected State and regional agencies be given the opportunity to com-

ment on the proposed program. For this purpose, the Village of Sylvan Beach Draft LWRP is available online at: <https://dos.ny.gov/public-notices>

Comments on the Village of Sylvan Beach Draft LWRP should be submitted by August 30, 2021, to: Valeria Ivan, Department of State, Office of Planning, Development & Community Infrastructure via email at Valeria.Ivan@dos.ny.gov

EXECUTIVE ORDERS

Executive Order No. 168.49: Continuing the Declaration of a Disaster Emergency in the Five Boroughs of New York City and the Counties of Dutchess, Nassau, Orange, Putnam, Rockland, Suffolk and Westchester that Incorporate the MTA Region in the State of New York.

WHEREAS, pursuant to Executive Order No. 168, a disaster has heretofore been declared in the five boroughs of New York City and the Counties of Dutchess, Nassau, Orange, Putnam, Rockland, Suffolk and Westchester that incorporate the Metropolitan Transportation Authority (MTA) Region in the State of New York due to increasingly constant and continuing failures of the tracks, signals, switches and other transportation infrastructure throughout the system including at Pennsylvania Station located in the County of New York (Penn Station), that have resulted in various subway derailments, extensive track outages, and substantial service disruptions impacting the health and safety of hundreds of thousands of riders;

WHEREAS, the ongoing failures of the tracks, signals, switches and other transportation infrastructure throughout the MTA's rail and subway system continue to pose an imminent threat and have a vast and deleterious impact on the health, safety, and livelihood of commuters, tourists, resident New Yorkers, as well as business and commerce in the Metropolitan Commuter Transportation District (MCTD), which is the recognized economic engine of the State of New York, and thereby have adversely affected the New York State economy;

WHEREAS, the track outages and service disruption necessary to implement the Amtrak Repair Program, and other repairs necessary to fix tracks, signals, switches and other transportation infrastructure throughout the MTA's rail and subway system continue to be necessary to protect the public, health and safety of commuters, tourists, resident New Yorkers, and will continue to worsen the transportation disaster emergency that currently exists due to the condition of Penn Station and the MTA's rail and subway system as a whole; and,

WHEREAS, it continues to be necessary for the MTA and its subsidiaries and affiliates to take significant and immediate action to assist in the repair of the tracks, signals, switches and other transportation infrastructure and in the mediation of such track outages and service disruptions due to this disaster emergency;

NOW, THEREFORE, I, ANDREW M. CUOMO, Governor of the State of New York, by virtue of the authority vested in me by the Constitution and the Laws of the State of New York, do hereby extend the state disaster emergency declared pursuant to Executive Order No. 168 and extend all of the terms, conditions, and directives of Executive Order No. 168 and the terms, conditions, and directives for any extensions of the same for the period from the date that the disaster emergency was declared pursuant to Executive Order No. 168 until June 28, 2021; provided that the temporary suspension of any laws, rules, regulations or guidelines pursuant to this and any future extensions of Executive Order 168 shall apply to the extent the Chairman of the MTA, or his designee, which shall only include the MTA's President or Managing Director, determines it necessary for the purposes of awarding any contracts, leases, licenses, permits or any other written agreement that may be entered into to mitigate such disaster emergency.

(L.S.)

GIVEN under my hand and the Privy Seal of the State in the City of Albany the twenty-ninth day of May in the year two thousand twenty-one.

BY THE GOVERNOR

/S/ Andrew M. Cuomo

/s/ Melissa DeRosa

Secretary to the Governor

Executive Order No. 198.17: Continuing the Declaration of Disaster Emergency in the Counties of Cayuga, Jefferson, Monroe, Niagara, Orleans, Oswego, St. Lawrence, and Wayne.

WHEREAS, pursuant to Executive Order 198, a disaster was declared within the counties of Cayuga, Jefferson, Monroe, Niagara, Orleans, Oswego, St. Lawrence, and Wayne due to extensive flooding, widespread erosion, and water damage that caused significant damage to homes and other residential structures, businesses, and public infrastructure;

WHEREAS, historic high water levels in Lake Ontario and the St. Lawrence River continue to be of concern as a cause of flooding, widespread erosion, and water damage, which continues to jeopardize infrastructure, coastline structures, natural barriers, and navigation; and

WHEREAS, the Resiliency and Economic Development Initiative Commission has identified high-priority projects that were urgently needed, and continued to be needed to protect homes, businesses, and critical infrastructure; to sustainably maintain safe navigation channels; and to address the immediate and long-term resiliency of communities along Lake Ontario and the St. Lawrence River.

NOW, THEREFORE, I, ANDREW M. CUOMO, Governor of the State of New York, by virtue of the authority vested in me by the Constitution and the Laws of the State of New York, do hereby extend the state disaster emergency as set forth in Executive Order 198 and continue the terms, conditions, and suspensions contained in Executive Order No. 198 through 198.16 until July 3, 2021.

(L.S.)

GIVEN under my hand and the Privy Seal of the State in the City of Albany this third day of June in the year two thousand twenty-one.

BY THE GOVERNOR

/S/ Andrew M. Cuomo

/s/ Melissa DeRosa

Secretary to the Governor

Executive Order No. 202.110: Continuing Temporary Suspension and Modification of Laws Relating to the Disaster Emergency.

WHEREAS, on March 7, 2020, I issued Executive Order Number 202, declaring a State disaster emergency for the entire State of New York; and

WHEREAS, both travel-related cases and community contact transmission of COVID-19 have been documented in New York State and are expected to continue;

NOW, THEREFORE, I, ANDREW M. CUOMO, Governor of the State of New York, by virtue of the authority vested in me by Section 29-a of Article 2-B of the Executive Law to temporarily suspend or modify any statute, local law, ordinance, order, rule, or regulation, or parts thereof, of any agency during a State disaster emergency, if

compliance with such statute, local law, ordinance, order, rule, or regulation would prevent, hinder, or delay action necessary to cope with the disaster emergency or if necessary to assist or aid in coping with such disaster, do hereby continue the suspensions and modifications of law, unless superseded, modified or otherwise expired, made by Executive Order 202 and each successor Executive Order to 202, for thirty days through July 5, 2021.

IN ADDITION, by virtue of the authority vested in me by Chapter 71 of the Laws of 2021 to modify or extend any directive issued during this disaster emergency necessary to cope with such disaster, I hereby extend any directives unless superseded, modified, or otherwise expired made by Executive Order 202 and each successor Executive Order to 202, for thirty days through July 5, 2021.

(L.S.) GIVEN under my hand and the Privy Seal of the State in the City of Albany this fifth day of June the year two thousand twenty-one.

BY THE GOVERNOR

/S/ Andrew M. Cuomo

/s/ Melissa DeRosa

Secretary to the Governor

FINANCIAL REPORTS



**Department of
Taxation and Finance**

Depositories for the Funds of the State of New York

Month End: May 31, 2021

Prepared by the Division of the Treasury
Investments, Cash Management and Accounting Operations

Michael R Schmidt
Commissioner

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 05/31/2021
ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER		
Unemployment Insurance Funding Account	Key Bank	10,201,165.16
Occupational Training Act Funding Account	Key Bank	109,084.40
PIT Special Refund Account	JPMorgan Chase Bank, N.A.	(258,739,149.36)
General Checking	Key Bank	(485,236,483.24)
Direct Deposit Account	Wells Fargo	58,056.83
TOTAL		(278,074,172.83)
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account	Bank of America, N.A.	5,000.00
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL		
Albany		
SLA Investigations Account	Key Bank	1,232.67
01050 - OFFICE OF GENERAL SERVICES		
Exec Mansion Official Function	Key Bank	19,135.20
NY ISO Account	Key Bank	8,485,718.89
SNY Office of General Services	JPMorgan Chase Bank, N.A.	0.00
State of New York Elicensing	Key Bank	49,321.51
State of New York OGS Petty Cash	Key Bank	319,639.51
State of New Your OGS Escrow	Key Bank	249,173.17
01060 - DIVISION OF STATE POLICE		
CNET Confidential Account	Key Bank	0.00
Div Headquarters - Petty Cash	Key Bank	1,668.98
Key Advantage Account	Key Bank	87,617.95
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	500.00
NYS Police Special Account	Key Bank	2,931,892.83
NYSP CTIU Confidential Fund	Key Bank	4,000.00
SIU Confidential Fund Account	Key Bank	8,992.05
Special Fund	Key Bank	108,739.29
State Police Receipts Account	Bank of America, N.A.	558,610.96
Troop A Batavia - Petty Cash	Bank of America, N.A.	1,000.00
Troop A Batavia-Confidential	Bank of America, N.A.	3,397.25
Troop B Confidential	Key Bank	6,500.00
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	3,955.27
Troop C Petty Cash	NBT Bank	970.00
Troop D Oneida - Confidential	Alliance Bank	2,800.00
Troop D Oneida Petty Cash	Alliance Bank	1,000.00
Troop E Canandaigua Confidential	Canandaigua National Bank	2,000.00
Troop E Petty Cash	Canandaigua National Bank	1,000.00
Troop F Confidential	JPMorgan Chase Bank, N.A.	2,500.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop G Loudonville Conf	Bank of America, N.A.	7,409.85
Troop G Petty Cash	Bank of America, N.A.	1,000.00
Troop K Petty Cash	Bank of Millbrook	924.00
Troop K Poughkeepsie-Confidential	Bank of Millbrook	1,014.62
Troop L Confidential Fund	Bank of America, N.A.	3,287.16
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS		
Advance For Travel	Key Bank	13,371.82
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	7,855.27
01077 - OFFICE OF HOMELAND SECURITY		
Academy Of Fire Science	Chemung Canal Trust	40,568.49
01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	23,294.53
Revenue Account	JPMorgan Chase Bank, N.A.	97,824.17
01090 - DIVISION OF HUMAN RIGHTS		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS		
GOER Panel Administration Escrow Account	Key Bank	7,430.07
NYS Flex Spending	Key Bank	306,297.85
State of New York LMC Petty Cash Account	Key Bank	2,000.00
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS		
Agency Advance Account	Key Bank	1,712.93
01300 - ADIRONDACK PARK AGENCY		
General Fund	Community Bank	2.00
Petty Cash	Community Bank	4,004.28
01400 - CRIME VICTIMS COMPENSATION BOARD		

Crime Victims	JPMorgan Chase Bank, N.A.	150,000.00
Emergency Award Account	M&T Bank	0.00
Emergency Claims	Key Bank	14,000.00
Petty Cash Account	Key Bank	1,550.00
REST/SUBROG Escrow Account	Key Bank	389.66
01490 - DIVISION OF CRIMINAL JUSTICE SERVICES		
Advance Account	Bank of America, N.A.	2,825.00
Fingerprint Fee Account	Bank of America, N.A.	3,981,333.20
01530 - STATE COMMISSION OF CORRECTION		
Advance Account	Bank of America, N.A.	2,000.00
01540 - STATE BOARD OF ELECTIONS		
Revenue Account	Key Bank	No report received
01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
02000 - OFFICE OF THE STATE COMPTROLLER		
Admissions	Bank of America, N.A.	481.98
Advance for Travel Account	Key Bank	No report received
Alcohol Beverage	Bank of America, N.A.	83,627.51
Alcoholic Bev Control License	Wells Fargo Bank	150,268.58
Alcoholic Beverage Control License	M&T Bank	377,273.05
Alcoholic Beverage Tax Collections	Wells Fargo Bank	18,965.15
Assessments Bulk	JPMorgan Chase Bank, N.A.	156,779.24
Assessments Receivable	JPMorgan Chase Bank, N.A.	4,314,174.26
Assessments Receivable (EFT)	Wells Fargo Bank	216,767.60
Boxing And Wrestling Tax	Bank of America, N.A.	178.52
Check 21 Corporation Tax	JPMorgan Chase Bank, N.A.	4,009,182.09
Check 21 Estate Tax	JPMorgan Chase Bank, N.A.	982,759.98
Check 21 Highway Use	JPMorgan Chase Bank, N.A.	113,031.44
Check 21 PIT	JPMorgan Chase Bank, N.A.	882,877.01
Check 21 Real Estate Transfer	JPMorgan Chase Bank, N.A.	406,717.97
Check Sales Tax	JPMorgan Chase Bank, N.A.	3,436,434.82
Cigarette Stamp Tax (EFT)	Wells Fargo Bank	2,382,453.05
Cigarette Stamp Tax Split	JPMorgan Chase Bank, N.A.	20,857.68
Cigarette Tax Tobacco Products	Bank of America, N.A.	41,363.85
Congestion Surcharge	Wells Fargo Bank	168,307.23
Corporation Tax	Wells Fargo Bank	438.39
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	98,815.90
Educational Charitable Account	Wells Fargo Bank	1.00
Employer Compensation Expense	Wells Fargo Bank	15,337.64
Encon Beverage Container Deposit/Bottle Bill (EFT)	Wells Fargo Bank	207,099.53
ERS Petty Cash Acct	Key Bank	No report received
Estimated Tax	JPMorgan Chase Bank, N.A.	12,066,337.28
Gift Tax	Bank of America, N.A.	0.00
Hazardous Waste	Key Bank	48,036.27
Highway Use - Permits & Reg.	Bank of America, N.A.	13,406.02
Highway Use Truck Mileage Tax (EFT)	Wells Fargo Bank	317,505.33
Hudson River-Black River	Bank of America, N.A.	463,191.17
Hudson River-Black River	Community Bank	137,980.94
Hut/Oscar Registrations & Renewals (EFT)	Wells Fargo Bank	24,052.50
IFTA Fuel Use Tax (EFT)	Wells Fargo Bank	38,473.91
IFTA/Oscar Renewals (EFT)	Wells Fargo Bank	0.00
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	26,592.00
IFTA-Fuel Use	Bank of America, N.A.	38,550.91
Justice Court	Key Bank	261,033.78
Mac #847 NYS T&F Pari Mutuel	Key Bank	592,072.09
Mac #848 NYS T&F Off Track	Key Bank	945,610.93
MCTD Medallion Taxicab Trip	JPMorgan Chase Bank, N.A.	0.00
Medallion Taxicab Trip Tax (EFT)	Wells Fargo Bank	1,382.50
Medical Marijuana Tax Collections	Bank of America, N.A.	13,492.41
Metro Commuter Trans. Mobility	JPMorgan Chase Bank, N.A.	26,941.30
Ogdensburg Bridge & Port	Community Bank	244,218.38
Opioid Excise Tax	Wells Fargo Bank	50,586.59
Personal Income Tax	Wells Fargo Bank	82,211.00
Petroleum Business Tax (EFT)	Wells Fargo Bank	305,421.36
Petroleum Products Tax	Bank of America, N.A.	5,847,883.39
Petty Cash Account	Key Bank	No report received
PIT Bulk	JPMorgan Chase Bank, N.A.	591,967.00
Port Of Oswego	Key Bank	75,671.09
Promptax - MCTMT	Wells Fargo Bank	1,041,862.73
Promptax - Petroleum Business Tax	Wells Fargo Bank	710.66
Promptax - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank	790.67
Promptax - Withholding	Wells Fargo Bank	7,255,663.61
Real Estate Transfer Tax	Key Bank	2,112,935.61
Revenue Holding	First Niagara Bank	2,548,000.00

Sales Tax	JPMorgan Chase Bank, N.A.	0.00
Sales Tax	Wells Fargo Bank	437,749.93
SUNY Concentration	First Niagara Bank	1,299,000.00
TNC Assessment (EFT)	Wells Fargo Bank	1,474.77
Troy Debt Service Reserve Fund	Bank of America, N.A.	1,883,885.62
Uncashed Winning Tickets	Bank of America, N.A.	49,975.49
Withholding	Wells Fargo Bank	661.33
Withholding Tax	JPMorgan Chase Bank, N.A.	195,374.46
Cash Advance Accounts		
Advance For Travel Account	Key Bank	400.00
ERS Petty Cash Acct	Key Bank	12,832.97
Petty Cash Account	Key Bank	3,800.00
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	29.22
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	7.25
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	0.00
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	0.00
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	0.27
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
03000 - DEPARTMENT OF LAW		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received
Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL		
dept atty gen vs john doe	Key Bank	6,009,701.52
National Global Settlement	JPMorgan Chase Bank, N.A.	34,627,995.40
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	28,869.76
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	3,499.51
04020 - NYS ASSEMBLY		
Advance For Travel	Bank of America, N.A.	4,002.00
Petty Cash Account Dist Off	Bank of America, N.A.	20,512.44
Petty Cash New York City	JPMorgan Chase Bank, N.A.	722.56
Public Information Office	Key Bank	33.25
04030 - ASSEMBLY WAYS & MEANS COMMITTEE		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION		
NY LBDC - Legislative Computer Services Fund	Key Bank	15,599.86
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	2,000.00
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	125.00
05000 - OFFICE OF COURT ADMINISTRATION		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	2,506,825.00
Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	8,443,165.00
Finger Print Account	JPMorgan Chase Bank, N.A.	300.00
05005 - OCA OFFICE OF BUDGET & FINANCE		
Petty Cash Account	Key Bank	43.82
05008 - LAWYERS FUND FOR CLIENT PROTECTION		
Client Security Fund - Bail	Key Bank	1,445,904.36
Lawyers Fund For Client Protection - Bail	Key Bank	702.13
Petty Cash	Key Bank	3,540.19

05071 - COURT OF APPEALS		
Chief Judge Advance	Key Bank	15.07
Clerk Of The Court Of Appeals	Key Bank	8,730.00
05072 - STATE BOARD OF LAW EXAMINERS		
State Board Of Law Examiners Fee	Key Bank	161,750.00
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	28,305.00
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	80,541.00
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT		
Third Dept Civil Fees Acct - Revenue	Key Bank	17,910.00
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	17,792.50
05090 - COURT OF CLAIMS		
Court Of Claims Revenue Account	Key Bank	5,095.00
05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION		
Glen Cove City Court		
Glen Cove City Court Bail	Wells Fargo Bank	3,000.45
Glen Cove City Court Revenue	Wells Fargo Bank	17,691.00
Long Beach City Court		
Long Beach City Court Revenue	Wells Fargo Bank	57,000.49
Long Beach Court Bail	Wells Fargo Bank	49,156.61
Nassau County Court		
Nassau County Assessment	Wells Fargo Bank	34,064.23
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Wells Fargo Bank	155,548.41
Nassau District Court-Civil		
Nassau County Dist Ct- Civil Revenue	Wells Fargo Bank	72,929.63
Nassau Surrogate		
Nassau County Surrogate Court-Revenue	Wells Fargo Bank	235,137.25
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION		
10th Judicial District Suffolk County Admin		
Suffolk County Court -Court Fund	People's United Bank	64,650.75
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	People's United Bank	215,048.50
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	281,793.64
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	231,426.35
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	65,511.00
05210 - NYC-CIVIL COURT		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	931,861.48
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	9,251.28
Kings Civil Court- Civil		
Kings Civil Court- Civil Revenue	JPMorgan Chase Bank, N.A.	647,304.76
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	136,255.45
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	551,162.41
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	57,319.70
05215 - NYC-CRIMINAL COURT		
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	77,861.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	12,047.00
Kings County Criminal Court		
Kings Criminal Court	Citibank	161,493.96
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	34,115.00
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	36,000.00
Queens County Criminal Court		
Queens Criminal Court	JPMorgan Chase Bank, N.A.	23,748.00
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	22,045.00
Richmond County Criminal Court		
Richard Criminal Court-City Funds	JPMorgan Chase Bank, N.A.	41,749.00
Richmond Criminal Court-State Funds	JPMorgan Chase Bank, N.A.	14,915.00
05231 - SUPREME COURT - BRONX COUNTY		
Bronx County Supreme - NYS OCA	JPMorgan Chase Bank, N.A.	2,715.00
05235 - SUPREME COURT - KINGS COUNTY		
Kings Co Supreme		

Supreme Court Kings County-Revenue	JPMorgan Chase Bank, N.A.	1,936.50
05240 - SUPREME COURT - QUEENS COUNTY		
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	8,575.00
05250 - NEW YORK COUNTY CLERK		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	3,454,531.21
05255 - BRONX COUNTY CLERK		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	674,982.67
05260 - KINGS COUNTY CLERK		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	1,236,041.35
05265 - QUEENS COUNTY CLERK		
Queens County Clerk-Revenue Acct	Sterling Bank	1,029,698.30
05270 - RICHMOND COUNTY CLERK		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	16,669,850.57
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	78.93
05275 - NEW YORK COUNTY SURROGATES COURT		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	205,434.00
05280 - BRONX COUNTY SURROGATES COURT		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	111,771.25
05285 - KINGS COUNTY SURROGATES COURT		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	688,593.00
05290 - QUEENS COUNTY SURROGATES COURT		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	126,976.00
05295 - RICHMOND COUNTY SURROGATES COURT		
Richmond County Surrogate Court Revenue Account	Victory State Bank	17,213.75
05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION		
Albany City Court - (Civil)		
Albany City Civil - Revenue	Wells Fargo Bank	6,513.56
Albany City Court - (Crim-Bail)		
Albany City Criminal - Bail	Wells Fargo Bank	12,587.62
Albany City Court - (Traffic)		
Albany City Traffic-Revenue	Wells Fargo Bank	36,076.00
Albany Traffic Court - Bail	Bank of America, N.A.	0.00
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	0.00
Albany City Court - Crim		
Albany City Court-Crim	Wells Fargo Bank	3,823.34
Albany City Court - Traffic-Bail		
Albany City Traffic - Bail	Wells Fargo Bank	1,300.16
Albany County Surrogate		
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	23,163.00
Albany Police Court		
Albany Police Court Bail Account	Key Bank	0.00
Cohoes City Court		
Cohoes City Court Bail	Key Bank	913.00
Cohoes City Court Fees/Fines Account	Key Bank	5,475.00
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	5,109.00
Greene Surrogate		
Greene Surrogate-Revenue	Wells Fargo Bank	2,753.00
Hudson City Court		
Hudson City Bail	Wells Fargo Bank	27,436.61
Hudson City Revenue	Wells Fargo Bank	10,824.00
Kingston City Court		
Kingston City Court Bail	Wells Fargo Bank	2.00
Kingston City Court Revenue	Wells Fargo Bank	22,796.29
Rensselaer City Court		
Rensselaer City Court - Bail	Wells Fargo Bank	5,054.40
Rensselaer City Court - Revenue	Wells Fargo Bank	3,861.00
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	18,228.75
Schoharie County Surrogate		
Schoharie Co Surrogates Court - Revenue	Bank of America, N.A.	0.00
Schoharie Surrogate Court		
Schoharie Surrogates Court Revenue	NBT Bank	1,320.03
Sullivan Surrogate		
Sullivan Surrogate-Revenue	Wells Fargo Bank	2,486.59

Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	31,432.71
Troy Police Court Bail Account	Bank of America, N.A.	8,944.26
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	17,901.75
Watervliet City Court		
Watervliet City Court - Bail	Wells Fargo Bank	41,265.17
Watervliet City Court - Revenue	Wells Fargo Bank	13,600.83
05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION		
Amsterdam City Court		
Amsterdam City Court - Bail	Key Bank	21,102.22
Amsterdam City Court - Revenue	Key Bank	15,243.00
Clinton County Surrogates		
Clinton County Surrogates - Revenue	NBT Bank	2,512.00
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	1,306.00
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	943.00
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	1,654.34
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	14,040.46
Glens Falls City Court Bail Acct	Glens Falls National	10,214.28
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	26,681.36
Gloversville City Court Revenue	NBT Bank	5,991.53
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	867.00
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	2,576.02
Johnstown City Court Fines/Fees - Revenue	Key Bank	10,185.95
Mechanicville City Court		
Mechanicville City Court Bail	TD Bank	150.28
Mechanicville City Ct Revenue Acct	TD Bank	2,475.00
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	2,095.50
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	9,481.08
Ogdensburg City Court Revenue	Community Bank	2,498.00
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	8,851.76
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	14,265.00
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	5,983.50
Saratoga Springs City Court		
Saratoga Springs Bail Account	The Adirondack Trust Company	20,221.09
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	14,352.47
Schenectady City Court		
Schenectady City Court- Bail	Bank of America, N.A.	81,688.80
Schenectady City Court Revenue	Bank of America, N.A.	23,994.03
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	6,638.00
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	4,769.00
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	5,141.00
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	982.00
05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION		
Fulton City Court		
Fulton City Court Bail Acct	Key Bank	No report received
Fulton City Court Revenue	Key Bank	No report received
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	No report received
Jefferson Surrogates		
Jefferson Co Surrogate Revenue	Key Bank	No report received
Lewis County		
Lewis County Clerk	Community Bank	No report received
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	No report received
Little Falls City Court		
Little Falls City Court Bail	M&T Bank	No report received
Little Falls City Court Revenue	M&T Bank	No report received
Oneida County Combined		

Oneida County Combined Court	Adirondack Bank	No report received
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	No report received
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	No report received
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	No report received
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	No report received
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	No report received
Rome City Court		
City Court Of Rome Bail Account - Bail	NBT Bank	No report received
Rome City Court - Revenue	NBT Bank	No report received
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	NBT Bank	No report received
Sherrill City Court Fees - Revenue	NBT Bank	No report received
Syracuse City Court		
Syracuse City Court - Bail	NBT Bank	No report received
Syracuse City Court - Fees - Revenue	NBT Bank	No report received
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	No report received
Utica City Court Revenue Account	Key Bank	No report received
Watertown City Court		
Watertown City Court Bail	Key Bank	No report received
Watertown City Court Fees & Fines - Revenue	Key Bank	No report received
05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	43,252.00
Binghamton City Court Revenue	M&T Bank	20,804.12
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	8,111.00
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	1,459.00
Chenango County Surrogates		
SNY UCS Chenango County Surrogates Court	Wells Fargo Bank	4,873.75
Cortland City Court		
Court City Court Bail	NBT Bank	13,579.25
Court City Court- Revenue	NBT Bank	8,453.84
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	507.00
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	1,242.50
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	16,237.00
Elmira City Court Bail	Chemung Canal Trust	42,765.60
Ithaca City Court		
Ithaca City Court	Tompkins County Trust	No report received
Ithaca City Court Revenue	Tompkins County Trust	No report received
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	1,559.00
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	20,051.00
Norwich City Court Revenue Acct	NBT Bank	1,172.00
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	10,762.00
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	12,187.00
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	4,633.00
Oneonta City Court Bail Account	Community Bank	5,750.00
Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	841.00
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	1,354.00
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	2,673.25
Tompkins County Surrogates		
SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	5,573.75
05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION		
Auburn City Court		
Auburn City Court Bail Acct	Key Bank	42,099.55
Auburn City Court Fees & Fines - Revenue	Key Bank	9,245.01
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	16,802.41
Canandaigua City Court Revenue	Canandaigua National Bank	15,066.30

Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	2,971.00
Corning City Court		
Corning City Court - Bail	Wells Fargo Bank	3,754.49
Corning City Court - Revenue	Wells Fargo Bank	11,604.80
Geneva City Court		
Geneva City Court Bail Account	Wells Fargo Bank	16,891.87
Geneva City Court Revenue Account	Wells Fargo Bank	5,369.00
Hornell City Court		
Hornell City Court Bail Account	Community Bank	11,669.10
Hornell City Court Revenue	Community Bank	1,317.00
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	289.00
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	41,851.00
Ontario County Surrogates		
Ontario Surrogate Court	Wells Fargo Bank	5,659.00
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	489,215.55
Rochester City Revenue	M&T Bank	42,016.43
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	1,809.50
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	4,608.00
Wayne County Surrogates		
Wayne Surrogate Court	Wells Fargo Bank	2,314.00
Yates County Surrogates		
Yates Surrogate Court	Wells Fargo Bank	991.25
05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION		
ALLEGANY COUNTY SURROGATES COURT		
ST of NY Office of The State Comptroller State of New York Unified Courts Allegany Surrogate Court	Wells Fargo Bank	No report received
Batavia City Court		
ST of NY Office of The State Comptroller Batavia City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Batavia City Court REVENUE	Wells Fargo Bank	No report received
BUFFALO CITY COURT		
ST of NY Office of The State Comptroller Buffalo City Court Bail Account	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER BUFFALO CITY COURT REVENUE ACCOUNT	Wells Fargo Bank	No report received
Cattaraugus County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	No report received
Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	No report received
Dunkirk City Court		
ST of NY Office of The State Comptroller Dunkirk Bail Account	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Dunkirk Revenue Account	Wells Fargo Bank	No report received
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	No report received
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	No report received
Genesee County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	No report received
Jamestown City Court		
St of NY Office of the State Comptroller Jamestown City Court Bail Account	Wells Fargo Bank	No report received
St of NY Office of the State Comptroller Jamestown City Court Revenue Account	Wells Fargo Bank	No report received
State of New York Office of Court Administration Jamestown City Court Bail	Key Bank	No report received
State of New York Office of Court Administration Jamestown City Court Revenue	Key Bank	No report received
Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	No report received
Lackawanna City Court Revenue Account	Key Bank	No report received
Lockport City Court		
Lockport City - Bail	Key Bank	No report received
Lockport City HESC EFT Account - Revenue	Key Bank	No report received
St of NY Office of the State Comptroller Lockport City Court Bail Account	Wells Fargo Bank	No report received
St of NY Office of the State Comptroller Lockport City Court Revenue Account	Wells Fargo Bank	No report received
Niagara City Court		
Niagara Falls Bail Bond Account	M&T Bank	No report received
Niagara County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	No report received
Niagara Falls City Court		
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS BAIL ACCOUNT	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS REVENUE ACCOUNT	Wells Fargo Bank	No report received
No. Tonawanda City Court		
ST of NY Office of The State Comptroller No. Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller No. Tonawanda Revenue	Wells Fargo Bank	No report received
Olean City Court		

ST of NY Office of The State Comptroller Olean City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Olean City Court Revenue	Wells Fargo Bank	No report received
Orleans County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	No report received
Salamanca City Court		
Salamanca City Court Bail	Community Bank	No report received
Salamanca City Court City Judge - Revenue	Community Bank	No report received
St of NY Office of the State Comptroller Salamanca City Court Bail Account	Wells Fargo Bank	No report received
St of NY Office of the State Comptroller Salamanca City Court Revenue Account	Wells Fargo Bank	No report received
Tonawanda City Court		
ST of NY Office of The State Comptroller Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Tonawanda City Court Revenue	Wells Fargo Bank	No report received
Wyoming County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Wyoming Surrogate Court	Wells Fargo Bank	No report received
05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION		
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	40,681.34
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	3,285.00
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	49,903.00
Middletown City Court		
Middletown City Bail Escrow - Bail	Wells Fargo Bank	92,665.60
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	0.00
Middletown City Court Revenue	Wells Fargo Bank	35,791.25
Mt Vernon City Court		
Mt Vernon City Court State Bail	Wells Fargo Bank	109,926.22
Mt Vernon City Court State Revenue	Wells Fargo Bank	16,989.27
New Rochelle City Court		
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	310,355.37
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	77,046.61
Newburgh City Court		
Newburgh Bail Account	Wells Fargo Bank	14,849.25
Newburgh City Court Revenue	Wells Fargo Bank	20,870.13
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	18,902.50
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	14,980.00
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	28,905.70
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	11,567.00
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	10,928.96
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	18,705.63
Poughkeepsie City Court -Revenue	Wells Fargo Bank	27,707.36
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	14,248.00
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	35,372.34
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	751.00
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	58,305.48
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	Wells Fargo Bank	44,779.84
White Plains City Court		
White Plains City Court Bail Account	Sterling Bank	0.00
White Plains City Court Bail Account	Wells Fargo Bank	61,079.73
White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank	0.00
White Plains City Court Vehicle And Traffic Acct - Revenue	Wells Fargo Bank	35,940.17
Yonkers City Court		
Yonkers City Bail Account - Bail	Wells Fargo Bank	565,435.11
Yonkers City Revenue Account - Revenue	Wells Fargo Bank	59,801.41
06000 - AGRICULTURE & MARKETS		
Administration Account	Key Bank	31,080.58
Agency Advance Account	Key Bank	10,000.00
Agriculture Producers Sec Fund	Key Bank	16,012.68
Animal Population Control Account	Key Bank	7,391.67
Apple Marketing Order Fund	Key Bank	0.00
Consumer Food Industry Account	Key Bank	139,312.97
Dairy Industry Services Account	Key Bank	47,365.80
Dairy Promotion Order Fund	Key Bank	0.00
Milk Producers Security Fund	Key Bank	5,667.38
NYS Farmers Market Program	Key Bank	416,445.82
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	137.48

NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	12,649.65
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	319.42
Plants Industry Account	Key Bank	16,091.41
Pride of NY	Key Bank	6,266.98
Weights & Measures Account	Key Bank	50,055.18
NYS Dept Agriculture & Markets		
Apple Marketing Order Fund	Key Bank	0.00
Dairy Promotion Order Fund	Key Bank	0.00
Farm Products	Key Bank	1,388.01
State Fair		
NYS Fair Operating Account	Solvay Bank	15,528.72
NYS Fair Special Account	Solvay Bank	231.52
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	3,000.00
Examination Application Fees Account	Bank of America, N.A.	1,673.00
Examination Application Fees Account	Key Bank	937.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
NYS Department of Civil Service	US Bank	64,561,090.78
08010 - PUBLIC EMPLOYEE RELATIONS BOARD		
Petty Cash And Travel Advance Account	Key Bank	1,368.47
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		
Bayville Feasibility Study	JPMorgan Chase Bank, N.A.	494,091.96
Conservation Petty Cash Account	M&T Bank	8,518.00
DEC/Exchange Account	M&T Bank	13,037.98
ENCON License Issuing Office	M&T Bank	1,930.72
ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,205.67
ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A.	22,921.77
Hunting Trapping & Fishing Account	M&T Bank	41,671.99
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	156,262.17
Lockbox Account	Wells Fargo Bank	92,112.88
Mattituck Inlet	JPMorgan Chase Bank, N.A.	1,329.19
NY Conservationist	Bank of America, N.A.	6,749.48
Program Fee	JPMorgan Chase Bank, N.A.	66,808.14
Revenue Account	Bank of America, N.A.	827,042.38
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,265,906.30
State of New York	Key Bank	346,265.63
Region 1		
Marine Permit Account	People's United Bank	24,915.00
Region 3		
Beaverkill & Mongaup Pond	Jeff Bank	835.04
Revenue Region 3 Account	Bank of America, N.A.	992.85
Region 4		
Bear Spring Revenue Account	Wayne Bank	0.00
Region 4	Greene County Commercial Bank	0.00
Region 4 Camping	NBT Bank	0.00
Region 5		
Campsite Revenue Account	Glens Falls National	438.65
Land & Forest Region SW	TD Bank	29,252.93
NYS Conservation	Glens Falls National	26.00
Recreation (Warrensburg)	City National Bank & Trust	1,485.91
Region 5	Citizens Bank	308.03
Region 5	NBT Bank	33,412.90
Tree Nursery	Bank of America, N.A.	17,763.30
Region 6		
Fish & Wildlife Watertown	Key Bank	126.92
Lands & Forest District #7	Community Bank	0.00
Lands & Forests District #6	Community Bank	15.00
Lands And Forests District 10	M&T Bank	566.20
SNY Dept Of Environmental Conserv	Community Bank	14.04
10000 - ATTICA CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	1,700.00
CD Spendable	Five Star Bank	220,000.00
Employee Benefit Fund	Five Star Bank	9,160.20
General Cash Fund	Five Star Bank	186.50
Inmate Occupational Therapy Fund	Five Star Bank	88,885.48
Inmate Savings Account	Five Star Bank	255,562.45
Spendable Fund	Five Star Bank	1,150,949.82
10010 - AUBURN CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,599.55
Certificate of Deposit	Bank of America, N.A.	15,021.20
Inmate Occupational Therapy Fund	Key Bank	27,211.01
Inmate Spendable Account	Key Bank	667,461.65
Misc Revenue	Key Bank	51,825.05

10020 - CLINTON CORRECTIONAL FACILITY

Advance Account	Key Bank	12,986.33
Employee Benefit Fund	Key Bank	8,912.07
General Fund	Key Bank	521,476.02
Inmate Funds	Key Bank	287,458.54
Inmate Occupational Therapy Acct	Key Bank	63,021.89

10030 - WATERTOWN CORRECTIONAL FACILITY

Inmate Occupational Therapy Fund	Key Bank	157.13
Inmate Spendable Funds	Key Bank	313.06

10040 - GREAT MEADOW CORRECTIONAL FACILITY

Certificate of Deposit	Glens Falls National	150,000.00
Certificate of Deposit	Glens Falls National	25,000.00
Certificate of Deposit	Glens Falls National	100,000.00
Facility Advance	Key Bank	6,090.72
General Fund	Key Bank	0.00
Inmate Fund	Key Bank	661,504.80
Inmate Fund Savings Account	Glens Falls National	52,500.00
Miscellaneous Account	Key Bank	13,163.39
Occupational Therapy	Key Bank	50,831.37

10050 - FISHKILL CORRECTIONAL FACILITY

Agency Advance	M&T Bank	4,341.32
Employee Benefits	M&T Bank	9,915.04
Inmate Spending Account	M&T Bank	775,850.10
Inmates Account	M&T Bank	463,146.55
Misc Receipts	M&T Bank	20,805.99
Occupational Therapy Account	M&T Bank	51,579.81

10060 - WALLKILL CORRECTIONAL FACILITY

Advance Account	Key Bank	1,550.00
Employee Benefit	Key Bank	2,079.78
Inmate Occupational Therapy Fund	Key Bank	29,814.41
Inmate Savings Account	Key Bank	88,194.94
Inmates Fund Account	Key Bank	234,450.01
Misc. Receipts	Key Bank	15,930.26

10070 - SING SING CORRECTIONAL FACILITY

Cash Advance	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds	JPMorgan Chase Bank, N.A.	No report received
Inmate Interest Funds	JPMorgan Chase Bank, N.A.	No report received
Misc Receipts	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy	JPMorgan Chase Bank, N.A.	No report received
Quality Work Life	JPMorgan Chase Bank, N.A.	No report received

10080 - GREEN HAVEN CORRECTIONAL FACILITY

Advance Account	Key Bank	2,075.00
General Fund	Key Bank	10,298.99
Inmates Money Market	Key Bank	287,843.08
Inmates Now Checking	Key Bank	1,427,563.28
Occupational Therapy Fund	Key Bank	143,896.82

10090 - ALBION CORRECTIONAL FACILITY

Albion Advance Account	Bank of America, N.A.	4,210.00
Employee Benefit Fund	Bank of America, N.A.	14,037.91
Inmate Funds	Bank of America, N.A.	218,333.79
Inmate Funds Savings	Bank of America, N.A.	154,456.09
Misc Receipts	Bank of America, N.A.	6,159.24
Occupational Therapy	Bank of America, N.A.	18,570.86

10100 - EASTERN NEW YORK CORRECTIONAL FACILITY

Agency Advance Account	Sterling Bank	166.26
Employee Benefit Fund	Sterling Bank	16,084.22
ID Now 3 Month CD	M&T Bank	50,102.55
Inmate Deposit Now Account	Sterling Bank	757,952.55
Inmate Occupational Therapy Account	Sterling Bank	43,457.16
Misc. Receipts	Sterling Bank	6,289.42

10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER

Agency Advance Account	Chemung Canal Trust	2,515.03
Employee Benefit Fund	Chemung Canal Trust	28,048.77
Inmate CD Account	M&T Bank	213,917.64
Inmates Fund	Chemung Canal Trust	882,450.45
Miscellaneous Receipts	Chemung Canal Trust	27,433.25
Occupational Therapy Fund	Chemung Canal Trust	28,934.19

10120 - BEDFORD HILLS CORRECTIONAL FACILITY

Advance Account	JPMorgan Chase Bank, N.A.	4,617.70
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	6,339.16
Inmate Funds	JPMorgan Chase Bank, N.A.	289,236.61
Inmate Funds CD	JPMorgan Chase Bank, N.A.	90,000.00
Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	23,313.12
Misc. Receipts	JPMorgan Chase Bank, N.A.	76.50

Occupational Therapy	JPMorgan Chase Bank, N.A.	69,441.28
10130 - COXSACKIE CORRECTIONAL FACILITY		
Agency Advance Account	National Bank of Coxsackie	506.97
Employee Benefits Fund	National Bank of Coxsackie	26,366.85
Inmates Fund	National Bank of Coxsackie	273,292.46
Inmates Fund Savings Acct	National Bank of Coxsackie	187,674.21
Misc. Revenue	National Bank of Coxsackie	338.59
Occupational Therapy Acct	National Bank of Coxsackie	61,700.42
10140 - WOODBOURNE CORRECTIONAL FACILITY		
CD - Inmate Funds	First National Bank of Jeffersonville	190,000.00
CD - Inmate Funds	First National Bank of Jeffersonville	50,000.00
WCF Agency Advance	Jeff Bank	1,521.90
WCF General Fund	Jeff Bank	17,011.67
WCF Inmate Fund	Jeff Bank	436,820.04
WCF Occupational Therapy Fund	Jeff Bank	41,604.37
10160 - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION		
Agency Advance Account	Key Bank	24,823.85
Employee Benefit Fund	Key Bank	16,183.60
Inmate Escrow Account	Key Bank	495,493.03
Misc. Receipts Account	Key Bank	1,214,071.61
Special Account	Key Bank	1,208.00
10170 - QUEENSBORO CORRECTIONAL FACILITY		
Agency Advance Account	JPMorgan Chase Bank, N.A.	7,179.59
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	10,410.15
Inmate Funds Account	JPMorgan Chase Bank, N.A.	377,817.11
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	738.51
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	2,050.12
Savings Account	JPMorgan Chase Bank, N.A.	15,649.27
10230 - ADIRONDACK CORRECTIONAL FACILITY		
ADK QWL	Community Bank	5,717.85
Agency Advance	Community Bank	785.65
Employee Benefit Fund	Community Bank	5,301.92
General Fund	Community Bank	15.75
Inmate Funds	Community Bank	22,799.37
Inmate Occupational Therapy Fund	Community Bank	991.33
10240 - DOWNSTATE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	1,515.98
Employee Recreational Funds	JPMorgan Chase Bank, N.A.	18,445.70
Inmate Fund	JPMorgan Chase Bank, N.A.	615,484.71
Inmates Funds Savings	JPMorgan Chase Bank, N.A.	80,475.23
Misc. Receipts	JPMorgan Chase Bank, N.A.	20,906.16
Occupational Therapy	JPMorgan Chase Bank, N.A.	70,756.24
10250 - TACONIC CORRECTIONAL FACILITY		
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	2,709.10
Inmate Funds	JPMorgan Chase Bank, N.A.	117,167.75
Misc. Revenue	JPMorgan Chase Bank, N.A.	850.98
Money Market	JPMorgan Chase Bank, N.A.	30,992.50
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	19,210.55
Taconic Advance Account	JPMorgan Chase Bank, N.A.	3,200.00
10270 - HUDSON CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,685.01
Employee Benefit Fund Account	Key Bank	6,264.16
Inmate Funds Account	Key Bank	225,330.59
Inmate Key Advantage Account	Key Bank	50,361.70
Inmate Occupational Therapy Account	Key Bank	6,493.76
Miscellaneous Receipts Account	Key Bank	2,244.52
10290 - OTISVILLE CORRECTIONAL FACILITY		
Cash Advance	Jeff Bank	728.40
General Fund	Jeff Bank	3,346.04
Inmate Funds	Jeff Bank	392,365.07
Inmate Occupational Therapy	Jeff Bank	48,775.99
Inmate Savings	Jeff Bank	51,377.53
Inmate Savings CD	Hometown Bank	78,896.83
10300 - ROCHESTER CORRECTIONAL FACILITY		
Consolidated Advance Account	M&T Bank	1,535.21
Employee Recreation Fund	M&T Bank	1,245.84
Inmate Deposit Account	M&T Bank	54,308.00
Misc Fees	M&T Bank	453.00
Work Release Advance Account	M&T Bank	5,764.69
10320 - EDGEcombe CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	2,605.00
Employee Benefit Account	JPMorgan Chase Bank, N.A.	1,674.44
Inmate Cash Account	JPMorgan Chase Bank, N.A.	62,397.12
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	0.00

Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	1,022.05
Work Release Account	JPMorgan Chase Bank, N.A.	No report received
10350 - OGDENSBURG CORRECTIONAL FACILITY		
Agency Advance Account	Community Bank	2,925.00
EBF	Community Bank	6,728.17
Inmate Fund	Community Bank	100,445.07
Inmate Savings	Community Bank	45,245.52
Misc. Receipts	Community Bank	198.71
Occupational Therapy	Community Bank	12,592.36
10370 - FIVE POINTS CORRECTIONAL FACILITY		
Consolidated Advance	Five Star Bank	1,047.23
EBF Checking	Five Star Bank	43,817.30
EBF Savings	Five Star Bank	30,846.96
Inmate Spendable	Five Star Bank	453,955.81
Misc Receipts	Five Star Bank	710.97
Occupational Therapy	Five Star Bank	43,477.97
10390 - MOHAWK CORRECTIONAL FACILITY		
Agency Advance	Key Bank	2,299.25
Employee Benefit Fund Checking	Bank of America, N.A.	34,387.15
Inmate Funds Checking	Key Bank	473,123.69
Inmate Funds Savings	Key Bank	314,501.49
Miscellaneous Revenue	Key Bank	1,222.90
Occupational Therapy	Key Bank	20,703.85
10430 - WENDE CORRECTIONAL FACILITY		
Consolidated Advance	Alden State Bank	3,799.76
Employee Benefit Account	Alden State Bank	30,256.01
Inmate Savings	Alden State Bank	197,445.38
Inmates Funds	Alden State Bank	304,241.91
Misc. Receipts	Alden State Bank	12,013.66
Occupational Therapy	Alden State Bank	39,401.41
10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER		
Office of Nutritional Services		
Miscellaneous Receipts	Key Bank	16,365.76
10450 - GOWANDA CORRECTIONAL FACILITY		
Inmate Funds	Community Bank	360.47
10460 - GROVELAND CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	No report received
Employee Commission Account	Five Star Bank	No report received
Inmate Funds Account	Five Star Bank	No report received
Inmate Funds Account - Savings	Five Star Bank	No report received
Miscellaneous Receipts Account	Five Star Bank	No report received
Occupational Therapy Account	Five Star Bank	No report received
10470 - COLLINS CORRECTIONAL FACILITY		
Agency Advance	Community Bank	4,850.00
Employee Activities	Community Bank	40,535.52
Inmate Fund Checking	Community Bank	551,553.85
Inmate Savings	Community Bank	130,573.78
Miscellaneous Revenue	Community Bank	6,289.10
Occupational Therapy	Community Bank	16,117.33
10480 - MID-STATE CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,496.34
Employee Benefit Fund	Bank of America, N.A.	40,077.29
Inmate Funds	Key Bank	430,320.92
Inmate Savings	Key Bank	198,764.66
Misc. Revenue	Key Bank	1,415.60
Occupational Therapy	Key Bank	34,129.88
10490 - MARCY CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	3,659.09
Employee Benefit Fund Account	Bank of America, N.A.	21,149.27
Inmate Fund Account	Key Bank	304,810.78
Misc receipts Acct	Key Bank	0.00
NYS DOCS Marcy CORR Facility	Key Bank	206,827.60
Occupational Therapy fund Acct	Key Bank	40,107.41
10501 - CENTRAL PHARMACY		
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY		
Moriah Shock Incarceration Advance Acct	Glens Falls National	1,800.00
Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National	4,131.74
Moriah Shock Incarceration Occ Therapy	Glens Falls National	2,837.50
Moriah Shock Misc Receipts	Glens Falls National	0.00
NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	42,182.36
10530 - FRANKLIN CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,209.14
Employee Benefit Account	Key Bank	17,920.76

Inmate Funds	Key Bank	234,622.07
Inmate Occupational Therapy	Key Bank	16,196.01
Misc. Receipts	Key Bank	2,485.30
10540 - ALTONA CORRECTIONAL FACILITY		
Cons Adv Travel Petty Cash	NBT Bank	3,608.21
Employees Vending Benefit	NBT Bank	7,576.87
Inmates Funds	NBT Bank	81,140.17
Misc Revenues General Fund	NBT Bank	700.95
Occupational Therapy	NBT Bank	4,642.74
10550 - CAYUGA CORRECTIONAL FACILITY		
Agency Advance	First National Bank of Groton	1,279.48
Cert Of Deposit	First National Bank of Groton	87,603.97
Employee Benefit Fund	First National Bank of Groton	6,025.87
Inmate Occupational Therapy Account	First National Bank of Groton	29,748.54
Inmate Spendable	First National Bank of Groton	302,344.48
Misc Receipts	First National Bank of Groton	1,494.07
10560 - BARE HILL CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,677.00
Employee Benefit Fund	Key Bank	9,469.14
Inmate Spendable Funds	Key Bank	235,208.17
Miscellaneous Receipts	Key Bank	956.80
Occupational Therapy	Key Bank	10,867.08
10570 - RIVERVIEW CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	395.15
Inmate Accounts	Key Bank	95,400.28
Inmate Savings Account	Key Bank	105,651.83
Miscellaneous Receipts Account	Key Bank	10,365.03
Occupational Therapy	Key Bank	19,313.23
10580 - CAPE VINCENT CORRECTIONAL FACILITY		
Advance Account	Community Bank	1,900.00
Employee Benefit Fund	Community Bank	42,685.84
Inmate Occupation Therapy Acct	Community Bank	34,245.38
Inmate Savings	Community Bank	141,756.19
Inmate Spendable Account	Community Bank	153,292.70
Miscellaneous Receipts Account	Community Bank	4,714.56
10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY		
Agency Advance	Community Bank	3,700.00
Employee Benefit Fund	Community Bank	15,439.04
Inmate Funds	Community Bank	60,170.49
Inmate Funds - Sav	Community Bank	84,667.72
Miscellaneous Revenue	Community Bank	805.26
Occupational Therapy	Community Bank	527.66
10610 - ULSTER CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	0.00
Agency Advance	M&T Bank	1,178.90
Employee Benefit Fund	Bank of America, N.A.	0.00
Employee Benefit Fund	M&T Bank	6,697.69
Inmate Fund	Bank of America, N.A.	0.00
Inmate Fund	M&T Bank	258,771.01
Inmate Funds Savings	Bank of America, N.A.	0.00
Inmate Funds Savings	M&T Bank	12,308.15
Misc Receipts	M&T Bank	142.50
Misc. Receipts	Bank of America, N.A.	0.00
Occupational Therapy	Bank of America, N.A.	0.00
Occupational Therapy	M&T Bank	3,126.11
10630 - SOUTHPORT CORRECTIONAL FACILITY		
Advance Account	Chemung Canal Trust	463.67
Employee Benefit Fund	Chemung Canal Trust	13,094.19
Inmate Funds Account	Chemung Canal Trust	227,030.42
Misc. Receipts Account	Chemung Canal Trust	444.62
Occupational Therapy Account	Chemung Canal Trust	26,218.93
10640 - ORLEANS CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	2,250.00
Employee Benefit Fund	Bank of America, N.A.	12,094.28
Inmate Funds	Bank of America, N.A.	252,958.98
Inmate Savings	Bank of America, N.A.	108,360.30
Miscellaneous Receipts	Bank of America, N.A.	15,782.85
Occupational Therapy	Bank of America, N.A.	19,535.41
10650 - WASHINGTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,449.07
EBF Account	Key Bank	14,433.45
General Account	Key Bank	0.00
Inmate Account	Key Bank	225,709.85
Inmate Funds Account Certificate Of Deposit	Glens Falls National	79,227.86

Inmate Savings Account	Key Bank	14,370.22
Occupational Therapy Account	Key Bank	13,581.22
10660 - WYOMING CORRECTIONAL FACILITY		
Agency Advance	Five Star Bank	6,300.00
Employee Benefit Fund	Five Star Bank	12,563.18
Inmate Occupational Therapy	Five Star Bank	37,418.10
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings Account	Five Star Bank	52,202.23
Inmate Spendable	Five Star Bank	433,260.93
Misc. Receipts Account	Five Star Bank	7,841.34
10670 - GREENE CORRECTIONAL FACILITY		
Consolidated Advance	National Bank of Coxsackie	1,928.89
Employee Benefit Fund	National Bank of Coxsackie	23,530.41
Inmate Accounts	National Bank of Coxsackie	87,060.74
Inmate Savings	National Bank of Coxsackie	491,867.79
Misc. Receipts	National Bank of Coxsackie	29.25
Occupational Therapy	National Bank of Coxsackie	49,912.05
10680 - SHAWANGUNK CORRECTIONAL FACILITY		
Consolidated Advance Account	Key Bank	1,300.00
Inmate Funds	Key Bank	150,394.02
Inmates Funds Account	Key Bank	291,407.92
Misc. Receipts Account	Key Bank	5,462.23
Occupational Therapy Acct	Key Bank	36,775.53
10690 - SULLIVAN CORRECTIONAL FACILITY		
Consolidated Advance	Key Bank	2,350.00
Inmate Checking	Key Bank	273,922.51
Inmate Savings	Key Bank	100,506.86
Miscellaneous	Key Bank	10,192.08
Occupational Therapy	Key Bank	34,549.05
10810 - GOUVERNEUR CORRECTIONAL FACILITY		
Agency Advance	Community Bank	1,420.76
Inmate Occupational Therapy	Community Bank	17,141.44
Inmate Savings	Community Bank	200,021.43
Inmate Spendable Fund	Community Bank	168,130.32
Misc Receipts	Community Bank	11,490.77
10820 - WILLARD DRUG TREATMENT CENTER		
Consolidated Advance	Community Bank	981.33
Employee Benefit Fund	Community Bank	18,507.49
Inmate Funds	Community Bank	54,208.92
Inmate Occupational Therapy	Community Bank	10,110.97
Misc Receipts	Community Bank	240.00
10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1		
Advance Account	Key Bank	1,900.00
Facility Committees	Key Bank	16,405.27
Inmate Fund	Key Bank	242,938.41
Inmate Occupational Therapy Fund	Key Bank	265.00
Miscellaneous Account	Key Bank	265.00
10850 - HALE CREEK ASACTC		
Consolidated Advance	Key Bank	620.22
Employee Benefit Fund	Bank of America, N.A.	9,612.78
Inmate Funds	Key Bank	167,456.91
Inmate Interest Bearing Account	Key Bank	15,000.25
Misc Receipts	Key Bank	118.75
Occupational Therapy	Key Bank	22,344.21
10890 - CORRECTIONS AND COMMUNITY SUPERVISION		
Asset Forfeiture Special Rev Acct	Bank of America, N.A.	311,873.76
Parole Supervision Fee	Wells Fargo Bank	11,354.29
10916 - CENTRAL OFFICE - INDUSTRIES		
Div of Ind Petty Cash Acct	Key Bank	No report received
Div of Ind Revenue Acct	Key Bank	No report received
11000 - EDUCATION DEPARTMENT		
Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received
11100 - NYS HIGHER EDUCATION SERVICES CORPORATION		
Federal Student Loan Suspense	US Bank	53,340.67
NYS HESC-Federal Student Loan Suspense	Key Bank	2,000,659.99
Operating	Key Bank	262,125.91
Operating	US Bank	2,399,063.81
Retail Lockbox	US Bank	151,479.79
Wholesale Lockbox	US Bank	1,392,775.01
11260 - BATAVIA SCHOOL FOR THE BLIND		

Misc. Receipts	M&T Bank	1,304.43
Petty Cash	M&T Bank	2,454.03
Student Spending Account	Bank of America, N.A.	7,915.58
11270 - ROME SCHOOL FOR THE DEAF		
Miscellaneous Receipts	NBT Bank	10,603.32
Petty Cash	NBT Bank	2,000.00
Student Activity Fund	NBT Bank	15,174.39
11280 - ARCHIVES PARTNERSHIP TRUST		
Endowment	Janney Montgomery Scott LLC	5,634,279.82
Endowment - Special Account	Janney Montgomery Scott LLC	No report received
Trust's Board Project Account	Key Bank	186,528.48
12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION		
CSA Rebate Account	Bank of America, N.A.	151,235.37
DOH EPIC Lockbox Acct	Wells Fargo Bank	3,211.19
DOH Main Cash Advance	Key Bank	17,111.13
Early Intervention - Municipal Deposits for Provider Pymts	Key Bank	0.00
Early Intervention - Provider Payments Escrow	Key Bank	10,692,106.57
Early Intervention - State Funds	Key Bank	19,412.17
EPIC Co Pay Account	Bank of America, N.A.	0.00
Epic Drug Manufacturer Rebate Account	Bank of America, N.A.	1,797.51
EPIC EFT Acct	Bank of America, N.A.	0.00
EPIC Master Funding Acct	Bank of America, N.A.	2,824,374.83
EPIC Provider Receipt Account	Bank of America, N.A.	0.00
EPIC Refund Acct	Bank of America, N.A.	0.00
eWIC	Wells Fargo Bank	49,430.56
General Account	M&T Bank	72,674.19
ICR Audit Fees Account	Bank of America, N.A.	38,480.08
Indian Health Disbursement Account	Bank of America, N.A.	0.00
Indian Health-Master Acct	Bank of America, N.A.	1,103.61
Medicaid	Key Bank	3,713,680.13
Medicaid Audit Recoveries Acct	Key Bank	0.00
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	512,259.82
Nurses Aide Fees (Prometric)	Bank of America, N.A.	70,494.40
Nursing Home Fees Account	Bank of America, N.A.	50,056.17
NYS DOH CLEP Revenue	Key Bank	32,581.98
OBRA Drug Rebate Program Acct	Bank of America, N.A.	121,762.27
SPARCS	Key Bank	25,705.76
12100 - ROSWELL PARK MEMORIAL INSTITUTE		
Office Of Patient Accounts	M&T Bank	4,089,888.79
12030 - HELEN HAYES HOSPITAL		
Misc. Receipts	JPMorgan Chase Bank, N.A.	352,600.18
Petty Cash Account	JPMorgan Chase Bank, N.A.	9,816.61
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	5,648.11
12120 - NYS VETERANS HOME-OXFORD		
Agency Advance	NBT Bank	11,553.57
Exchange Account	NBT Bank	18,800.34
Maintenance Fund	NBT Bank	624,642.46
NYS Veterans Home-Oxford (Resident Account, Custodial Account)	NBT Bank	471,693.82
Resident Custodial Account	NBT Bank	1,214.69
Resident Custodial Account	NBT Bank	2,702.55
Resident Custodial Account	NBT Bank	5,470.27
Resident Custodial Account	NBT Bank	12,519.66
Resident Custodial Account	NBT Bank	10,273.89
Resident Custodial Account	NBT Bank	3,002.71
Resident Custodial Account	NBT Bank	4,407.06
12150 - NYS VETERANS HOME-ST ALBANS		
NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	112,627.80
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	712,034.06
St Albans Vet Home Maintenance Acct	NBT Bank	473,289.37
12180 - WESTERN NEW YORK VETERANS HOME		
Advance Account	Bank of America, N.A.	3,700.00
Exchange Account	Bank of America, N.A.	3,837.68
Maintenance Account	NBT Bank	231,951.10
Resident Funds	Bank of America, N.A.	59,570.98
12190 - VETERANS HOME AT MONTROSE		
Agency Advance Account	Bank of America, N.A.	7,586.63
Maintenance Acct	NBT Bank	507,674.64
Residence Account	Bank of America, N.A.	497,015.19
12200 - OFFICE OF MEDICAID INSPECTOR GENERAL		
Albany Confidential Account	Key Bank	229.59
Albany Petty Cash Account	Key Bank	442.00
NYC Confidential Account	JPMorgan Chase Bank, N.A.	166.00
14000 - DEPARTMENT OF LABOR		
Agency Advance Account	Key Bank	27,476.00

Exchange Account	Bank of America, N.A.	21,432.79
Fee And Permit Account	Key Bank	725,041.22
Min Wage & Claim Funding Acct	Key Bank	256,935.88
Minimum Wage & Wage Claim Acct	Key Bank	822,432.08
Misc Receipts	Bank of America, N.A.	472,565.77
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	365,390,118.05
UI Fund ACH Transactions	Wells Fargo Bank	1,000,000.00
14010 - WORKERS COMPENSATION BOARD		
DTF/WCB MAC 14	JPMorgan Chase Bank, N.A.	262,770,558.75
16000 - PUBLIC SERVICE COMMISSION		
Cable Account	Key Bank	5,293.94
Petty Cash Account	Key Bank	4,005.00
Special Fee Account	Key Bank	10,575.80
17000 - NYS DEPARTMENT OF TRANSPORTATION		
Contractors Bid And Guarantee	Key Bank	No report received
Main Office Advance For Travel	Key Bank	No report received
PARTNERS DOT -HOOCs	Key Bank	No report received
Revenue Unit	Key Bank	No report received
Republic Airport, Long Island		
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	No report received
19000 - DEPARTMENT OF STATE		
Athletic	M&T Bank	3,890.00
Licensing Revenue Account	JPMorgan Chase Bank, N.A.	718,216.78
Main	M&T Bank	94,301.66
Petty Cash Account	Key Bank	20,181.25
Summons	M&T Bank	143,156.00
19001 - TUG HILL COMMISSION		
Agency Advance Account	Key Bank	No report received
19002 - LAKE GEORGE PARK COMMISSION		
Petty Cash Account	Glens Falls National	No report received
Revenue Transfer Account	Glens Falls National	No report received
19005 - COMMISSION ON PUBLIC INTEGRITY		
JCOPE Petty Cash Account	Bank of America, N.A.	No report received
JCOPE Revenue Account	Bank of America, N.A.	No report received
20000 - DEPARTMENT OF TAXATION & FINANCE		
IFTA Funding	JPMorgan Chase Bank, N.A.	63,506.33
Misc Tax Account - Exchange	Bank of America, N.A.	4,977.81
Off Track Betting Tax (MAC 848)	Key Bank	945,610.93
Pari Mutuel Betting Tax (MAC 847)	Key Bank	592,072.09
Petty Cash	Bank of America, N.A.	15,000.00
Tax Preparer Registration Fee (EFT)	Wells Fargo Bank	30,000.00
Waste Tire Fee (EFT)	Wells Fargo Bank	20,636.88
Waste Tire Tax	JPMorgan Chase Bank, N.A.	28,615.32
20050 - NEW YORK STATE GAMING COMMISSION		
Charitable Gaming Account	Key Bank	146,278.55
Commercial Gaming Revenue Account	Key Bank	0.00
Custody Account	US Bank	12,688.98
Fingerprint Concentration Account	Key Bank	13,890.86
License Revenue Account	Bank of America, N.A.	30,129.31
Lottery Concentration Account	Key Bank	115,592.00
Lottery Prize Payment Account	Key Bank	0.00
Lottery Subscriptions Account	Key Bank	699,781.90
Petty Cash Account	Key Bank	775.62
Racing Refund Account	Key Bank	757,530.69
Video Gaming Revenue Account	Key Bank	12,771,144.46
21012 - WELFARE INSPECTOR GENERAL		
Confidential Fund	Bank of America, N.A.	15,000.00
Confidential Fund	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
21110 - OFFICE OF REGULATORY REFORM		
Petty Cash	Key Bank	No report received
21190 - NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY		
NYSERDA Greenbank MAC 26	JPMorgan Chase Bank, N.A.	No report received
NYSERDA Main Checking MAC 30	JPMorgan Chase Bank, N.A.	(4,879,267.20)
21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT		
Checking- General Fund Acct.	Community Bank	137,980.94
Checking- Petty Cash Fund	Community Bank	5,000.00
Hudson River General Acct	Bank of America, N.A.	480,941.66
Money Market	Bank of America, N.A.	0.38
Petty Cash Fund	Bank of America, N.A.	6,500.00
21700 - OFFICE OF THE STATE INSPECTOR GENERAL		
Office Of The State Inspector General Pass Thru Account	Key Bank	0.00
OSIG Petty Cash Account	Key Bank	1,350.00
Albany		

Office of the Inspector General Confidential	Bank of America, N.A.	30,000.00
21820 - STATE COMMISSION ON JUDICIAL CONDUCT		
Petty Cash Account	JPMorgan Chase Bank, N.A.	645.47
Petty Cash Account	Key Bank	1,050.50
Petty Cash Fund	JPMorgan Chase Bank, N.A.	1,807.49
21940 - NYS FINANCIAL CONTROL BOARD		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received
23000 - DEPARTMENT OF MOTOR VEHICLES		
Albany Central Main Acct		
Albany Central Main Acct	Wells Fargo Bank	No report received
Albany Central Main Exchange		
Exchange	Wells Fargo Bank	No report received
Albany Central Office		
Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	No report received
Albany TVB Sub (Albany Central Office)		
Adjudication Account	Wells Fargo Bank	No report received
Administrative Adj	M&T Bank	No report received
Albany-Region 3		
Confidential Inv Subpoena - Albany	Key Bank	No report received
Allegany-Belmont		
County Clerk Fee Allegany	Steuben Trust Co.	No report received
Andirondack Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	No report received
Buffalo-Region 5		
MV- Buffalo Investigator & Subpoena	M&T Bank	No report received
Capital Saratoga Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	No report received
Catskill Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	No report received
Central Leatherstocking		
County Fee Account	JPMorgan Chase Bank, N.A.	No report received
Chautauqua-Steuben		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
Chautauqua County		
Holding Acct-Chautauqua County	Community Bank	No report received
Holding Acct-Chautauqua County	Key Bank	No report received
Holding Acct-Chautauqua County	M&T Bank	No report received
Concentration (CTY)(OSC)		
Concentration (CTY)(OSC)	Key Bank	No report received
Concentration (DO)(OSC)		
Concentration (DO)(OSC)	Key Bank	No report received
Confidential Fund (Albany Central Office)		
Confidential Fund	Bank of America, N.A.	No report received
CTY Credit Card (Albany Central Office)		
County Office Credit Card Account	JPMorgan Chase Bank, N.A.	No report received
Customer Service Counter (Albany)		
NYS DMV CSC	Wells Fargo Bank	No report received
D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	No report received
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation	M&T Bank	No report received
Downstate		
Revenue Account - Downstate	Wells Fargo Bank	No report received
Eric County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	No report received
Finger Lakes First		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
Finger Lakes Second		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
Genesee County		
Genesee County Clerk - DMV	Bank of Castile	No report received
Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
IRP (Albany Central Office)		
International Registration	M&T Bank	No report received
International Registration	Wells Fargo Bank	No report received
IRP Exchange (Albany Central Office)		
Irp Internet Office - Dept. MV	M&T Bank	No report received
Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	No report received
Long Island/Staten Island DO		
Long Island/Staten Island DO	Wells Fargo Bank	No report received

Long Island/Staten Island JP		
Long Island/Staten Island (Mass/Med)	JPMorgan Chase Bank, N.A.	No report received
Nassau Region 1		
Div. of Vehicle Safety	Citibank	No report received
Niagara Frontier		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
Oneida County		
DMV Oneida County Fee Account	Bank of Utica	280,835.07
Oneida County Fee Account	NBT Bank	102,559.97
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	No report received
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	No report received
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	No report received
Search Exchange (Albany Central Office)		
MV Search	Key Bank	No report received
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	No report received
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	No report received
Thousand Island Seaway		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
TLC/DOCCS		
TLC/DOCCS	JPMorgan Chase Bank, N.A.	No report received
Travel Advance (Albany Central Office)		
Travel Advance	Bank of America, N.A.	No report received
TVB Acct		
TVB Acct	Wells Fargo Bank	No report received
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	No report received
Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	No report received
Utica D.O.		
Exchange Account	Bank of Utica	No report received
Revenue Utica	Bank of Utica	No report received
Yonkers-Region 2		
Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	No report received
25000 - OFFICE OF CHILDREN & FAMILY SERVICES		
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	950.00
Brentwood Residents' Account	JPMorgan Chase Bank, N.A.	259.91
Brookwood Cash Advance	Key Bank	1,722.58
Brookwood Resid Residential Cash	Key Bank	19,594.00
Check Exchange	Bank of America, N.A.	64.95
CO Independent Living Acct	Bank of America, N.A.	2,000.00
Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A.	25,000.00
Columbia Girls Secure Center-Advance Acct	Key Bank	700.00
Columbia Girls Secure Center-Youth Savings	Key Bank	836.17
Finger Lakes Res Ctr Residents Cash	Tompkins County Trust	3,311.15
Fingerlakes Res Ctr Cash Advance	Tompkins County Trust	3,300.00
Goshen Cash Advance	Bank of America, N.A.	2,600.00
Goshen Residents Account	Bank of America, N.A.	11,749.38
Harriet Tubman Advance Account	Key Bank	500.00
Harriet Tubman Residents' Account	Key Bank	235.20
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	2,603.52
Highland Res Ctr Residents Acct	Bank of America, N.A.	8,561.71
Industry Advance Account	JPMorgan Chase Bank, N.A.	2,374.89
Industry Res Account	JPMorgan Chase Bank, N.A.	3,189.49
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	0.00
MacCormick Cash Advance	Tompkins County Trust	1,901.00
MacCormick Residents' Account	Tompkins County Trust	22,785.85
Medicaid Reimbursement Exchange	Bank of America, N.A.	30,201.66
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	15,075.00
NYS OCFS Salary Advance Account	Bank of America, N.A.	15,096.83
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	700.00
Red Hook Res Ctr Resident Cash	Key Bank	451.30
Red Hook Resid Ctr Cash Advance	Key Bank	300.00
SCR Credit Card Revenue Account	Bank of America, N.A.	55,150.00
State Central Register	Bank of America, N.A.	35,212.60
Taberg Cash Advance	NBT Bank	758.85
Taberg Residents Account	NBT Bank	828.69
27000 - OFFICE OF TEMPORARY & DISABILITY ASSISTANCE		
Exchange Account	Key Bank	8,771.70
Title IV D Of Social Security	Key Bank	7,128,452.94

Travel Advance	Key Bank	10,001.14
28010 - SUNY ALBANY		
Fee Account	Key Bank	2,204,099.37
Loan Services Center Account	Key Bank	97,407.68
Petty Cash/Travel Advance	Key Bank	No report received
28020 - SUNY BINGHAMTON		
SUNY Binghamton	M&T Bank	403,429.94
SUNY Binghamton - Controlled Disb	M&T Bank	No report received
28030 - SUNY BUFFALO		
Controlled Disbursement Account	Bank of America, N.A.	No report received
General Revenue Account	Bank of America, N.A.	No report received
General Revenue Account	Key Bank	257,685.44
Imprest Account	Key Bank	No report received
28050 - SUNY STONY BROOK		
Central Funding	JPMorgan Chase Bank, N.A.	1,233,128.78
Controlled Disbursement	JPMorgan Chase Bank, N.A.	No report received
Fees Depository	JPMorgan Chase Bank, N.A.	14,889,128.59
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	275,532.50
LISVH Fees Depository	Sterling Bank	134,146.12
LISVH Residence Fund	Sterling Bank	431,448.39
Payroll Advance	JPMorgan Chase Bank, N.A.	3,152.58
SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	No report received
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	142,945.46
SUNY Eastern Long Island Hospital Depository	JPMorgan Chase Bank, N.A.	355,015.34
SUNY Southampton Depository	JPMorgan Chase Bank, N.A.	423,751.41
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	13,489,114.01
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,909.35
28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN		
Center Revenue	JPMorgan Chase Bank, N.A.	1,056,047.22
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	No report received
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	No report received
Hospital Revenue	JPMorgan Chase Bank, N.A.	1,163,866.37
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	No report received
LICH Depository	JPMorgan Chase Bank, N.A.	43,466.79
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
Student Refunds	JPMorgan Chase Bank, N.A.	No report received
28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE		
College Revenue	Key Bank	543,200.75
Controlled Disbursement	Key Bank	No report received
Hospital Revenue	Key Bank	3,860,281.93
Parking	Key Bank	124,003.75
28150 - SUNY BROCKPORT		
Brockport-REOC Account	Key Bank	1,024.10
Concentration Acct	M&T Bank	151,709.44
Controlled Disb	M&T Bank	No report received
Special Account	M&T Bank	1,000.00
28160 - SUNY BUFFALO STATE COLLEGE		
Controlled Disb	M&T Bank	No report received
Dept Public Safety	M&T Bank	No report received
Special Grant Account	M&T Bank	3,773.14
Students Acct Office	M&T Bank	155,555.60
28170 - SUNY CORTLAND		
General Checking Account	Key Bank	338,517.37
28180 - SUNY FREDONIA		
Controlled Disb	M&T Bank	No report received
Depository Account	M&T Bank	58,478.03
28190 - SUNY GENESEO		
Controlled Disbursement Account	Key Bank	No report received
State Fees	Key Bank	81,151.76
28200 - SUNY OLD WESTBURY		
Local Depository	JPMorgan Chase Bank, N.A.	91,445.07
28210 - SUNY NEW PALTZ		
Disbursement Account	Key Bank	No report received
State Revenue	Key Bank	56,242.60
28220 - SUNY ONEONTA		
Petty Cash Advance Account	NBT Bank	No report received
Revenue Account	NBT Bank	436,442.68
28230 - SUNY OSWEGO		
Controlled Disbursement	Key Bank	No report received
General Revenue	Key Bank	267,644.22
Imprest Account	Key Bank	No report received
28240 - SUNY PLATTSBURGH		
General Revenue	TD Bank	2,673,737.08
28250 - SUNY POTSDAM		

Control Disbursement Account	Key Bank	No report received
State Fee Reconciliation Account	Key Bank	75,706.54
28260 - SUNY PURCHASE		
General Income Fund	Key Bank	185,611.06
28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME		
Advance Account	Bank of America, N.A.	No report received
Controlled Disbursement Account	Bank of America, N.A.	No report received
Revenue	Bank of America, N.A.	300,669.38
28280 - SUNY EMPIRE STATE COLLEGE		
Concentration Account	Key Bank	176,986.08
Distribution Center Account	Key Bank	123,936.72
International Wire Account	Key Bank	18,944.00
Zero Balance Controlled Disbursement Account	Key Bank	No report received
28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED		
Fees Account	Community Bank	831,085.03
28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON		
Community Cash Deposits	NBT Bank	117,132.66
Income Fund	Key Bank	105,716.62
International Program Account	Key Bank	37,521.36
28370 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL		
Income Fund	Key Bank	115,503.74
28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI		
General Revenue	Delaware National Bank	110,724.07
Petty Cash Fund	Delaware National Bank	No report received
28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE		
Income Fund	Citibank	426,282.66
28400 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE		
Income Fund	Key Bank	871,152.74
Revenue Account	NBT Bank	11,007.67
28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		
Agency Advance	Key Bank	No report received
Controlled Disb	Key Bank	No report received
ESF/GSA	Key Bank	No report received
Forestry	Community Bank	228.70
Regular Account	Key Bank	161,982.69
Student Government	Key Bank	No report received
28570 - SUNY MARITIME COLLEGE		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	No report received
Cruise Account	JPMorgan Chase Bank, N.A.	65,000.00
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	993,189.82
Revenue EFT Account	JPMorgan Chase Bank, N.A.	18,836.00
28580 - SUNY COLLEGE OF OPTOMETRY		
General Revenue	JPMorgan Chase Bank, N.A.	107,565.59
Medical Transportation	JPMorgan Chase Bank, N.A.	254.38
28650 - SUNY CENTRAL SYSTEM ADMINISTRATION		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	4,258,166.72
Revenue	Key Bank	1,306,960.07
37000 - DEPARTMENT OF FINANCIAL SERVICES		
Confidential Investigations	JPMorgan Chase Bank, N.A.	11,202.85
Confidential Investigations	JPMorgan Chase Bank, N.A.	9,798.96
Fire Tax Account (Main)	Key Bank	4,198.38
Fire Tax Payment	Key Bank	0.00
General Assessment Account	JPMorgan Chase Bank, N.A.	240,448.85
General Fund	Key Bank	126,720.47
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	3,045,888.68
Miscellaneous Account	JPMorgan Chase Bank, N.A.	1,236,072.70
Paid Family Leave	JPMorgan Chase Bank, N.A.	0.00
Petty Cash	Key Bank	9,678.14
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	1,206,602.80
49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION		
Revenue (SA)	Glens Falls National	47,026.41
SARATOGA REGION CONTRACTORS BID ACCOUNT	Key Bank	88,231.68
49020 - LONG ISLAND STATE PARK COMMISSION		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	76,764.93
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	172,773.89
Revenue (LI)	People's United Bank	43,461.60
49030 - GENESEE STATE PARK COMMISSION		
Contractors Bid (GE)	Bank of Castile	47,344.11
Revenue (GE)	Bank of Castile	51,140.76
49040 - NIAGARA FRONTIER STATE PARK COMMISSION		
Contractors Bid (NIA)	Key Bank	68.40
Revenue (NIA)	Evans National Bank	35,082.47
49050 - PALISADES INTERSTATE STATE PARK COMMISSION		

Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	4,767.32
49070 - OFFICE OF PARKS & RECREATION		
Main Office - Change Fund	Key Bank	24,710.00
Main Office Account (ALB)	Key Bank	1,500.00
OPRHP Concentration Account	Key Bank	251,784.06
Petty Cash (ALB)	Key Bank	30,153.20
Revenue (NI, GE, AL, CE, TA)	M&T Bank	20,391.20
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	119,656.71
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	20,319.53
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	45,107.34
Revenue (various)	Wells Fargo Bank	101,646.93
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	554,638.30
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	Key Bank	410,985.04
Statewide Housing Security Deposits	Key Bank	148,864.45
49090 - FINGER LAKES STATE PARK COMMISSION		
Contractors Bid (FL)	Tompkins County Trust	20,517.78
Revenue (FL)	Savannah Bank	39,584.11
Revenue (FL-Multi)	Community Bank	8,211.69
Revenue (FL-Multi-Facilities)	Tompkins County Trust	130,981.85
49100 - ALLEGANY STATE PARK COMMISSION		
Contractors Bid (AL)	Five Star Bank	2,015.00
Regional Account (AL)	Five Star Bank	136,976.58
Revenue (AL)	Five Star Bank	36,629.31
49120 - CENTRAL NEW YORK STATE PARK COMMISSION		
Contractors Bid (CE)	JPMorgan Chase Bank, N.A.	0.00
Revenue (CE, SA, TI)	NBT Bank	42,574.94
49130 - TACONIC STATE PARK COMMISSION		
Contractors Bid (TA)	M&T Bank	800.70
49140 - THOUSAND ISLANDS STATE PARK COMMISSION		
Revenue (TI)	Citizens Bank	35,740.35
Revenue (TI-Multi)	Community Bank	7,728.86
50000 - OFFICE OF MENTAL HEALTH		
Consolidated Advance	Bank of America, N.A.	16,579.21
Iterim Assistance Agreement	Bank of America, N.A.	No report received
OMH Medication Grant Program Acct	Bank of America, N.A.	1,335.12
Reimbursement Account	Bank of America, N.A.	No report received
50010 - GREATER BINGHAMTON HEALTH CENTER		
Agency Advance Account	JPMorgan Chase Bank, N.A.	5,064.55
Facility Holding Account	JPMorgan Chase Bank, N.A.	11,128.16
Patient Cash Funds	JPMorgan Chase Bank, N.A.	450,974.58
Patients Cash Account	JPMorgan Chase Bank, N.A.	578,670.34
Security Deposit	JPMorgan Chase Bank, N.A.	4,389.61
50020 - KINGSBORO PSYCHIATRIC CENTER		
Advance Account	Banco Popular	22,220.47
Family Care	Banco Popular	14,290.87
Holding Account	Banco Popular	253,642.02
Medicaid Outpatient Travel	Banco Popular	466.05
Patient Cash Acct (MM)	Banco Popular	566,730.81
Patient Checking Account	Citibank	10,687.30
Patient Savings Account	Banco Popular	335,036.72
Security Deposit	Banco Popular	2,489.39
Urban Oasis/EBT	Banco Popular	56,832.32
50030 - BUFFALO PSYCHIATRIC CENTER		
Advance Account	Key Bank	37,003.17
Facility Holding	Key Bank	8,323.89
Patient Cash Checking	Key Bank	514,130.14
50080 - MANHATTAN PSYCHIATRIC CENTER		
Advance Account	Sterling Bank	13,099.55
CD	Hudson Valley National Bank	425,038.94
General Fund Checking	Hudson Valley National Bank	6,068.17
Patient Cash Checking	Hudson Valley National Bank	1,156,915.98
Patients Money Market	Hudson Valley National Bank	72,908.23
Social Service Tokens	Hudson Valley National Bank	33,969.82
50110 - ROCHESTER PSYCHIATRIC CENTER		
Agency Advance	Key Bank	28,205.10
Facility Holding	Key Bank	8,604.86
Patients Cash Account	Key Bank	280,923.51
Patients Fund Savings	Key Bank	286,116.87
50120 - ST LAWRENCE PSYCHIATRIC CENTER		
Facility Advance Account	Community Bank	13,488.95
Facility Holding Account	Community Bank	18,741.03
Patients Cash Account	Community Bank	66,289.69
Patients Cash Savings	Community Bank	301,084.97
50150 - CREEDMOOR PSYCHIATRIC CENTER		

Advance Account	HSBC	39,358.73
Certificate Of Deposit	HSBC	250,000.00
Certificate Of Deposit	HSBC	150,000.00
Holding Account	HSBC	22,081.35
Medicaid Travel Account	HSBC	No report received
Money Management Account	HSBC	804,738.90
Patient Cash Account	HSBC	118,777.25
Rent Holding Account	HSBC	0.00
50170 - ROCKLAND PSYCHIATRIC CENTER		
Exchange	JPMorgan Chase Bank, N.A.	121,299.09
Holding Account	JPMorgan Chase Bank, N.A.	436,854.15
Investment CD A	Sterling Bank	100,000.00
Investment CD B	Sterling Bank	100,000.00
Investment CD C	Sterling Bank	100,000.00
Investment CD D	Sterling Bank	100,000.00
Investment CD G	Sterling Bank	100,000.00
Investment CD I	Sterling Bank	100,000.00
Patient Cash Account	JPMorgan Chase Bank, N.A.	55,600.27
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	1,627,260.66
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	235,416.19
50180 - NYS PSYCHIATRIC INSTITUTE		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	3,914.21
General	JPMorgan Chase Bank, N.A.	66,175.86
Petty Cash	JPMorgan Chase Bank, N.A.	851.65
50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER		
Advance Account	Key Bank	13,627.66
Clients Count	Key Bank	178,611.82
Holding Account	Key Bank	21,724.01
50200 - PILGRIM PSYCHIATRIC CENTER		
Facility Advance Account	JPMorgan Chase Bank, N.A.	49,850.17
Facility Holding Acct	JPMorgan Chase Bank, N.A.	205,190.84
Patient Cash	JPMorgan Chase Bank, N.A.	1,278,908.17
50210 - MOHAWK VALLEY PSYCHIATRIC CENTER		
Advance Fund	Key Bank	9,403.18
Facility Holding	Key Bank	845.87
Patient Cash Checking	Key Bank	63,942.46
Patient Cash Savings	Key Bank	28,932.25
Security Deposit	Key Bank	7,773.47
50310 - BRONX PSYCHIATRIC CENTER		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	18,943.90
Misc. Receipts	JPMorgan Chase Bank, N.A.	27,271.58
Patients Cash MM	JPMorgan Chase Bank, N.A.	88,270.49
Patients Checking Acct	JPMorgan Chase Bank, N.A.	502,000.63
50340 - NATHAN KLINE INSTITUTE		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,300.00
50350 - KIRBY FORENSIC PSYCHIATRIC CENTER		
Consolidated Advance Account	Hudson Valley National Bank	12,449.58
Holding Account	Hudson Valley National Bank	31,064.10
Patient Cash Account	Hudson Valley National Bank	136,193.95
50390 - CENTRAL NY PSYCHIATRIC CENTER		
Agency Advance Account	Key Bank	8,609.90
General Fund	Key Bank	1,975.00
Patient Checking	Key Bank	67,406.15
Patient Savings	Key Bank	381,520.50
Security Deposit	Key Bank	2,743.32
50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	16,325.00
Facility Holding Account	Key Bank	1,402.92
Patients Account	Key Bank	174,450.26
50520 - BROOKLYN CHILDRENS PSYCHIATRIC CENTER		
Petty Cash Advance Account	Banco Popular	4,965.00
50790 - SOUTH BEACH PSYCHIATRIC CENTER		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	17,774.65
Facility Holding Checking	JPMorgan Chase Bank, N.A.	13,669.70
Family Care Checking	JPMorgan Chase Bank, N.A.	0.00
Patients Cash Checking	JPMorgan Chase Bank, N.A.	636,878.87
50800 - BRONX CHILDRENS PSYCHIATRIC CENTER		
General Fund	JPMorgan Chase Bank, N.A.	10,866.05
50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER		
Agency Advance	Key Bank	1,293.95
Exchange Account	Key Bank	0.00
Patient Cash	Key Bank	140.00
50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER		

Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	39,382.81
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	5,615.00
50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER		
Queens Adv Acct	HSBC	11,230.00
Queens Childrens Account	HSBC	7,990.58
50920 - ELMIRA PSYCHIATRIC CENTER		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	13,292.00
General Fund Account	Chemung Canal Trust	3,406.45
IMMA	Chemung Canal Trust	46,849.77
Patients Fund Account	Chemung Canal Trust	380,639.08
50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	No report received
Facility Holding Account	Key Bank	No report received
Parking Garage Account	Key Bank	No report received
Patients Cash Checking Account	Key Bank	No report received
Patients Cash Savings Account	Key Bank	No report received
51000 - NYS OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES		
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	5,689,406.85
51210 - HUDSON VALLEY DDSO		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
51240 - CENTRAL NY DDSO		
Advance Accounts	Key Bank	35,248.71
Clients Account	Key Bank	161,133.01
Consumer Cash - Savings	Adirondack Bank	4,349,893.31
Consumer Cash - Savings	Key Bank	3,418,476.79
51250 - TACONIC DDSO		
Agency Advance Account	M&T Bank	61,623.20
General Fund	M&T Bank	433.85
Patients Cash Checking	M&T Bank	1,801,387.09
500 Balltown Rd Schenectady, NY		
TDDSO Representative Payee Savings Acct	The Adirondack Trust Company	2,334,010.94
51270 - STATEN ISLAND DDSO		
Clients Cash Account	JPMorgan Chase Bank, N.A.	No report received
General Fund Account	JPMorgan Chase Bank, N.A.	No report received
Money Market Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
51290 - CAPITAL DISTRICT DDSO		
Agency Advance Account	Key Bank	No report received
Agency Advance Account	The Adirondack Trust Company	No report received
Consumer EBT Fund / Fiduciary Account	Key Bank	No report received
Consumers Fund / Fiduciary Account	Key Bank	No report received
Patients Account Direct Deposit / Fiduciary Acct	The Adirondack Trust Company	No report received
Patients Fund Operating Acct / Fiduciary Acct	The Adirondack Trust Company	No report received
Summer Camp - Fiduciary Acct	The Adirondack Trust Company	No report received
51330 - WESTERN NY DDSO		
Agency Advance Account	M&T Bank	No report received
CD-Patient Property Funds	Key Bank	No report received
Exchange Account	M&T Bank	No report received
General Account	Community Bank	No report received
Patient Property Funds	Adirondack Bank	No report received
Patient Property Funds	M&T Bank	No report received
51350 - LONG ISLAND DDSO		
Consolidated Advance	JPMorgan Chase Bank, N.A.	No report received
EBT Checking Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Patient Cash	JPMorgan Chase Bank, N.A.	No report received
51380 - BROOKLYN DDSO		
CD	Banco Popular	No report received
Consumers Fund	JPMorgan Chase Bank, N.A.	258,501.88
Consumers Money Management	JPMorgan Chase Bank, N.A.	687,328.60
Miscellaneous Receipts	JPMorgan Chase Bank, N.A.	167,667.56
Petty Cash - Mental Hygiene Brooklyn	JPMorgan Chase Bank, N.A.	22,307.11
Thomas Shirtz Community Service	JPMorgan Chase Bank, N.A.	7,437.27
51420 - SUNMOUNT DDSO		

Certificate of Deposit	Community Bank	850,000.00
Residents Fund	Community Bank	1,216,465.20
Sunmount Advance Account	Community Bank	44,889.24
51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES		
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
51450 - METRO NY DDSO		
Consolidated Acct	JPMorgan Chase Bank, N.A.	45,242.54
Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A.	29,265.59
Metro NY DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	0.00
Metro NY DDSO REP PAYEE ACCOUNT	JPMorgan Chase Bank, N.A.	272,393.41
Patients Cash Account	JPMorgan Chase Bank, N.A.	18,798.32
Patients Money Market Account	JPMorgan Chase Bank, N.A.	0.10
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
51470 - BERNARD M FINESON DDSO		
Money Market Account	JPMorgan Chase Bank, N.A.	307,117.15
Patient Fund Account	JPMorgan Chase Bank, N.A.	215,869.63
Petty Cash Fund	JPMorgan Chase Bank, N.A.	12,524.38
51780 - FINGER LAKES DDSO		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
F.L. Newark Resident Checking	Community Bank	No report received
Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	No report received
FL Vending Machine Account	Community Bank	No report received
General Account	JPMorgan Chase Bank, N.A.	No report received
Geneseo Client Cash	Bank of America, N.A.	No report received
Kelsey Trust Fund	Bank of America, N.A.	No report received
Mary Moore Trust Fund	Bank of America, N.A.	No report received
Monroe Resident Checking	JPMorgan Chase Bank, N.A.	No report received
Monroe Resident Savings	JPMorgan Chase Bank, N.A.	No report received
Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	No report received
51940 - BROOME DDSO		
Broome DDSO	M&T Bank	No report received
Broome DDSO - Advance Account	M&T Bank	No report received
Broome DDSO - General Fund	M&T Bank	No report received
Disabled Individuals Savings	Key Bank	No report received
Disabled Individuals Savings	M&T Bank	No report received
OMRDD Broome DDSO EBT Checking	M&T Bank	No report received
53000 - OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES		
Agency Advance Acct	Key Bank	2,800.00
Creedmoor PNA Account	JPMorgan Chase Bank, N.A.	0.00
Patient Fees	Bank of America, N.A.	1,016,204.33
Revenue Account	Bank of America, N.A.	43,951.06
53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER		
Petty Cash Advance Account	Bank of America, N.A.	No report received
70000 - CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION		
CUNY Admin Imprest Cash Account	Citibank	10,918.00
70030 - CUNY HUNTER COLLEGE		
Travel Petty Cash Account	Citibank	50,185.70
70060 - CUNY JOHN JAY COLLEGE		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	16,681.16
70070 - CUNY LEHMAN COLLEGE		
Lehman College	Citibank	4,345.50
70080 - CUNY YORK COLLEGE		
York College Imprest Funds	Citibank	11,288.64
York College Travel Advance Fund	Citibank	817.00
70100 - CUNY COLLEGE OF STATEN ISLAND		
CSI Imprest Cash	TD Bank	4,915.24
70120 - CUNY NYC COLLEGE OF TECHNOLOGY		
NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	5,000.00
70150 - CUNY SCHOOL OF LAW		
CUNY School Of Law	TD Bank	23,820.88

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis Deputy Commissioner and State Treasurer

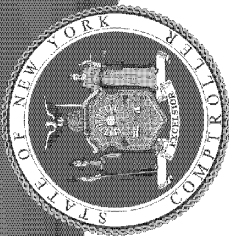
**FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE
IS THE SOLE CUSTODIAN WITH BALANCES AS OF 05/31/2021**

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 05/31/2021
COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND		
0232 Upstate Community Colleges, Series 2005B	Key Bank	8,675.75
DEPARTMENT OF FINANCIAL SERVICES		
0001 Property/Casualty Insurance Security Fund	Key Bank	220,691.85
0002 Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	470,851.28
0003 Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	530,929.26
DORMITORY AUTHORITY OF THE STATE OF NEW YORK		
0039 Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	0.00
0104 Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	6,419.30
0105 Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	301,645.14
0149 State Advances Repayment Account	Bank of America, N.A.	(1,761.84)
HOMELESS HOUSING ASSISTANCE CORPORATION		
0320 Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	15,447,381.34
NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION		
0315 The Egg	Key Bank	45,351.53
NEW YORK CONVENTION CENTER		
0300 Operating Fund	JPMorgan Chase Bank, N.A.	13,794,779.72
NEW YORK JOB DEVELOPMENT AUTHORITY		
0036 Special Purpose Fund	Bank of America, N.A.	1,562,446.97
0371 Series H Commercial Paper	Bank of America, N.A.	1,427,343.87
0389 Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	339,964.48
0423 Escrow Account for USA Industries Inc.	Key Bank	37,274.67
0424 Escrow Account for Pluritec USA Inc.	Key Bank	19,782.38
0426 Agriculture Loan Program	Key Bank	383,432.85
NYS AFFORDABLE HOUSING CORPORATION		
0491 Disbursement Account	JPMorgan Chase Bank, N.A.	323,363.59
0520 Development Account	Key Bank	597,448.55
0522 Repayment Account	Key Bank	705,166.53
0523 Recapture Account	Key Bank	215,156.65
0880 Payroll Account	JPMorgan Chase Bank, N.A.	0.00
NYS DEPARTMENT OF HEALTH		
0004 Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	(2,518,426.82)
NYS DEPARTMENT OF TAXATION AND FINANCE		
0510 Excelsior Linked Deposit Fund	Key Bank	0.27
0600 World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	123,070.78
0625 Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	26,874.66
0626 Criminal Investigation Division	Key Bank	250,564.97
0778 PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0800 NYS IRS PIT offset account	Key Bank	0.00
0827 Stock Transfer Incentive Fund	Key Bank	1,189,213.70
0847 Pari-Mutuel Revenue Transfer Account	Key Bank	0.00
0848 Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.00
NYS HOUSING FINANCE AGENCY		
0252 Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	206,163.64
0254 Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	8,906,756.90
0267 Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	87,646.12
0274 NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	803,865.65
0283 Small Owner's Assistance Program Account	Key Bank	75,277.71
0285 Public Purpose Account	Key Bank	16,639,415.15
0286 Disbursement Account	Key Bank	3,882,546.57
0287 Infrastructure Development Fund	Key Bank	197,817.52
0288 Mobile Home Cooperative Fund	Key Bank	23,793.33
0292 Homeless Housing Initiatives	Key Bank	199,854.21
0294 Housing Plan Fund	Key Bank	23,718,426.53
0301 HFA - Subsidy Repayment Account	Key Bank	3,954,334.14
0305 HPD Disbursement Fund	Key Bank	1,227,149.59
0879 Payroll Account	JPMorgan Chase Bank, N.A.	76,890.73
NYS HOUSING TRUST FUND CORPORATION		
0458 Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	1,143,095.60
0460 Escrow Account	M&T Bank	1,125,999.45
0461 Housing Modernization Account	M&T Bank	770,401.95

0462	General Custodial Account	M&T Bank	68,375,030.86
0465	Home Program Account	M&T Bank	10,345,035.21
0466	Homes for Working Families Account	M&T Bank	8,905,367.34
0467	Section 8 Administrative Account	M&T Bank	9,087,527.85
0469	OCR Community Miscellaneous Programs Account	M&T Bank	8,131,144.83
0470	HCV Main Account	Bank of America, N.A.	71,611,604.57
0471	Empire State Relief Fund	M&T Bank	472,816.46
0472	Master Escrow Account	Bank of America, N.A.	8,281.02
0473	Family Self-Sufficiency Account	Bank of America, N.A.	4,222,868.15
0475	Reserve Account	Bank of America, N.A.	107,444.90
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	9,924,437.75
0891	Small Cities Community Development Block Grant Program	M&T Bank	8,365.10
0892	Disaster Recovery Initiative Account	M&T Bank	326,858.18
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	158.69
0895	HTFC Storm Recovery Payment	M&T Bank	2,385,893.08
0899	HTFC Storm Recovery Lockbox	US Bank	40,968.71
NYS TEACHERS RETIREMENT SYSTEM			
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0052	Main Account	JPMorgan Chase Bank, N.A.	951,820.70
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	2,847,550.26
STATE INSURANCE FUND			
0053	State Insurance Fund	Bank of America, N.A.	66,425,440.84
0054	State Insurance Fund	Bank of America, N.A.	14,475,034.66
0055	State Insurance Fund	Bank of America, N.A.	13,099,040.77
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	28,299.95
STATE UNIVERSITY CONSTRUCTION FUND			
0034	Income Fund	Key Bank	1,111,535.71
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	31,806.05
0870	Deductions Account	Key Bank	354,985.23

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis Deputy Commissioner and State Treasurer



Office of the NEW YORK STATE
COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

MAY 2021

NYS Comptroller
THOMAS P. DINAPOLI

Office of Operations
Division of Payroll, Accounting and Revenue Services
Bureau of Financial Reporting and Oil Spill Remediation



STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DINAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING

May 31, 2021

TABLE OF CONTENTS

Combined Statements of Cash Receipts, Disbursements and Changes in Fund Balances

Exhibit A	Governmental Funds	2
Exhibit A Supplemental	Governmental Funds - State Operating	3
Exhibit A Notes	Governmental Funds Footnotes	4
Exhibit B	Proprietary Funds	5
Exhibit C	Trust Funds	6
Exhibit D Governmental	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Governmental	7
Exhibit D State Operating	Governmental Funds - Budgetary Basis - Financial Plan and Actual - State Operating	8
Exhibit D General Fund	Governmental Funds - Budgetary Basis - Financial Plan and Actual - General Fund	9
Exhibit D Special Revenue	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Special Revenue	10
Exhibit D Special Revenue State/Federal	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Special Revenue State and Federal	11
Exhibit D Debt	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Debt Service	12
Exhibit D Capital Projects	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Capital Projects	13
Exhibit D Capital Projects State/Federal	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Capital Projects State and Federal	14
Exhibit E	Comparative Schedule of Tax Receipts	15
Cash Flow - Governmental	Governmental Funds - Governmental	16
Cash Flow - State Operating	Governmental Funds - State Operating	18

Combining Statements of Cash Receipts, Disbursements and Changes in Fund Balances

Exhibit E	General Fund - Statement of Cash Flow	20
Exhibit G	Special Revenue Funds Combined - Statement of Cash Flow	22
Exhibit G State	Special Revenue Funds State - Statement of Cash Flow	24
Exhibit G Federal	Special Revenue Funds Federal - Statement of Cash Flow	26
Exhibit H	Debt Service Funds - Statement of Cash Flow	28
Exhibit I	Capital Projects Funds Combined - Statement of Cash Flow	29
Exhibit I State	Capital Projects Funds State - Statement of Cash Flow	31
Exhibit I Federal	Capital Projects Funds Federal - Statement of Cash Flow	33
Exhibit J	Enterprise Funds - Statement of Cash Flow	34
Exhibit K	Internal Service Funds - Statement of Cash Flow	35
Exhibit L	Pension Trust Funds - Statement of Cash Flow	36
Exhibit M	Private Purpose Trust Funds - Statement of Cash Flow	37

Supplementary Schedules

Schedule 1	Governmental Funds - Summary of Cash Receipts, Disbursements and Changes in Fund Balances	38
Schedule 2	Proprietary Funds - Summary of Cash Receipts, Disbursements and Changes in Fund Balances	41
Schedule 3	Fiduciary Funds - Summary of Cash Receipts, Disbursements and Changes in Fund Balances	42
Schedule 4	Sole Custody and Investment Accounts - Statement of Cash Receipts and Disbursements	43
Schedule 5	Debt Service Funds - Statement of Direct State Debt Activity	44
Schedule 5a	Debt Service Funds - Financing Agreements	45
Schedule 6	Summary of the Operating Fund Investments	46
Appendix A	HCRA Resources Fund - Statement of Receipts and Disbursements by Account	47
Appendix B	HCRA Resources Fund - Statement of Program Disbursements	48
Appendix C	HCRA Public Goods Pool - Statement of Cash Flow	49
Appendix D	HCRA Medicaid Disproportionate Share - Statement of Cash Flow	50
Appendix E	Summary of Off-Budget Spending Report	51
Appendix F	Schedule of Month-End Temporary Loans Outstanding	52
Appendix G	Dedicated Infrastructure Investment Fund - Statement of Receipts and Disbursements	56
Appendix H	Medical Assistance Disbursements - State Funds	57
Appendix I	Medical Assistance Disbursements - Federal Funds	58

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT A

	GENERAL			SPECIAL REVENUE			DEBT SERVICE			CAPITAL PROJECTS			TOTAL GOVERNMENTAL FUNDS			YEAR OVER YEAR	
	MONTH OF MAY 2021	2 MOS. ENDED MAY 31, 2021	MONTH OF MAY 2021	2 MOS. ENDED MAY 31, 2021	MONTH OF MAY 2021	2 MOS. ENDED MAY 31, 2021	MONTH OF MAY 2021	2 MOS. ENDED MAY 31, 2021	MONTH OF MAY 2021	2 MOS. ENDED MAY 31, 2021	MONTH OF MAY 2021	2 MOS. ENDED MAY 31, 2021	MONTH OF MAY 2021	2 MOS. ENDED MAY 31, 2021	\$ Increase/ (Decrease)	% Increase/ Decrease	
RECEIPTS:																	
Personal Income Tax	\$ 4,916.5	\$ 8,179.4	\$ -	\$ -	\$ 4,916.5	\$ 8,179.4	\$ -	\$ -	\$ -	\$ -	\$ 9,833.0	\$ 16,358.8	\$ 2,199.2	\$ 4,265.4	\$ 12,093.4	283.5%	
Consumption/Use Taxes	342.3	693.4	142.0	329.7	885.3	1,780.7	42.2	85.7	85.7	42.2	1,411.8	2,889.5	915.8	1,960.8	928.7	47.4%	
Business Taxes	104.5	834.1	79.6	278.5	-	-	50.4	91.7	91.7	50.4	234.5	1,204.3	(96.8)	319.1	885.2	277.4%	
Other Taxes	118.2	238.4	-	-	110.1	207.6	-	-	-	-	228.3	447.0	100.4	231.3	215.7	93.3%	
Miscellaneous Receipts	140.1	312.6	1,299.0	2,631.5	39.1	82.3	90.5	271.8	271.8	90.5	1,568.7	3,298.2	2,439.7	5,076.9	(1,778.7)	-35.0%	
Federal Receipts	-	0.2	18,222.9	25,381.3	-	-	23.3	28.9	28.9	23.3	18,246.2	25,410.4	4,207.0	15,070.1	10,340.3	68.6%	
Total Receipts	5,621.6	10,259.1	19,743.5	28,621.0	5,951.0	10,250.0	206.4	478.1	478.1	206.4	31,522.5	49,608.2	9,765.3	26,923.6	22,684.6	84.3%	
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	3,899.2	4,422.6	478.2	751.9	-	-	25.0	38.5	38.5	25.0	4,402.4	5,213.0	4,132.7	5,281.9	(68.9)	-1.3%	
Environment and Recreation	1.7	1.7	0.3	0.7	-	-	7.6	36.6	36.6	7.6	9.6	39.0	3.7	8.2	30.8	375.6%	
General Government	48.6	51.5	39.9	61.2	-	-	41.7	54.4	54.4	41.7	130.2	167.1	17.7	66.2	100.9	152.4%	
Public Health:																	
Medicaid	1,493.2	4,234.2	4,201.9	7,960.0	-	-	-	-	-	-	5,695.1	12,194.2	5,099.6	10,509.9	1,684.3	16.0%	
Other Public Health	102.1	150.0	556.9	1,115.8	-	-	29.7	74.8	74.8	29.7	688.7	1,340.6	638.5	1,241.0	99.6	8.0%	
Public Safety	29.6	34.1	108.0	231.2	-	-	1.8	2.2	2.2	1.8	139.4	267.5	63.6	158.9	108.6	68.3%	
Public Welfare	100.3	143.6	200.6	359.3	-	-	55.8	84.0	84.0	55.8	356.7	586.9	217.7	429.5	157.4	36.6%	
Support and Regulate Business	7.0	12.0	2.2	2.7	-	-	54.3	75.5	75.5	54.3	63.5	90.2	15.1	63.2	27.0	42.7%	
Transportation	22.4	31.9	411.2	612.6	-	-	37.7	219.4	219.4	37.7	471.3	863.9	90.9	180.9	683.0	377.6%	
Total Local Assistance Grants	5,704.1	9,081.6	5,999.2	11,095.4	-	-	253.6	585.4	585.4	253.6	11,966.9	20,762.4	10,279.5	17,939.7	2,822.7	15.7%	
Departmental Operations:																	
Personal Service	725.4	1,433.0	456.9	907.5	-	-	-	-	-	-	1,182.3	2,340.5	1,135.9	2,705.4	(364.9)	-13.5%	
Non-Personal Service	234.2	370.9	339.6	722.1	2.2	2.4	-	-	-	-	576.0	1,095.4	417.0	1,001.1	94.3	9.4%	
General State Charges	2,276.6	3,086.9	90.4	175.6	-	-	-	-	-	-	2,367.0	3,262.5	395.0	930.2	2,332.3	250.7%	
Debt Service, Including Payments on																	
Financing Agreements	-	-	-	-	40.5	162.9	-	-	-	-	40.5	162.9	23.5	60.0	102.9	171.5%	
Capital Projects	-	-	-	-	-	-	514.1	912.3	912.3	514.1	514.1	912.3	406.0	915.8	(3.5)	-0.4%	
Total Disbursements	8,940.3	13,972.4	6,886.1	12,900.6	42.7	165.3	767.7	1,497.7	1,497.7	767.7	16,636.8	28,536.0	12,656.9	23,552.2	4,983.8	21.2%	
Excess (Deficiency) of Receipts over Disbursements	(3,318.7)	(3,713.3)	12,857.4	15,720.4	5,908.3	10,084.7	(561.3)	(1,019.6)	(1,019.6)	(561.3)	14,885.7	21,072.2	(2,891.6)	3,371.4	17,700.8	525.0%	
OTHER FINANCING SOURCES (USES):																	
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Transfers from Other Funds	5,811.8	10,139.3	38.5	271.0	6.0	301.9	344.3	833.2	833.2	344.3	6,200.6	11,545.4	1,858.4	2,921.5	8,623.9	295.2%	
Transfers to Other Funds	(354.2)	(1,230.3)	(23.1)	(158.0)	(5,817.9)	(10,148.7)	(6.1)	(16.5)	(16.5)	(6.1)	(6,203.3)	(11,553.5)	(1,860.9)	(2,927.4)	8,626.1	294.7%	
Total Other Financing Sources (Uses)	5,457.6	8,909.0	15.4	113.0	(5,811.9)	(9,846.8)	336.2	816.7	816.7	336.2	(2.7)	(8.1)	(2.5)	(5.9)	(2.2)	-37.3%	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,138.9	5,195.7	12,872.8	15,833.4	96.4	237.9	(225.1)	(202.9)	(202.9)	(225.1)	14,883.0	21,064.1	(2,894.1)	3,365.5	17,698.6	525.9%	
Beginning Fund Balances (Deficits)	12,217.6	9,160.8	13,629.9	10,669.3	206.5	65.0	(1,121.8)	(1,144.0)	(1,144.0)	(1,121.8)	24,932.2	18,751.1	20,544.4	14,284.8	4,466.3	31.3%	
Ending Fund Balances (Deficits)	\$ 14,356.5	\$ 14,356.5	\$ 26,502.7	\$ 26,502.7	\$ 302.9	\$ 302.9	\$ (1,346.9)	\$ (1,346.9)	\$ (1,346.9)	\$ (1,346.9)	\$ 39,815.2	\$ 39,815.2	\$ 17,650.3	\$ 17,650.3	\$ 22,164.9	125.6%	

**STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)**

**EXHIBIT A
SUPPLEMENTAL**

	GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS			
	MONTH OF	2 MOS. ENDED	MONTH OF	2 MOS. ENDED	MONTH OF	2 MOS. ENDED	MONTH OF	2 MOS. ENDED	MONTH OF	2 MOS. ENDED
	MAY 2021	MAY 31, 2021	MAY 2021	MAY 31, 2021	MAY 2021	MAY 31, 2021	MAY 2021	MAY 31, 2021	MAY 2020	MAY 31, 2020
RECEIPTS:										
Personal Income Tax	\$ 4,916.5	\$ 8,179.4	\$ -	\$ -	\$ 4,916.5	\$ 8,179.4	\$ 9,833.0	\$ 16,358.8	\$ 2,199.2	\$ 4,285.4
Consumption/Use Taxes	342.3	693.4	142.0	329.7	885.3	1,780.7	1,369.6	2,803.8	890.2	1,899.2
Business Taxes	104.5	834.1	79.6	278.5	-	-	184.1	1,112.6	(117.5)	260.3
Other Taxes	118.2	239.4	-	-	110.1	207.6	228.3	447.0	100.4	231.3
Miscellaneous Receipts	140.1	312.6	1,252.6	2,572.8	39.1	82.3	1,432.8	2,967.7	2,321.8	3,753.0
Federal Receipts	-	0.2	-	-	-	-	-	0.2	-	-
Total Receipts	5,621.6	10,259.1	1,475.2	3,181.0	5,951.0	10,250.0	13,047.8	23,890.1	5,394.1	10,409.2
DISBURSEMENTS:										
Local Assistance Grants:										
Education	3,899.2	4,422.6	(2.9)	(2.0)	-	-	3,896.3	4,420.6	4,065.1	4,819.4
Environment and Recreation	1.7	1.7	0.2	0.3	-	-	1.9	2.0	0.3	0.4
General Government	48.6	51.5	18.0	28.1	-	-	66.6	79.6	4.6	20.7
Public Health:										
Medicaid	1,493.2	4,234.2	461.4	848.3	-	-	1,954.6	5,082.5	1,757.8	2,515.5
Other Public Health	102.1	150.0	47.2	84.5	-	-	149.3	234.5	72.8	166.3
Public Safety	29.6	34.1	20.0	39.3	-	-	49.6	73.4	11.1	32.0
Public Welfare	100.3	143.6	0.2	0.4	-	-	100.5	144.0	158.2	235.2
Support and Regulate Business	7.0	12.0	2.2	2.5	-	-	9.2	14.5	7.1	11.7
Transportation	22.4	31.9	407.7	606.7	-	-	430.1	638.6	41.7	103.4
Total Local Assistance Grants	5,704.1	9,081.6	954.0	1,608.1	-	-	6,658.1	10,889.7	6,118.7	7,904.6
Departmental Operations:										
Personal Service	725.4	1,433.0	406.0	805.6	-	-	1,131.4	2,238.6	1,084.9	2,579.7
Non-Personal Service	234.2	370.9	233.9	459.5	2.2	2.4	470.3	832.8	372.9	916.2
General State Charges	2,276.6	3,086.9	63.4	123.2	-	-	2,340.0	3,210.1	370.3	882.8
Debt Service, Including Payments on Financing Agreements	-	-	-	-	40.5	162.9	40.5	162.9	23.5	60.0
Capital Projects	-	-	-	-	-	-	-	-	-	-
Total Disbursements	8,940.3	13,972.4	1,657.3	2,996.4	42.7	165.3	10,640.3	17,134.1	7,970.3	12,343.3
Excess (Deficiency) of Receipts over Disbursements	(3,318.7)	(3,713.3)	(182.1)	184.6	5,908.3	10,084.7	2,407.5	6,556.0	(2,576.2)	(1,934.1)
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	5,811.8	10,139.3	151.0	414.5	6.0	301.9	5,968.8	10,655.7	1,674.9	3,614.0
Transfers to Other Funds	(354.2)	(1,230.3)	(3.3)	(12.8)	(5,817.9)	(10,148.7)	(6,175.4)	(11,391.8)	(1,727.6)	(2,545.5)
Total Other Financing Sources (Uses)	5,457.6	8,909.0	147.7	401.7	(5,811.9)	(9,846.8)	(206.6)	(536.1)	(52.7)	1,068.5
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,138.9	5,195.7	(34.4)	586.3	96.4	237.9	2,200.9	6,019.9	(2,628.9)	(865.6)
Beginning Fund Balances (Deficits)	12,217.6	9,160.8	6,329.3	5,708.6	206.5	65.0	18,753.4	14,934.4	16,171.6	14,408.3
Ending Fund Balances (Deficits)	\$ 14,356.5	\$ 14,356.5	\$ 6,294.9	\$ 6,294.9	\$ 302.9	\$ 302.9	\$ 20,954.3	\$ 20,954.3	\$ 13,542.7	\$ 13,542.7

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES**EXHIBIT A NOTES
MAY 2021**

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$218.8 million
Urban Development Corporation (Youth Facilities)	16.0
Housing Finance Agency (HFA)	459.1
Housing Assistance Fund	12.9
Dormitory Authority (Mental Hygiene)	368.3
Dormitory Authority and State University Income Fund	576.1
Federal Capital Projects	728.1
State bond and note proceeds	60.1

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	\$827.1 million
General Debt Service Fund	141.3
Banking Services Account	5.2
Court Facilities Incentive Aid Fund	38.4
Mass Transportation Financial Assistance	48.9
Mass Transportation Operating Assistance Fund	3.5
New York Central Business District Trust Fund	25.3
New York City County Clerks' Operations Offset	2.1
Recruitment Incentive	2.6
Spinal Cord Injury Account	2.8
State Fair Receipts	3.0
State University Income Fund	113.2

Also included in the General Fund are transfers representing payments for patients residing in State-operated health, mental hygiene and State University facilities to Debt Service funds (\$1.2m), and the State University Income Fund (\$16.1m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of May 31, 2021 - pursuant to a certification of the Budget Director - the reserve amount is (\$14.0m), which was funded by a transfer from the General Fund.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$145.2m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities and All Other Capital Projects (\$6.1m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Nursing Home Receivership Account	\$1.0 million
SUNY Income Fund	5.1

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$8,179.6 million
Local Government Assistance Tax Fund	421.8
Sales Tax Revenue Bond Tax Fund	1,138.3
Clean Water/Clean Air Fund	196.8
Mental Health Services Fund	194.1

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$18.1m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$2.0m) and the General Debt Service Fund - Lease Purchase (\$14.5m).

3. Pursuant to FY 2022 Enacted Budget Legislation (Chapter 50), IFR/CUTRA (City University Tuition Reimbursement Account) Fund and CUNY Senior College Operating Fund have both been reclassified from Special Revenue State Funds and Agency Funds, respectively, to Enterprise Funds. As a result of this change, the beginning cash balances in the Special Revenue State Funds and the Agency Funds have been reduced by \$171.8m and \$10.6m, respectively, and the Enterprise Funds have been increased by \$182.4m.

Additionally, the College Savings Account within the Miscellaneous State Special Revenue Funds was reclassified to the Private Purpose Trust Funds. As a result of this change, the beginning balance of the Special Revenue State Funds has been reduced by an additional \$25.7m and Private Purpose Trust Funds increased by \$25.7m.

EXHIBIT B

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (amounts in millions)

	ENTERPRISE			INTERNAL SERVICE			TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF	2 MOS. ENDED	MONTH OF	2 MOS. ENDED	MONTH OF	2 MOS. ENDED	MONTH OF	2 MOS. ENDED	MONTH OF	2 MOS. ENDED	\$ Increase/ (Decrease)	% Increase/ Decrease
	MAY 2021	MAY 31, 2021		MAY 2021	MAY 31, 2021	MAY 2021	MAY 31, 2021	MAY 2020		MAY 31, 2020		
RECEIPTS:												
Miscellaneous Receipts	\$ 200.8	\$ 462.6	\$ 27.3	\$ 82.5	\$ 228.1	\$ 545.1	\$ 27.1	\$ 56.5			\$ 488.6	864.8%
Federal Receipts	4,264.1	8,955.5	-	-	4,264.1	8,955.5	5,993.3	8,577.3			378.2	4.4%
Unemployment Taxes	313.6	532.1	-	-	313.6	532.1	2,261.7	4,085.6			(3,553.5)	-87.0%
Total Receipts	4,778.5	9,950.2	27.3	82.5	4,805.8	10,032.7	8,282.1	12,719.4			(2,686.7)	-21.1%
DISBURSEMENTS:												
Departmental Operations:												
Personal Service	126.8	255.1	11.7	21.4	138.5	276.5	12.0	27.9			248.6	891.0%
Non-Personal Service	32.9	55.0	61.6	92.7	94.5	147.7	31.5	25.8			121.9	472.5%
General State Charges	58.7	113.0	5.5	8.2	64.2	121.2	5.4	10.4			110.8	1,065.4%
Unemployment Benefits	4,624.0	9,591.1	-	-	4,624.0	9,591.1	8,263.9	12,654.6			(3,063.5)	-24.2%
Total Disbursements	4,842.4	10,014.2	78.8	122.3	4,921.2	10,136.5	8,312.8	12,718.7			(2,582.2)	-20.3%
Excess (Deficiency) of Receipts Over Disbursements	(63.9)	(64.0)	(51.5)	(39.8)	(115.4)	(103.8)	(30.7)	0.7			(104.5)	14,928.6%
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds	-	3.0	2.7	5.2	2.7	8.2	2.5	3.8			4.4	115.8%
Transfers to Other Funds	-	-	-	(0.1)	-	(0.1)	-	-			0.1	100.0%
Total Other Financing Sources (Uses)	-	3.0	2.7	5.1	2.7	8.1	2.5	3.8			4.3	113.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(63.9)	(61.0)	(48.8)	(34.7)	(112.7)	(95.7)	(28.2)	4.5			(100.2)	-2,226.7%
Beginning Fund Balances (Deficits)	330.9	328.0	(349.4)	(363.5)	(18.5)	(35.5)	(235.1)	(267.8)			232.3	86.7%
Ending Fund Balances (Deficits)	\$ 267.0	\$ 267.0	\$ (398.2)	\$ (398.2)	\$ (131.2)	\$ (131.2)	\$ (263.3)	\$ (263.3)			\$ 132.1	50.2%

EXHIBIT C

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

	PENSION			PRIVATE PURPOSE			TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF MAY 2021	2 MOS. ENDED MAY 31, 2021		MONTH OF MAY 2021	2 MOS. ENDED MAY 31, 2021		MONTH OF MAY 2021	2 MOS. ENDED MAY 31, 2021	MONTH OF MAY 2020	2 MOS. ENDED MAY 31, 2020	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:												
Miscellaneous Receipts	\$ 13.1	\$ 19.0		\$ 0.2	\$ 0.3		\$ 13.3	\$ 19.3	\$ 5.6	\$ 14.1	\$ 5.2	36.9%
Total Receipts	<u>13.1</u>	<u>19.0</u>		<u>0.2</u>	<u>0.3</u>		<u>13.3</u>	<u>19.3</u>	<u>5.6</u>	<u>14.1</u>	<u>5.2</u>	<u>36.9%</u>
DISBURSEMENTS:												
Departmental Operations:												
Personal Service	5.6	11.5	-	-	-		5.6	11.5	5.5	13.8	(2.3)	-16.7%
Non-Personal Service	1.2	1.8	-	-	-		1.2	1.8	0.6	1.3	0.5	38.5%
General State Charges	3.9	5.7	-	-	-		3.9	5.7	3.4	7.0	(1.3)	-18.6%
Total Disbursements	<u>10.7</u>	<u>19.0</u>		<u>-</u>	<u>-</u>		<u>10.7</u>	<u>19.0</u>	<u>9.5</u>	<u>22.1</u>	<u>(3.1)</u>	<u>-14.0%</u>
Excess (Deficiency) of Receipts Over Disbursements	<u>2.4</u>	<u>-</u>		<u>0.2</u>	<u>0.3</u>		<u>2.6</u>	<u>0.3</u>	<u>(3.9)</u>	<u>(8.0)</u>	<u>8.3</u>	<u>103.8%</u>
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds	-	-	-	-	-		-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-		-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	<u>-</u>	<u>-</u>		<u>-</u>	<u>-</u>		<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>0.0%</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>2.4</u>	<u>-</u>		<u>0.2</u>	<u>0.3</u>		<u>2.6</u>	<u>0.3</u>	<u>(3.9)</u>	<u>(8.0)</u>	<u>8.3</u>	<u>103.8%</u>
Beginning Fund Balances (Deficits)	<u>(2.4)</u>	<u>-</u>		<u>40.3</u>	<u>40.2</u>		<u>37.9</u>	<u>40.2</u>	<u>9.1</u>	<u>13.2</u>	<u>27.0</u>	<u>204.5%</u>
Ending Fund Balances (Deficits)	<u>\$ -</u>	<u>\$ -</u>		<u>\$ 40.5</u>	<u>\$ 40.5</u>		<u>\$ 40.5</u>	<u>\$ 40.5</u>	<u>\$ 5.2</u>	<u>\$ 5.2</u>	<u>\$ 35.3</u>	<u>678.9%</u>

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR TWO MONTHS ENDED MAY 31, 2021
(amounts in millions)**

EXHIBIT D

ALL GOVERNMENTAL FUNDS

	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 12,832.0	\$ -	\$ 16,358.8	\$ 3,526.8	\$ -
Consumption/Use	2,585.0	-	2,889.5	304.5	-
Business	1,114.0	-	1,204.3	90.3	-
Other	414.0	-	447.0	33.0	-
Miscellaneous Receipts	3,387.0	-	3,298.2	(88.8)	-
Federal Receipts	26,175.0	-	25,410.4	(764.6)	-
Total Receipts	46,507.0	-	49,608.2	3,101.2	-
DISBURSEMENTS:					
Local Assistance Grants	22,465.0	-	20,762.4	(1,702.6)	-
Departmental Operations	3,604.0	-	3,435.9	(168.1)	-
General State Charges	3,285.0	-	3,262.5	(22.5)	-
Debt Service	163.0	-	162.9	(0.1)	-
Capital Projects	902.0	-	912.3	10.3	-
Total Disbursements	30,419.0	-	28,536.0	(1,883.0)	-
Excess (Deficiency) of Receipts over Disbursements	16,088.0	-	21,072.2	4,984.2	-
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	9,882.0	-	11,545.4	1,663.4	-
Transfers to Other Funds	(9,886.0)	-	(11,553.5)	1,667.5	-
Total Other Financing Sources (Uses)	(4.0)	-	(8.1)	(4.1)	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	16,084.0	-	21,064.1	4,980.1	-
Fund Balances (Deficits) at April 1	18,751.0	-	18,751.1	0.1	-
Fund Balances (Deficits) at May 31, 2021	\$ 34,835.0	\$ -	\$ 39,815.2	\$ 4,980.2	\$ -

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

EXHIBIT D

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR TWO MONTHS ENDED MAY 31, 2021
(amounts in millions)

	STATE OPERATING FUNDS (**)				Actual Over/ (Under) Updated Financial Plan
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	
RECEIPTS:					
Taxes:					
Personal Income	\$ 12,832.0	\$ -	\$ 16,358.8	\$ 3,526.8	\$ -
Consumption/Use	2,503.0	-	2,803.8	300.8	-
Business	1,032.0	-	1,112.6	80.6	-
Other	414.0	-	447.0	33.0	-
Miscellaneous Receipts	3,014.0	-	2,967.7	(46.3)	-
Federal Receipts	34.0	-	0.2	(33.8)	-
Total Receipts	19,829.0	-	23,690.1	3,861.1	-
DISBURSEMENTS:					
Local Assistance Grants	11,690.0	-	10,689.7	(1,000.3)	-
Departmental Operations	3,200.0	-	3,071.4	(128.6)	-
General State Charges	3,231.0	-	3,210.1	(20.9)	-
Debt Service	163.0	-	162.9	(0.1)	-
Capital Projects	-	-	-	-	-
Total Disbursements	18,284.0	-	17,134.1	(1,149.9)	-
Excess (Deficiency) of Receipts over Disbursements	1,545.0	-	6,556.0	5,011.0	-
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	9,137.0	-	10,855.7 (**)	1,718.7	-
Transfers to Other Funds	(9,558.0)	-	(11,391.8) (**)	1,833.8	-
Total Other Financing Sources (Uses)	(421.0)	-	(536.1)	(115.1)	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,124.0	-	6,019.9	4,895.9	-
Fund Balances (Deficits) at April 1	14,934.0	-	14,934.4	0.4	-
Fund Balances (Deficits) at May 31, 2021	\$ 16,058.0	\$ -	\$ 20,954.3	\$ 4,896.3	\$ -

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

(**) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(***) Eliminations between Special Revenue - State and Federal Funds are not included.

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR TWO MONTHS ENDED MAY 31, 2021
(amounts in millions)**

EXHIBIT D

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 6,416.0	\$ -	\$ 8,179.4	\$ 1,763.4	\$ -
Consumption/Use	622.0	-	693.4	71.4	-
Business	776.0	-	834.1	58.1	-
Other	236.0	-	239.4	3.4	-
Miscellaneous Receipts	254.0	-	312.6	58.6	-
Federal Receipts	-	-	0.2	0.2	-
Transfers From:					
PIT / ECET in excess of Revenue Bond Debt Service	6,416.0	-	8,179.6	1,763.6	-
Sales Tax in excess of LGAC / STRBF Debt Service	1,525.0	-	1,560.1	35.1	-
Real Estate Taxes in excess of CW/CA Debt Service	164.0	-	196.8	32.8	-
All Other	260.0	-	202.8	(57.2)	-
Total Receipts and Other Financing Sources	16,669.0	-	20,398.4	3,729.4	-
DISBURSEMENTS:					
Local Assistance Grants	9,956.0	-	9,081.6	(874.4)	-
Departmental Operations	1,948.0	-	1,803.9	(144.1)	-
General State Charges	3,087.0	-	3,086.9	(0.1)	-
Transfers To:					
Debt Service	163.0	-	141.3	(21.7)	-
Capital Projects	739.0	-	827.1	88.1	-
State Share Medicaid	-	-	17.3	17.3	-
SUNY Operations	116.0	-	113.2	(2.8)	-
Other Purposes	157.0	-	131.4	(25.6)	-
Total Disbursements and Other Financing Uses	16,166.0	-	15,202.7	(963.3)	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	503.0	-	5,195.7	4,692.7	-
Fund Balances (Deficits) at April 1	9,161.0	-	9,160.8	(0.2)	-
Fund Balances (Deficits) at May 31, 2021	\$ 9,664.0	\$ -	\$ 14,356.5	\$ 4,692.5	\$ -

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

(**) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR TWO MONTHS ENDED MAY 31, 2021
(amounts in millions)

EXHIBIT D

SPECIAL REVENUE FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
RECEIPTS:						
Taxes:						
Personal Income	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	307.0	-	329.7	-	329.7	22.7
Business	256.0	-	278.5	-	278.5	22.5
Miscellaneous Receipts	2,669.0	-	2,631.5	-	2,631.5	(37.5)
Federal Receipts	26,009.0	-	25,381.3	-	25,381.3	(627.7)
Transfers from Other Funds (**)	339.0	-	414.5	(143.5)	271.0	(68.0)
Total Receipts and Other Financing Sources	29,580.0	-	29,035.5	(143.5)	28,892.0	(688.0)
DISBURSEMENTS:						
Local Assistance Grants	11,975.0	-	11,095.4	-	11,095.4	(879.6)
Departmental Operations	1,655.0	-	1,629.6	-	1,629.6	(25.4)
General State Charges	198.0	-	175.6	-	175.6	(22.4)
Debt Service	-	-	-	-	-	-
Capital Projects	-	-	-	-	-	-
Transfers to Other Funds (**)	327.0	-	301.5	(143.5)	158.0	(169.0)
Total Disbursements and Other Financing Uses	14,155.0	-	13,202.1	(143.5)	13,058.6	(1,096.4)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	15,425.0	-	15,833.4	-	15,833.4	408.4
Fund Balances (Deficits) at April 1	10,669.0	-	10,669.3	-	10,669.3	0.3
Fund Balances (Deficits) at May 31, 2021	\$ 26,094.0	\$ -	\$ 26,502.7	\$ -	\$ 26,502.7	\$ 408.7

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

(**) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR TWO MONTHS ENDED MAY 31, 2021
(amounts in millions)

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS				FEDERAL SPECIAL REVENUE FUNDS			
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Enacted Financial Plan	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan
RECEIPTS:								
Taxes:								
Personal Income	\$ -	\$ -	\$ -	-	\$ -	-	\$ -	-
Consumption/Use	307.0	-	329.7	22.7	-	-	-	-
Business	256.0	-	278.5	22.5	-	-	-	-
Miscellaneous Receipts	2,611.0	-	2,572.8	(38.2)	-	58.7	0.7	-
Federal Receipts	34.0	-	-	(34.0)	-	25,381.3	(593.7)	-
Transfers from Other Funds	339.0	-	414.5	75.5	-	-	-	-
Total Receipts and Other Financing Sources	3,547.0	-	3,595.5	48.5	-	25,440.0	(593.0)	-
DISBURSEMENTS:								
Local Assistance Grants	1,734.0	-	1,608.1	(125.9)	-	9,487.3	(753.7)	-
Departmental Operations	1,251.0	-	1,265.1	14.1	-	364.5	(36.5)	-
General State Charges	144.0	-	123.2	(20.8)	-	52.4	(1.6)	-
Debt Service	-	-	-	-	-	-	-	-
Capital Projects	-	-	-	-	-	-	-	-
Transfers to Other Funds	16.0	-	12.8	(3.2)	-	288.7	(22.3)	-
Total Disbursements and Other Financing Uses	3,145.0	-	3,009.2	(135.8)	-	10,192.9	(817.1)	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	402.0	-	586.3	184.3	-	15,247.1	224.1	-
Fund Balances (Deficits) at April 1	5,708.0	-	5,708.6	0.6	-	4,960.7	(0.3)	-
Fund Balances (Deficits) at May 31, 2021	\$ 6,110.0	\$ -	\$ 6,294.9	\$ 184.9	\$ -	\$ 20,207.8	\$ 223.8	\$ -

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR TWO MONTHS ENDED MAY 31, 2021
(amounts in millions)

EXHIBIT D

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 6,416.0	\$ -	\$ 8,179.4	\$ 1,763.4	\$ -
Consumption/Use	1,574.0	-	1,780.7	206.7	-
Other	178.0	-	207.6	29.6	-
Miscellaneous Receipts	149.0	-	82.3	(66.7)	-
Federal Receipts	-	-	-	-	-
Transfers from Other Funds	433.0	-	301.9	(131.1)	-
Total Receipts and Other Financing Sources	8,750.0	-	10,551.9	1,801.9	-
DISBURSEMENTS:					
Departmental Operations	1.0	-	2.4	1.4	-
Debt Service	163.0	-	162.9	(0.1)	-
Transfers to Other Funds	8,367.0	-	10,148.7	1,781.7	-
Total Disbursements and Other Financing Uses	8,531.0	-	10,314.0	1,783.0	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	219.0	-	237.9	18.9	-
Fund Balances (Deficits) at April 1	65.0	-	65.0	-	-
Fund Balances (Deficits) at May 31, 2021	\$ 284.0	\$ -	\$ 302.9	\$ 18.9	\$ -

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR TWO MONTHS ENDED MAY 31, 2021
(amounts in millions)

EXHIBIT D

CAPITAL PROJECTS FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
RECEIPTS:						
Taxes:						
Consumption/Use	82.0	\$ -	\$ 85.7	\$ -	\$ 85.7	\$ 3.7
Business	82.0	-	91.7	-	91.7	9.7
Other	-	-	-	-	-	-
Miscellaneous Receipts	315.0	-	271.8	-	271.8	(43.2)
Federal Receipts	166.0	-	28.9	-	28.9	(137.1)
Bond and Note Proceeds, net	-	-	-	-	-	-
Transfers from Other Funds	745.0	-	833.2	-	833.2	88.2
Total Receipts and Other Financing Sources	1,390.0	-	1,311.3	-	1,311.3	(78.7)
DISBURSEMENTS:						
Local Assistance Grants	534.0	-	585.4	-	585.4	51.4
Capital Projects	902.0	-	912.3	-	912.3	10.3
Transfers to Other Funds	17.0	-	16.5	-	16.5	(0.5)
Total Disbursements and Other Financing Uses	1,453.0	-	1,514.2	-	1,514.2	61.2
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(63.0)	-	(202.9)	-	(202.9)	(139.9)
Fund Balances (Deficits) at April 1	(1,144.0)	-	(1,144.0)	-	(1,144.0)	-
Fund Balances (Deficits) at May 31, 2021	(1,207.0)	\$ -	\$ (1,346.9)	\$ -	\$ (1,346.9)	\$ (139.9)

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR TWO MONTHS ENDED MAY 31, 2021
(amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS				FEDERAL CAPITAL PROJECTS FUNDS			
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan
RECEIPTS:								
Taxes:								
Consumption/Use	\$ 82.0	\$ -	\$ 85.7	\$ 3.7	\$ -	\$ -	\$ -	\$ -
Business	82.0	-	91.7	9.7	-	-	-	-
Other	-	-	-	-	-	-	-	-
Miscellaneous Receipts	315.0	-	271.8	(43.2)	-	-	-	-
Federal Receipts	-	-	-	-	166.0	-	28.9	(137.1)
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-
Transfers from Other Funds	744.0	-	833.2	89.2	1.0	-	-	(1.0)
Total Receipts and Other Financing Sources	1,223.0	-	1,282.4	59.4	167.0	-	28.9	(138.1)
DISBURSEMENTS:								
Local Assistance Grants	481.0	-	562.8	81.8	53.0	-	22.6	(30.4)
Capital Projects	772.0	-	758.2	(13.8)	130.0	-	154.1	24.1
Transfers to Other Funds	17.0	-	16.5	(0.5)	-	-	-	-
Total Disbursements and Other Financing Uses	1,270.0	-	1,337.5	67.5	183.0	-	176.7	(63)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(47.0)	-	(55.1)	(8.1)	(16.0)	-	(147.8)	(131.8)
Fund Balances (Deficits) at April 1	(562.0)	-	(563.7)	(1.7)	(582.0)	-	(580.3)	1.7
Fund Balances (Deficits) at May 31, 2021	\$ (609.0)	\$ -	\$ (618.8)	\$ (9.8)	\$ (598.0)	\$ -	\$ (728.1)	\$ (130.1)

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

	GENERAL				SPECIAL REVENUE				DEBT SERVICE				CAPITAL PROJECTS				TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF MAY 2021	2 MOS. ENDED MAY 31, 2021	MONTH OF MAY 2021	2 MOS. ENDED MAY 31, 2021	MONTH OF MAY 2021	2 MOS. ENDED MAY 31, 2021	MONTH OF MAY 2021	2 MOS. ENDED MAY 31, 2021	MONTH OF MAY 2021	2 MOS. ENDED MAY 31, 2021	MONTH OF MAY 2021	2 MOS. ENDED MAY 31, 2021	MONTH OF MAY 2021	2 MOS. ENDED MAY 31, 2021	MONTH OF MAY 2021	2 MOS. ENDED MAY 31, 2021	MONTH OF MAY 2021	2 MOS. ENDED MAY 31, 2021	MONTH OF MAY 2021	2 MOS. ENDED MAY 31, 2021	\$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX																						
Withholding	\$ 3,217.7	\$ 6,819.5	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,217.7	\$ 6,819.5	\$ -	\$ -	\$ 703.9	11.5%
Estimated Payments	6,128.7	9,470.9	-	-	-	-	-	-	-	-	-	-	-	-	-	-	6,128.7	9,470.9	-	-	918.4	3.2525%
Returns	2,184.3	3,097.9	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2,184.3	3,097.9	-	-	2,634.1	567.9%
State/City Offsets	(264.7)	(467.7)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	(264.7)	(467.7)	-	-	358.1	326.7%
Other Assessments(LLC)	87.2	241.3	-	-	-	-	-	-	-	-	-	-	-	-	-	-	87.2	241.3	-	-	73.8	44.1%
Gross Receipts	11,353.2	19,161.9	-	-	-	-	-	-	-	-	-	-	-	-	-	-	11,353.2	19,161.9	-	-	12,242.1	176.9%
Transfers to School Tax Relief Fund	(4,916.5)	(8,179.4)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	(4,916.5)	(8,179.4)	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(1,520.2)	(2,803.1)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	(1,520.2)	(2,803.1)	-	-	-	0.0%
Less: Refunds Issued	4,916.5	8,179.4	-	-	-	-	-	-	-	-	-	-	-	-	-	-	4,916.5	8,179.4	-	-	148.7	5.8%
Total	342.3	693.4	142.0	328.7	885.3	1,780.7	42.2	85.7	1,411.8	2,889.5	915.8	1,960.8	119.7	630.5%	754.7	1,032.6%	342.3	693.4	142.0	328.7	928.7	47.4%
CONSUMPTION/TAXES																						
Sales and Use	295.6	588.4	80.5	188.7	885.3	1,780.7	-	-	1,261.4	2,568.8	790.8	1,960.2	119.7	630.5%	754.7	1,032.6%	295.6	588.4	80.5	188.7	885.3	47.4%
Auto Rental	-	-	-	(0.2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Cigarette/Tobacco Products	24.8	53.4	51.8	121.5	-	-	-	-	76.6	174.9	74.0	172.8	11.1	1.2%	47.5	103.26%	24.8	53.4	51.8	121.5	2.8	311.1%
Medical Marijuana	-	-	1.1	2.6	-	-	-	-	1.1	2.6	0.6	1.1	0.6	1.2%	47.5	103.26%	-	-	-	-	2.1	1.2%
Motor Fuel	-	-	8.6	15.8	-	-	-	-	40.3	74.4	21.4	51.7	22.7	43.9%	44.8	58.4%	-	-	-	-	1.5	136.4%
Alcoholic Beverage	21.8	44.8	-	-	-	-	-	-	21.8	44.8	21.4	48.1	22.7	43.9%	44.8	58.4%	-	-	-	-	22.7	43.9%
Highway Use	-	-	-	0.1	-	-	-	-	10.5	25.1	8.9	20.5	4.8	22.4%	20.5	44.8%	-	-	-	-	4.8	22.4%
Vapor Excise	-	-	-	0.2	-	-	-	-	0.1	0.2	0.1	0.1	0.1	100.0%	0.1	0.1%	-	-	-	-	0.1	100.0%
Opoid Excise	0.1	6.8	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.1	6.8	-	-	(0.4)	-5.6%
Total	342.3	693.4	142.0	328.7	885.3	1,780.7	42.2	85.7	1,411.8	2,889.5	915.8	1,960.8	119.7	630.5%	754.7	1,032.6%	342.3	693.4	142.0	328.7	928.7	47.4%
BUSINESS TAXES																						
Corporation Franchise	66.2	680.0	39.8	194.4	-	-	-	-	106.0	874.4	(134.7)	119.7	630.5%	754.7	1,032.6%	66.2	680.0	39.8	194.4	754.7	1,032.6%	630.5%
Corporation and Utilities	0.6	29.2	0.5	19.8	-	-	-	-	1.1	52.1	(11.0)	4.6	4.6%	47.5	103.26%	0.6	29.2	0.5	19.8	47.5	103.26%	4.6%
Insurance	54.8	127.8	2.5	(6.3)	-	-	-	-	57.3	121.5	6.5	76.7	6.5%	44.8	58.4%	54.8	127.8	2.5	(6.3)	44.8	58.4%	58.4%
Bank	(17.1)	(2.9)	(3.0)	0.1	-	-	-	-	(23.1)	(2.8)	2.6	10.0	10.0%	(12.8)	-128.0%	(17.1)	(2.9)	(3.0)	0.1	(12.8)	-128.0%	-128.0%
Petroleum Business	104.5	834.1	79.0	278.3	-	-	-	-	99.2	159.1	36.8	108.1	36.8%	88.6	97.2%	104.5	834.1	79.0	278.3	88.6	97.2%	97.2%
Total	104.5	834.1	79.0	278.3	-	-	-	-	234.5	1,284.3	(96.8)	318.1	318.1%	883.2	277.4%	104.5	834.1	79.0	278.3	883.2	277.4%	277.4%
OTHER TAXES																						
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	117.0	236.8	-	-	-	-	-	-	117.0	236.8	52.0	124.7	52.0%	112.1	89.9%	117.0	236.8	-	-	112.1	89.9%	89.9%
Parr-Mutual	1.1	2.4	-	-	-	-	-	-	1.1	2.4	0.2	0.9	0.9%	1.5	166.7%	1.1	2.4	-	-	1.5	166.7%	166.7%
Real Estate Transfer	-	-	-	-	-	-	-	-	110.0	207.4	48.4	105.6	48.4%	101.8	96.4%	-	-	-	-	101.8	96.4%	96.4%
Racing and Exhibitions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-100.0%
Employer Compensation Expense Tax	0.1	0.2	-	-	0.1	0.2	-	-	0.2	0.4	(0.2)	0.1	0.1%	0.4	100.0%	0.1	0.2	-	-	0.4	100.0%	100.0%
Total	118.2	239.4	-	-	110.1	207.6	-	-	228.3	447.0	100.4	231.3	231.3%	215.7	93.3%	118.2	239.4	-	-	215.7	93.3%	93.3%
Total Tax Receipts	\$ 5,481.5	\$ 9,946.3	\$ 221.6	\$ 608.2	\$ 5,911.9	\$ 10,167.7	\$ 92.6	\$ 177.4	\$ 11,707.6	\$ 20,899.6	\$ 3,118.6	\$ 6,776.6	\$ 11,707.6	\$ 20,899.6	\$ 3,118.6	\$ 6,776.6	\$ 11,707.6	\$ 20,899.6	\$ 3,118.6	\$ 6,776.6	\$ 14,123.0	208.4%

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2021	2020	2019	2018	2017	2016	2015	2014	2013	2012	2011	2010	2009	2008	2007	2006	2005	2004	2003	2002	2001	2000	1999	1998	1997	1996	1995	1994	1993	1992	1991	1990	1989	1988	1987	1986	1985	1984	1983	1982	1981	1980	1979	1978	1977	1976	1975	1974	1973	1972	1971	1970	1969	1968	1967	1966	1965	1964	1963	1962	1961	1960	1959	1958	1957	1956	1955	1954	1953	1952	1951	1950	1949	1948	1947	1946	1945	1944	1943	1942	1941	1940	1939	1938	1937	1936	1935	1934	1933	1932	1931	1930	1929	1928	1927	1926	1925	1924	1923	1922	1921	1920	1919	1918	1917	1916	1915	1914	1913	1912	1911	1910	1909	1908	1907	1906	1905	1904	1903	1902	1901	1900	1899	1898	1897	1896	1895	1894	1893	1892	1891	1890	1889	1888	1887	1886	1885	1884	1883	1882	1881	1880	1879	1878	1877	1876	1875	1874	1873	1872	1871	1870	1869	1868	1867	1866	1865	1864	1863	1862	1861	1860	1859	1858	1857	1856	1855	1854	1853	1852	1851	1850	1849	1848	1847	1846	1845	1844	1843	1842	1841	1840	1839	1838	1837	1836	1835	1834	1833	1832	1831	1830	1829	1828	1827	1826	1825	1824	1823	1822	1821	1820	1819	1818	1817	1816	1815	1814	1813	1812	1811	1810	1809	1808	1807	1806	1805	1804	1803	1802	1801	1800	1799	1798	1797	1796	1795	1794	1793	1792	1791	1790	1789	1788	1787	1786	1785	1784	1783	1782	1781	1780	1779	1778	1777	1776	1775	1774	1773	1772	1771	1770	1769	1768	1767	1766	1765	1764	1763	1762	1761	1760	1759	1758	1757	1756	1755	1754	1753	1752	1751	1750	1749	1748	1747	1746	1745	1744	1743	1742	1741	1740	1739	1738	1737	1736	1735	1734	1733	1732	1731	1730	1729	1728	1727	1726	1725	1724	1723	1722	1721	1720	1719	1718	1717	1716	1715	1714	1713	1712	1711	1710	1709	1708	1707	1706	1705	1704	1703	1702	1701	1700	1699	1698	1697	1696	1695	1694	1693	1692	1691	1690	1689	1688	1687	1686	1685	1684	1683	1682	1681	1680	1679	1678	1677	1676	1675	1674	1673	1672	1671	1670	1669	1668	1667	1666	1665	1664	1663	1662	1661	1660	1659	1658	1657	1656	1655	1654	1653	1652	1651	1650	1649	1648	1647	1646	1645	1644	1643	1642	1641	1640	1639	1638	1637	1636	1635	1634	1633	1632	1631	1630	1629	1628	1627	1626	1625	1624	1623	1622	1621	1620	1619	1618	1617	1616	1615	1614	1613	1612	1611	1610	1609	1608	1607	1606	1605	1604	1603	1602	1601	1600	1599	1598	1597	1596	1595	1594	1593	1592	1591	1590	1589	1588	1587	1586	1585	1584	1583	1582	1581	1580	1579	1578	1577	1576	1575	1574	1573	1572	1571	1570	1569	1568	1567	1566	1565	1564	1563	1562	1561	1560	1559	1558	1557	1556	1555	1554	1553	1552	1551	1550	1549	1548	1547	1546	1545	1544	1543	1542	1541	1540	1539	1538	1537	1536	1535	1534	1533	1532	1531	1530	1529	1528	1527	1526	1525	1524	1523	1522	1521	1520	1519	1518	1517	1516	1515	1514	1513	1512	1511	1510	1509	1508	1507	1506	1505	1504	1503	1502	1501	1500	1499	1498	1497	1496	1495	1494	1493	1492	1491	1490	1489	1488	1487	1486	1485	1484	1483	1482	1481	1480	1479	1478	1477	1476	1475	1474	1473	1472	1471	1470	1469	1468	1467	1466	1465	1464	1463	1462	1461	1460	1459	1458	1457	1456	1455	1454	1453	1452	1451	1450	1449	1448	1447	1446	1445	1444	1443	1442	1441	1440	1439	1438	1437	1436	1435	1434	1433	1432	1431	1430	1429	1428	1427	1426	1425	1424	1423	1422	1421	1420	1419	1418	1417	1416	1415	1414	1413	1412	1411	1410	1409	1408	1407	1406	1405	1404	1403	1402	1401	1400	1399	1398	1397	1396	1395	1394	1393	1392	1391	1390	1389	1388	1387	1386	1385	1384	1383	1382	1381	1380	1379	1378	1377	1376	1375	1374	1373	1372	1371	1370	1369	1368	1367	1366	1365	1364	1363	1362	1361	1360	1359	1358	1357	1356	1355	1354	1353	1352	1351	1350	1349	1348	1347	1346	1345	1344	1343	1342	1341	1340	1339	1338	1337	1336	1335	1334	1333	1332	1331	1330	1329	1328	1327	1326	1325	1324	1323	1322	1321	1320	1319	1318	1317	1316	1315	1314	1313	1312	1311	1310	1309	1308	1307	1306	1305	1304	1303	1302	1301	1300	1299	1298	1297	1296	1295	1294	1293	1292	1291	1290	1289	1288	1287	1286	1285	1284	1283	1282	1281	1280	1279	1278	1277	1276	1275	1274	1273	1272	1271	1270	1269	1268	1267	1266	1265	1264	1263	1262	1261	1260	1259	1258	1257	1256	1255	1254	1253	1252	1251	1250	1249	1248	1247	1246	1245	1244	1243	1242	1241	1240	1239	1238	1237	1236	1235	1234	1233	1232	1231	1230	1229	1228	1227	1226	1225	1224	1223	1222	1221	1220	1219	1218	1217	1216	1215	1214	1213	1212	1211	1210	1209	1208	1207	1206	1205	1204	1203	1202	1201	1200	1199	1198	1197	1196	1195	1194	1193	1192	1191	1190	1189	1188	1187	1186	1185	1184	1183	1182	1181	1180	1179	1178	1177	1176	1175	1174	1173	1172	1171	1170	1169	1168	1167	1166	1165	1164	1163	1162	1161	1160	1159	1158	1157	1156	1155	1154	1153	1152	1151	1150	1149	1148	1147	1146	1145	1144	1143	1142	1141	1140	1139	1138	1137	1136	1135	1134	1133	1132	1131	1130	1129	1128	1127	1126	1125	1124	1123	1122	1121	1120	1119	1118	1117	1116	1115	1114	1113	1112	1111	1110	1109	1108	1107	1106	1105	1104	1103	1102	1101	1100	1099	1098	1097	1096	1095	1094	1093	1092	1091	1090	1089	1088	1087	1086	1085	1084	1083	1082	1081	1080	1079	1078	1077	1076	1075	1074	1073	1072	1071	1070	1069	1068	1067	1066	1065	1064	1063	1062	1061	1060	1059	1058	1057	1056	1055	1054	1053	1052	1051	1050	1049	1048	1047	1046	1045	1044	1043	1042	1041	1040	1039	1038	1037	1036	1035	1034	1033	1032	1031	1030	1029	1028	1027	1026	1025	1024	1023	1022	1021	1020	1019	1018	1017	1016	1015	1014	1013	1012	1011	1010	1009	1008	1007	1006	1005	1004	1003	1002	1001	1000	999	998	997	996	995	994	993	992	991	990	989	988	987	986	985	984	983	982	981	980	979	978	977	976	975	974	973	972	971	970	969	968	967	966	965	964	963	962	961	960	959	958	957	956	955	954	953	952	951	950	949	948	947	946	945	944	943	942	941	940	939	938	937	936	935	934	933	932	931	930	929	928	927	926	925	924	923	922	921	920	919	918	917	916	915	914	913	912	911	910	909	908	907	906	905	904	903	902	901	900	899	898	897	896	895	894	893	892	891	890	889	888	887	886	885	884	883	882	881	880	879	878	877	876	875	874	873	872	871	870	869	868	867	866	865	864	863	862	861	860	859	858	857	856	855	854	853	852	851	850	849	848	847	846	845	844	843	842	841	840	839	838	837	836	835	834	833	832	831	830	829	828	827	826	825	824	823	822	821	820	819	818	817	816	815	814	813	812	811	810	809	808	807	806	805	804	803	802	801	800	799	798	797	796	795	794	793	792	791	790	789	788	787	786	785	784	783	782	781	780	779	778	777	776	775	774	773	772	771	770	769	768	767	766	765	764	763	762	761	760	759	758	757	756	755	754	753	752	751	750	749	748	747	746	745	744	743	742	741	740	739	738	737	736	735	734	733	732	731	730	729	728	727	726	725	724	723	722	721	720	719	718	717	716	715	714	713	712	711	710	709	708	707	706	705	704	703	702	701	700	699	698	697	696	695	694	693	692	691	690	689	688	687	686	685	684	683	682	681	680	679	678	677	676	675	674	673	672	671	670	669	668	667	666	665	664	663	662	661	660	659	658	657	656	655	654	653	652	651	650	649	648	647	646	645	644	643	642	641	640	639	638	637	636	635	634	633	632	631	630	629	628	627	626
--	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2 Months Ended May 31												% Increase/ Decrease		
	2021	2022					2020		\$ Increase/ (Decrease)						
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	
Revenues of State Departments:															
Administrative Recoveries	45.8	9.2											55.0	33.8	21.2
Commissions	-	-											1.7	0.3	1.4
Commissions - Asset Conversion	2.5	-											-	-	-
Gifts, Grants and Donations	295.8	223.6											10.6	10.9	2.8
Indirect Cost Recoveries	11.3	9.5											519.4	889.3	(375.9)
Patient/Client Care Reimbursement	11.3	11.3											23.1	23.1	(2.3)
Rentals	2.4	5.6											1.6	1.6	0.0%
Support and Settlements	87.3	63.6											8.0	7.5	6.7%
Student Loans	2.4	0.5											150.9	(8.8)	159.7
All Other	(75.7)	41.6											2.9	1.1	1.8
Sales	1,729.5	1,565.7											(34.1)	(33.9)	(0.2)
Tuition	7,164.2	18,246.2											5,076.9	(1,776.7)	-35.0%
Total Miscellaneous Receipts															
Federal Receipts	18,083.7	31,522.5											25,410.4	15,070.1	10,340.3
Total Receipts													49,908.2	26,923.6	22,984.6
DISBURSEMENTS:															
Local Assistance Grants:															
Education	810.6	4,402.4											5,213.0	5,281.9	(68.9)
Environment and Recreation	29.4	9.6											39.0	8.2	30.8
General Government	36.9	130.2											167.1	66.2	100.9
Public Health:															
Medicaid	6,489.1	5,695.1											12,194.2	10,509.9	1,684.3
Other Public Health	661.9	698.7											1,340.6	1,340.6	-
Public Safety	128.1	138.4											387.5	158.9	189.6
Public Welfare	230.2	356.7											598.9	429.5	157.4
Support and Regulate Business	28.7	63.5											90.2	63.2	27.0
Transportation	382.6	471.3											863.9	180.9	377.6%
Total Local Assistance Grants	8,805.5	11,956.9											20,762.4	17,939.7	2,822.7
Departmental Operations:															
Personal Service	1,159.2	1,182.3											2,340.5	2,705.4	(364.9)
Non-Personal Service	519.4	576.0											1,059.4	1,001.1	58.3
General State Charges	865.5	2,367.0											3,052.5	850.2	2,202.3
Debt Service, Including Payments on	122.4	40.5											162.9	60.0	102.9
Financing Agreements	389.2	514.1											912.3	915.8	(3.5)
Capital Projects															
Total Disbursements	11,899.2	16,636.8											28,536.0	23,552.2	4,983.8
Excess (Deficiency) of Receipts over Disbursements	6,188.5	14,885.7											21,072.2	3,371.4	17,700.8
OTHER FINANCING SOURCES (USES):															
Bond and Note Proceeds (net)	-	-											-	-	-
Transfers from Other Funds	5,344.8	6,200.6											11,545.4	2,921.6	8,623.9
Transfers to Other Funds	(6,350.2)	(6,203.3)											(11,553.5)	(3,927.4)	8,628.1
Total Other Financing Sources (Uses)	(5.4)	(2.7)											(8.1)	(5.9)	(2.2)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	6,181.1	14,883.0											21,064.1	3,365.5	17,698.6
Ending Fund Balance	\$ 24,932.2	\$ 39,815.2	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 39,815.2	\$ 17,660.3	\$ 22,164.9

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

[illegible]

150

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.

(**) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2 Months Ended May 31												EXHIBIT F
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	
Student Loans	-	-	-	-	-	-	-	-	-	-	-	-	
All Other	20.1	23.1	-	-	-	-	-	-	-	-	-	-	
Sales	0.1	-	-	-	-	-	-	-	-	-	-	-	
Total Miscellaneous Receipts	172.5	146.1	-	-	-	-	-	-	-	-	-	-	
Federal Receipts	0.2	-	-	-	-	-	-	-	-	-	-	-	
Total Receipts	4,637.5	5,621.6	-	-	-	-	-	-	-	-	-	-	
DISBURSEMENTS:													
Local Assistance Grants:													
Education	523.4	3,889.2	-	-	-	-	-	-	-	-	-	-	
Environment and Recreation	-	1.7	-	-	-	-	-	-	-	-	-	-	
General Government	2.9	48.6	-	-	-	-	-	-	-	-	-	-	
Public Health:													
Medicaid	2,741.0	1,483.2	-	-	-	-	-	-	-	-	-	-	
Other Public Health	47.9	102.1	-	-	-	-	-	-	-	-	-	-	
Public Welfare	44.6	100.3	-	-	-	-	-	-	-	-	-	-	
Support and Regulate Business	43.3	100.3	-	-	-	-	-	-	-	-	-	-	
Transportation	5.0	7.0	-	-	-	-	-	-	-	-	-	-	
Total Local Assistance Grants	9.5	22.4	-	-	-	-	-	-	-	-	-	-	
Departmental Operations:													
Personal Service	707.6	726.4	-	-	-	-	-	-	-	-	-	-	
Non-Personal Service	136.7	251.2	-	-	-	-	-	-	-	-	-	-	
General State Charges	810.3	2,275.6	-	-	-	-	-	-	-	-	-	-	
Total Disbursements	5,021.1	8,940.3	-	-	-	-	-	-	-	-	-	-	
Excess (Deficiency) of Receipts over Disbursements	(394.6)	(3,318.7)	-	-	-	-	-	-	-	-	-	-	
OTHER FINANCING SOURCES (USES):													
Transfers from Revenue Bond Tax Fund	3,262.7	4,916.9	-	-	-	-	-	-	-	-	-	-	
Transfers from OM&C / STB&TF	670.0	690.1	-	-	-	-	-	-	-	-	-	-	
Transfers from OM&C Fund	98.8	110.0	-	-	-	-	-	-	-	-	-	-	
Transfers from Other Funds	108.0	94.8	-	-	-	-	-	-	-	-	-	-	
Transfers to State Capital Projects	(486.7)	(341.4)	-	-	-	-	-	-	-	-	-	-	
Transfers to All Other Capital Projects	(102.6)	21.3	-	-	-	-	-	-	-	-	-	-	
Transfers to General Debt Service	(227.6)	(34.1)	-	-	-	-	-	-	-	-	-	-	
Transfers to All Other State Funds	-	-	-	-	-	-	-	-	-	-	-	-	
Total Other Financing Sources (Uses)	3,451.4	5,457.6	-	-	-	-	-	-	-	-	-	-	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,056.8	2,138.9	-	-	-	-	-	-	-	-	-	-	
Ending Fund Balance	\$ 12,217.6	\$ 14,356.5	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT G

Beginning Fund Balance	2021		JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022		FEBRUARY	MARCH	Eliminations (1)	2 Months Ended May 31		% Increase/Decrease
	APRIL	MAY								2021	2022						
	\$	\$								\$	\$						
Taxes:																	
Personal Income Tax	-	-												-	-	-	0.0%
Consumption/Use Taxes:																	
Sales and Use	109.2	80.5												189.7	131.5	58.2	44.3%
Auto Rental	(0.2)	-												(0.2)	(1.6)	1.4	87.5%
Cigarette/Tobacco Products	69.7	51.8												121.5	120.1	1.4	1.2%
Medical Marijuana	1.5	1.1												2.6	1.1	1.5	136.4%
Motor Fuel	7.2	8.6												15.8	11.2	4.6	41.1%
Alcoholic Beverage	-	-												-	-	-	0.0%
Highway Use	0.1	-												0.1	0.1	0.1	100.0%
Vapor Excise	-	-												0.2	0.1	0.1	100.0%
Total Consumption/Use Taxes	187.7	142.0												328.7	262.5	67.2	25.6%
Business Taxes:																	
Corporation Franchise	154.6	39.8												194.4	53.8	140.6	261.3%
Corporation and Utilities	19.3	0.5												18.8	24.5	521.3%	24.5%
Insurance	(8.8)	2.5												(6.3)	6.8	(13.1)	-192.6%
Bank	3.1	(3.0)												0.1	1.9	(1.8)	-94.7%
Petroleum Business	30.7	39.8												70.5	47.9	22.6	47.2%
Total Business Taxes	186.9	76.6												278.5	105.7	172.8	163.5%
Total Taxes	386.6	221.6												608.2	368.2	248.0	65.2%
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	0.9	1.0												1.9	1.9	-	0.0%
Assessments:																	
Business	69.2	40.7												109.9	109.1	0.8	0.7%
Medical Care	482.7	530.1												1,032.8	1,034.0	(21.2)	-2.1%
Public Utilities	1.5	-												0.1	0.1	1.4	1,400.0%
Other	-	-												-	-	-	0.0%
Fees, Licenses and Permits:																	
Audit Fees	-	-												-	-	-	0.0%
Business/Professional	38.6	26.7												65.3	118.6	(63.3)	-44.9%
Civil	5.1	5.4												5.6	5.6	4.9	87.5%
Criminal	0.9	0.2												0.8	0.3	0.3	37.5%
Motor Vehicle	29.6	27.8												57.4	34.2	23.2	67.8%
Recreational/Consumer	65.7	49.6												115.3	43.0	72.3	168.1%
Fines, Penalties and Forfeitures	6.5	9.1												15.6	15.8	(0.2)	-1.3%
Gaming:																	
Casino	34.2	14.2												48.4	-	48.4	100.0%
Lottery	199.5	213.5												415.0	299.1	113.9	38.1%
Video Lottery	75.9	77.9												153.8	0.6	153.2	25,533.3%
Interest Earnings	4.1	3.7												7.8	28.2	(20.4)	-72.3%
Receipts from Municipalities	6.7	1.9												8.6	11.5	(2.9)	-25.2%
Receipts from Public Authorities:																	
Bond Proceeds	-	-												-	-	-	0.0%
Cost Recovery Assessments	-	-												-	-	-	0.0%
Issuance Fees	2.7	2.3												-	-	-	0.0%
Non Bond Related	0.2	-												5.0	1.9	3.1	163.2%
Rentals	41.8	1.9												9.2	(48.2)	(9.0)	-97.8%
Revenue of State Departments:																	
Administrative Recoveries	45.0	8.9												53.9	33.1	20.8	62.8%
Commissions	0.5	0.6												1.1	0.3	0.8	266.7%
Asset Conversion	-	-												-	-	-	0.0%
Gifts, Grants and Donations	0.7	0.8												1.5	2.1	(0.6)	-28.6%
Indirect Cost Recoveries	-	-												-	-	-	0.0%
Patient/Client Care Reimbursement	214.3	178.7												393.0	788.7	(395.7)	-50.2%
Rebates	11.3	7.9												19.2	21.4	(2.2)	-10.3%
Restitution and Settlements	1.2	9.8												11.0	4.1	6.9	168.3%
Student Loans	64.8	38.6												7.5	0.3	123.6	611.9%
All Other	2.2	0.5												2.7	1.1	1.6	145.5%
Sales	(75.7)	41.6												(34.1)	(0.2)	(0.2)	-0.6%
Tuition	1,321.5	1,299.0												2,651.5	2,609.6	181.9	6.6%
Total Miscellaneous Receipts	7,158.4	18,222.9												14,881.7	10,499.6	10,499.6	70.6%
Federal Receipts	8,877.5	19,743.5												17,718.5	17,718.5	10,891.5	61.5%
Total Receipts																	

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT G

	2021	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022	JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																		
Local Assistance Grants:																		
Education	273.7	478.2												-	751.9	486.6	265.3	54.8%
Environment and Recreation	0.4	3.3												-	0.7	0.5	0.2	40.0%
Government	21.5	39.9												-	61.2	17.4	43.8	251.7%
Public Health:																		
Medicaid	3,758.1	4,201.9												-	7,960.0	8,986.9	(1,026.9)	-11.5%
Other Public Health	598.9	556.9												-	1,115.8	1,042.6	73.2	7.0%
Public Safety	123.2	108.0												-	231.2	104.6	126.6	121.0%
Transportation	15.0	20.0												-	32.2	15.0	17.2	114.7%
Support and Regulate Business	0.5	2.2												-	2.7	1.0	1.7	170.0%
Transportation	201.4	411.2												-	612.6	110.2	502.4	455.9%
Total Local Assistance Grants	5,986.2	5,989.2													11,692.4	10,989.6	125.8	1.1%
Departmental Operations:																		
Administration	450.6	456.9												-	907.5	1,129.4	(212.9)	-19.0%
Non-Personal Service	382.5	339.6												-	722.1	431.8	290.3	67.3%
General State Charges	85.2	50.4												-	175.6	139.5	36.1	25.9%
Debt Service, Including Payments on	-	-												-	-	-	-	0.0%
Financing Agreements	-	-												-	-	-	-	0.0%
Capital Projects	-	-												-	-	-	-	0.0%
Total Disbursements	6,814.5	6,886.1													12,806.6	12,774.3	179.3	1.4%
Excess (Deficiency) of Receipts over Disbursements	2,883.0	12,857.4													15,720.4	4,988.2	10,732.2	214.5%
OTHER FINANCING SOURCES (USES):																		
Transfers from Other Funds	263.5	151.0												(143.5)	271.0	178.8	92.2	51.6%
Transfers to Other Funds	(165.9)	(135.6)												143.5	(158.0)	(348.9)	(190.9)	-54.7%
Total Other Financing Sources (Uses)	97.6	15.4													113.0	(78.1)	293.1	-465.4%
Excess (Deficiency) of Receipts and Disbursements over Other Financing Sources over Disbursements and Other Financing Uses	2,980.6	12,872.8													15,833.4	4,888.1	11,005.3	227.9%
Ending Fund Balance	\$ 13,829.9	\$ 26,502.7													\$ 26,502.7	\$ 11,140.2	\$ 15,362.5	137.9%

(*) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT G

	2021 APRIL	2 Months Ended May '21											% Increase/ Decrease
		MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	
Beginning Fund Balance	\$ 5,708.6	\$ 6,329.3											
RECEIPTS:													
Taxes:													
Personal Income Tax	-	-											
Consumption/Use Taxes:													
Auto Rental	109.2	80.5											
Cigarette/Tobacco Products	(0.2)	-											
Medical Marijuana	69.7	51.8											
Motor Fuel	1.5	1.1											
Alcoholic Beverage	7.2	8.6											
Highway Use	0.1	-											
Vapor Use	0.2	-											
Total Consumption/Use Taxes	187.7	142.0											
Business Taxes													
Corporation Franchise	154.6	39.8											
Corporation and Utilities	19.3	0.5											
Insurance	(6.8)	2.5											
Bank	3.1	(3.0)											
Total Business Taxes	30.7	78.6											
Total Receipts	198.9	221.6											
Miscellaneous Receipts:													
Abandoned Property:													
Assessments:													
Business	63.7	5.1											
Medical Care	482.7	530.1											
Public Utilities	1.5	-											
Other	-	-											
Fees, Licenses and Permits:													
Audit Fees	-	-											
Business/Professional	36.6	26.7											
Civil	5.1	5.4											
Criminal	0.9	0.2											
Motor Vehicle	29.6	27.8											
Recreational/Consumer	65.7	49.6											
Fines, Penalties and Forfeitures	6.1	8.7											
Gaming:													
Lottery	34.2	14.2											
Video Lottery	199.5	213.5											
Interest Earnings	75.9	77.9											
Receipts from Municipalities	3.5	3.2											
Receipts from Public Authorities:													
Bond Proceeds	6.7	1.9											
Cost Recovery Assessments	-	-											
Insurance Fees	2.7	2.3											
Non Bond Related	0.2	-											
Rentals	41.6	1.9											
Revenues of State Departments:													
Administrative Recoveries	45.0	8.9											
Commissions	0.5	0.6											
Commissions - Asset Conversion	-	-											
Gifts, Grants and Donations	0.7	0.8											
Indirect Cost Recoveries	-	-											
Patient/Client Care Reimbursement	214.3	178.7											
Rebates	4.7	0.5											
Restitution and Settlements	1.2	9.8											
Student Loans	2.4	0.6											
Other	64.6	37.1											
Sales	2.2	0.5											
Tuition	(75.7)	41.6											
Total Miscellaneous Receipts	1,319.2	1,233.6											
Federal Receipts	-	-											
Total Receipts	1,705.8	1,475.2											
2021	\$ 5,708.6	\$ 6,329.3											
2022	\$ 5,708.6	\$ 6,329.3											
2020	\$ 5,400.7	\$ 5,400.7											
% Increase/Decrease	5.7%	5.7%											

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT G

	2021	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																		
Local Assistance Grants:																		
Education		0.9	(2.9)												(2.0)	35.1	(37.1)	-105.7%
Environment and Recreation		0.1	0.2												0.3	0.3	-	0.0%
General Government		10.1	18.0												28.1	13.2	14.9	112.9%
Public Health:																		
Medicaid		386.9	481.4												848.3	1,002.5	(154.2)	-15.4%
Other Public Health		37.3	47.2												84.5	55.1	29.4	53.4%
Public Safety		19.3	20.0												39.3	27.7	11.6	41.9%
Public Welfare		0.2	0.2												0.4	0.3	0.1	33.3%
Support and Regulate Business		0.3	2.2												2.5	0.4	2.1	525.0%
Transportation		199.0	407.7												606.7	103.3	503.4	487.3%
Total Local Assistance Grants		654.1	954.0												1,606.1	1,237.9	370.2	29.9%
Departmental Operations:																		
Personal Services		399.6	408.0												805.6	894.7	(89.1)	-10.0%
Non-Personal Services		235.6	233.9												469.5	408.9	160.6	12.9%
General State Charges		59.8	63.4												123.2	92.1	31.1	33.8%
Capital Projects		-	-												-	-	-	0.0%
Total Disbursements		1,339.1	1,657.3												2,996.4	2,731.6	264.8	9.7%
Excess (Deficiency) of Receipts over Disbursements																		
		366.7	(182.1)												184.6	37.2	147.4	396.2%
OTHER FINANCING SOURCES (USES):																		
Transfers from Other Funds		263.5	151.0												414.5	264.2	150.3	56.9%
Transfers to Other Funds		(8.5)	(3.3)												(12.8)	8.3	21.1	254.2%
Total Other Financing Sources (Uses)		255.0	147.7												401.7	272.5	129.2	47.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses																		
		621.7	(34.4)												586.3	309.7	276.6	89.3%
Ending Fund Balance		\$ 6,329.3	\$ 6,294.9												\$ 6,294.9	\$ 5,710.4	\$ 584.5	10.2%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT G

	2021		2022										% Increase/ Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	
Beginning Fund Balance	\$ 4,980.7	\$ 7,300.6											
RECEIPTS:													
Miscellaneous Receipts:													
Abandoned Property:	-	-	-	-	-	-	-	-	-	-	-	-	-
Assessments:													
Business:	5.5	35.6											
Medical Care:	-	-											
Public Utilities:	-	-											
Other:	-	-											
Fees, Licenses and Permits:													
Business/Professional:	-	-	-	-	-	-	-	-	-	-	-	-	-
Civil:	-	-	-	-	-	-	-	-	-	-	-	-	-
Criminal:	-	-	-	-	-	-	-	-	-	-	-	-	-
Motor Vehicle:	-	-	-	-	-	-	-	-	-	-	-	-	-
Recreational/Consumer:	-	-	-	-	-	-	-	-	-	-	-	-	-
Fines, Penalties and Forfeitures:	0.4	0.4											
Interest Earnings:	0.6	0.5											
Receipts from Municipalities:	-	-											
Receipts from Public Authorities:													
Bond Proceeds:	-	-	-	-	-	-	-	-	-	-	-	-	-
Cost Recovery Assessments:	-	-	-	-	-	-	-	-	-	-	-	-	-
Insurance Fees:	-	-	-	-	-	-	-	-	-	-	-	-	-
Non Bond Related:	-	-	-	-	-	-	-	-	-	-	-	-	-
Rentals:	-	-	-	-	-	-	-	-	-	-	-	-	-
Revenues of State Departments:													
Administrative Recoveries:	-	-	-	-	-	-	-	-	-	-	-	-	-
Commissions:	-	-	-	-	-	-	-	-	-	-	-	-	-
Gifts, Grants and Donations:	-	-	-	-	-	-	-	-	-	-	-	-	-
Indirect Cost Recoveries:	-	-	-	-	-	-	-	-	-	-	-	-	-
Patient/Client Care Reimbursement:	-	-	-	-	-	-	-	-	-	-	-	-	-
Rebates:	6.6	7.4											
Restitution and Settlements:	-	-	-	-	-	-	-	-	-	-	-	-	-
Student Loans:	-	-	-	-	-	-	-	-	-	-	-	-	-
All Other:	0.2	1.5											
Sales:	-	-	-	-	-	-	-	-	-	-	-	-	-
Tuition:	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Miscellaneous Receipts	13.3	45.4	-	-	-	-	-	-	-	-	-	-	-
Federal Receipts	7,158.4	18,222.9											
Total Receipts	7,171.7	18,268.3	-	-	-	-	-	-	-	-	-	-	-

	2 Months Ended May 31			% Increase/ Decrease
	2021	2020		
		2020	2020	
	\$ 4,980.7	\$ 911.4	\$ 4,049.3	-444.3%

EXHIBIT G

158

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT H

	2022												2 Months Ended May 31		% Increase/ Decrease	
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2020 \$	\$ 63.4		\$ 1.6
Beginning Fund Balance	\$ 65.0	\$ 206.5											\$ 65.0	\$ 63.4		
RECEIPTS:																
Taxes:																
Personal Income Tax	3,262.9	4,916.5											8,179.4	2,132.7	6,046.7	283.5%
Consumption/Use Taxes:																
Sales and Use	895.4	885.3	-	-	-	-	-	-	-	-	-	-	1,780.7	763.9	1,016.8	133.1%
Total Consumption/Use Taxes	895.4	885.3											1,780.7	763.9	1,016.8	133.1%
Other Taxes:																
Real Estate Transfer	97.4	110.0											207.4	105.6	101.8	96.4%
Employer Compensation Expense Tax	0.1	0.1	-	-	-	-	-	-	-	-	-	-	0.2	-	0.2	100.0%
Total Other Taxes	97.5	110.1											207.6	105.6	102.0	96.6%
Total Taxes	4,255.8	5,911.9											10,167.7	3,002.2	7,165.5	238.7%
Miscellaneous Receipts:																
Assessments:																
Medical Care	-	-											-	-	-	0.0%
Fees, Licenses and Permits:													-	-	-	0.0%
Alcohol Beverage Control Licensing	-	-											-	-	-	0.0%
Business/Professional	-	-											-	-	-	0.0%
Civil	-	-											-	-	-	0.0%
Criminal	-	-											-	-	-	0.0%
Motor Vehicle	-	-											-	-	-	0.0%
Recreational/Consumer	-	-											-	-	-	0.0%
Interest Earnings	-	-											-	-	-	0.0%
Receipts from Municipalities	-	0.3											0.3	0.2	(0.2)	-100.0%
Receipts from Public Authorities:																0.0%
Bond Proceeds	-	-											-	-	-	0.0%
Rentals	-	-											-	-	-	0.0%
Revenues of State Departments:																
Patient/Client Care Reimbursement	43.2	38.8											82.0	60.6	21.4	35.3%
All Other	-	-											-	-	-	0.0%
Sales	-	-											-	-	-	0.0%
Total Miscellaneous Receipts	43.2	39.1											82.3	61.1	21.2	34.7%
Federal Receipts	-	-											-	-	-	0.0%
Total Receipts	4,299.0	5,951.0											10,250.0	3,063.3	7,186.7	234.6%
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	0.2	2.2											2.4	0.9	1.5	166.7%
Debt Service, Including Payments on	122.4	40.5											162.9	60.0	102.9	171.5%
Financing Agreements																
Total Disbursements	122.6	42.7											165.3	60.9	104.4	171.4%
Excess (Deficiency) of Receipts over Disbursements	4,176.4	5,908.3											10,084.7	3,002.4	7,082.3	235.9%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	295.9	6.0											301.9	410.5	(108.6)	-26.5%
Transfers to Other Funds	(4,330.8)	(5,817.9)											(10,148.7)	(2,954.2)	7,194.5	243.5%
Total Other Financing Sources (Uses)	(4,034.9)	(5,811.9)											(9,946.8)	(2,543.7)	(7,303.1)	-287.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses																
	141.5	96.4											237.9	458.7	(220.8)	-48.1%
Ending Fund Balance	\$ 206.5	\$ 302.9	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 302.9	\$ 522.1	\$ (219.2)	-42.0%

EXHIBIT I

	2024		MAY		JUNE		JULY		AUGUST		SEPTEMBER		OCTOBER		NOVEMBER		DECEMBER		2022		FEBRUARY		MARCH		Intra-Fund Transfer Eliminations (*)		2021		2020		\$ Increase/ (Decrease)		% Increase/ Decrease	
	APRIL	\$	(1,144.0)	\$	(1,121.8)															JANUARY														
Beginning Fund Balance																																		
RECEIPTS:																																		
Taxes:																																		
Consumption/Use Taxes:																																		
Auto Rental																																		
Motor Fuel																																		
Highway Use																																		
Total Consumption/Use Taxes																																		
Business Taxes:																																		
Corporation Franchise																																		
Corporation and Utilities																																		
Petroleum Sales																																		
Total Business Taxes																																		
Other Taxes:																																		
Real Estate Transfer																																		
Total Other Taxes																																		
Total Taxes																																		
Miscellaneous Receipts:																																		
Abandoned Property:																																		
Bottle Bill																																		
Assessments:																																		
Business:																																		
Fees, Licenses and Permits:																																		
Business/Professional																																		
Civil																																		
Motor Vehicle																																		
Recreational/Consumer																																		
Fines, Penalties and Forfeitures																																		
Interest Earnings																																		
Receipts from Municipalities																																		
Receipts from Public Authorities:																																		
Public Properties																																		
Insurance Fees																																		
Non Bond Related																																		
Rentals																																		
Revenues of State Departments:																																		
Administrative Recoveries																																		
Gifts, Grants and Donations																																		
Indirect Cost Recoveries																																		
Rebates																																		
Restitution and Settlements																																		
Other																																		
Sales																																		
Total Miscellaneous Receipts																																		
Federal Receipts																																		
Total Receipts																																		

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT I

	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2 Months Ended May 31			% Increase/ Decrease
														2021	2020	\$ Increase/ (Decrease)	
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	13.5	25.0											-	38.5	12.0	26.5	220.8%
Environment and Recreation	29.0	7.6											-	36.6	7.6	29.0	381.6%
General Government	12.7	41.7											-	54.4	41.3	13.1	31.7%
Public Health:																	
Medicaid	-	-											-	-	-	-	0.0%
Other Public Health	45.1	29.7											-	74.8	87.2	(12.4)	-14.2%
Public Safety	20.4	5.8											-	2.0	2.0	0.0	0.0%
Public Welfare	28.2	55.8											-	84.0	33.8	50.2	148.5%
Support and Regulate Business	21.2	54.3											-	75.5	50.9	24.6	48.3%
Transportation	181.7	37.7											-	219.4	70.6	148.8	210.5%
Total Local Assistance Grants	331.8	253.6											-	585.4	303.4	282.0	92.5%
Departmental Operations:																	
Personal Service	-	-											-	-	-	-	0.0%
Non-Personal Service	-	-											-	-	-	-	0.0%
General State Charges	398.2	514.1											-	912.3	915.8	(3.5)	-0.4%
Capital Projects													-				
Total Disbursements	730.0	767.7											-	1,497.7	1,219.2	278.5	22.8%
Excess (Deficiency) of Receipts over Disbursements	(458.3)	(561.3)											-	(1,019.6)	344.5	(1,364.1)	-396.0%
OTHER FINANCING SOURCES (USES):																	
Bond and Note Proceeds (net)	-	-											-	-	-	-	0.0%
Transfers from Other Funds	488.9	344.3											-	833.2	(607.1)	1,440.3	237.2%
Transfers to Other Funds	(8.4)	(8.1)											-	(16.5)	(24.7)	(8.2)	-33.2%
Total Other Financing Sources (Uses)	480.5	336.2											-	816.7	(631.3)	1,448.5	229.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	22.2	(225.1)											-	(202.9)	(287.3)	84.4	29.4%
Ending Fund Balance	\$ (1,121.8)	\$ (1,346.9)											-	\$ (1,346.9)	\$ (1,322.2)	\$ (24.7)	-1.8%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT I

	2 Months Ended May '21											
	2021						2020					
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH
	\$ (563.7)	\$ (494.1)										
Beginning Fund Balance												
RECEIPTS:												
Taxes:												
Consumption/Use Taxes	2.1	-								2.1	0.7	1.4
Auto Rental	26.9	31.7								56.6	40.5	18.1
Motor Fuel	14.5	10.5								25.0	20.4	4.6
Highway Use	43.5	42.2								85.7	61.6	24.1
Total Consumption/Use Taxes												
Business Taxes	-	-								-	-	-
Corporation Franchise	3.1	-								3.1	(1.4)	4.5
Corporation and Utilities	38.2	50.4								86.6	60.2	28.4
Petroleum Business	41.3	50.4								91.7	58.8	32.9
Total Business Taxes												
Other Taxes	-	-								-	-	-
Real Estate Transfer	-	-								-	-	-
Total Other Taxes												
Total Taxes	84.8	92.6								177.4	120.4	57.0
Miscellaneous Receipts:												
Abandoned Property:												
Bottle Bill	-	-								-	-	-
Assessments:	10.0	7.6								17.6	11.5	6.1
Fees, Licenses and Permits:	1.3	1.7								3.0	3.9	(0.9)
Business/Professional	79.2	69.1								148.3	85.4	62.9
Civil	1.5	2.9								4.4	-	4.4
Motor Vehicle	2.5	0.8								3.3	3.9	(0.6)
Recreational/Consumer	-	0.1								0.1	1.2	(1.1)
Fines, Penalties and Forfeitures	-	-								-	-	-
Interest Earnings	78.4	0.5								78.9	1,141.2	(1,062.3)
Receipts from Municipalities	-	-								-	-	-
Receipts from Public Authorities:												
Bond Proceeds	-	-								-	-	-
Issuance Fees	0.5	2.5								3.0	0.1	2.9
Non Bond Related	3.3	0.8								4.1	1.5	2.6
Rentals	-	-								-	-	-
Revenues of State Departments:	1.8	1.3								3.1	0.7	2.4
Administrative Recoveries:	-	-								-	-	-
Gifts, Grants and Donations	-	-								-	-	-
Indirect Cost Recoveries	0.3	1.3								1.6	3.3	(1.7)
Refunds	2.4	1.9								4.3	2.1	2.2
Restitution and Settlements	0.1	-								0.1	-	0.1
All Other	181.3	90.5								271.8	1,254.8	(983.0)
Total Miscellaneous Receipts												
Sales	-	-								-	-	-
Federal Receipts	266.1	183.1								449.2	1,375.2	(926.0)
Total Receipts												

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT I

	2021	2022	2 Months Ended May 31												% Increase/ Decrease	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	13.5	25.0											36.5	12.0	26.5	220.8%
Environment and Recreation	29.0	7.6											36.6	7.6	29.0	381.6%
General Government	12.7	41.7											54.4	41.3	13.1	31.7%
Public Health:																
Medicaid	-	-											-	-	-	0.0%
Other Public Health	45.1	29.7											74.8	87.2	(12.4)	-14.2%
Public Safety	0.4	1.8											2.2	-	2.2	100.0%
Public Welfare	28.2	55.8											84.0	33.8	50.2	148.5%
Support and Regulate Business	21.2	54.3											75.5	50.9	24.6	48.3%
Transportation	475.8	21.0											168.8	7.1	169.7	2,671.8%
Total Local Assistance Grants	325.9	236.9											562.8	239.9	322.9	134.6%
Departmental Operations:																
Personal Service	-	-											-	-	-	0.0%
Non-Personal Service	-	-											-	-	-	0.0%
General State Charges	351.1	407.1											756.2	785.6	(27.4)	-3.5%
Capital Projects																
Total Disbursements	677.0	644.0											1,321.0	1,025.5	295.5	28.8%
Excess (Deficiency) of Receipts over Disbursements	(410.9)	(460.9)											(871.8)	349.7	(1,221.5)	-348.3%
OTHER FINANCING SOURCES (USES):																
Bond and Note Proceeds (net)	-	-											-	-	-	0.0%
Transfers from Other Funds	488.9	344.3											835.2	(607.1)	1,440.3	237.2%
Transfers to Other Funds	(8.4)	(8.1)											(16.5)	(24.7)	(8.2)	-33.2%
Total Other Financing Sources (Uses)	480.5	336.2											816.7	(631.8)	1,448.5	228.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	69.6	(124.7)											(55.1)	(282.1)	227.0	80.5%
Ending Fund Balance	\$ (494.1)	\$ (618.8)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (618.8)	\$ (754.3)	\$ 135.5	18.0%

EXHIBIT I

164

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT J

	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2 Months Ended May 31			% Increase/ Decrease
	\$ 328.0	\$ 330.9											2021 \$ 328.0	2020 \$ 29.7	\$ 298.3	1,004.4%
Beginning Fund Balance																
RECEIPTS:																
Miscellaneous Receipts	281.8	200.8											462.6	9.0	453.6	5,040.0%
Federal Receipts	4,694.4	4,264.1											8,577.3	8,577.3	378.2	4.4%
Unemployment Taxes	216.5	313.6											552.1	4,065.6	(3,553.5)	-87.0%
Total Receipts	5,171.7	4,778.5	-	-	-	-	-	-	-	-	-	-	9,950.2	12,671.9	(2,721.7)	-21.5%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	128.3	126.8											255.1	2.3	252.8	10,091.3%
Non-Personal Service	52.1	32.9											135.0	6.3	46.9	547.1%
General State Charges	54.3	58.7											113.0	0.3	112.7	37,566.7%
Unemployment Benefits	4,867.1	4,624.0											9,591.1	12,654.6	(3,063.5)	-24.2%
Total Disbursements	5,171.8	4,842.4	-	-	-	-	-	-	-	-	-	-	10,014.2	12,665.7	(2,651.5)	-20.9%
Excess (Deficiency) of Receipts over Disbursements	(0.1)	(63.9)	-	-	-	-	-	-	-	-	-	-	(64.0)	6.2	(70.2)	-1,132.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	3.0	-											3.0	-	3.0	100.0%
Transfers to Other Funds	-												-	-	-	0.0%
Total Other Financing Sources (Uses)	3.0	-	-	-	-	-	-	-	-	-	-	-	3.0	-	3.0	100.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	2.9	(63.9)	-	-	-	-	-	-	-	-	-	-	(61.0)	6.2	(67.2)	-1,063.9%
Ending Fund Balance	\$ 330.9	\$ 267.0	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 267.0	\$ 35.9	\$ 231.1	643.7%

EXHIBIT K

166

EXHIBIT L

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2021												2 Months Ended May 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022		MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ (Decrease)
	\$ -	\$ (2.4)								JANUARY	FEBRUARY					
Beginning Fund Balance													\$ -	\$ (1.1)		
RECEIPTS:																
Miscellaneous Receipts	5.9	13.1											19.0	13.8	5.2	37.7%
Total Receipts	5.9	13.1	-	-	-	-	-	-	-	-	-	-	19.0	13.8	5.2	37.7%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	5.9	5.6											11.5	13.8	(2.3)	-16.7%
Non-Personal Service	0.6	1.2											1.8	1.3	0.5	38.5%
General State Charges	1.8	3.9											5.7	6.9	(1.2)	-17.4%
Total Disbursements	8.3	10.7	-	-	-	-	-	-	-	-	-	-	19.0	22.0	(3.0)	-13.6%
Excess (Deficiency) of Receipts over Disbursements	(2.4)	2.4	-	-	-	-	-	-	-	-	-	-	-	(8.2)	8.2	100.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-											-	-	-	0.0%
Transfers to Other Funds	-	-											-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(2.4)	2.4	-	-	-	-	-	-	-	-	-	-	-	(8.2)	8.2	100.0%
Ending Fund Balance	\$ (2.4)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (9.3)	\$ 9.3	100.0%

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT M

	2 Months Ended May 31																
	2021		2022														
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH					
Beginning Fund Balance	\$ 40.2	\$ 40.3											2020	\$ 14.3	\$ 25.9	% Increase/Decrease	181.1%
RECEIPTS:																	
Miscellaneous Receipts	0.1	0.2											0.3	0.3	-	-	0.0%
Total Receipts	0.1	0.2	-	-	-	-	-	-	-	-	-	-	0.3	0.3	-	-	0.0%
DISBURSEMENTS:																	
Departmental Operations:																	
Personal Service	-	-											-	-	-	-	0.0%
Non-Personal Service	-	-											-	-	-	-	0.0%
General State Charges	-	-											-	0.1	(0.1)	(0.1)	-100.0%
Total Disbursements	-	-	-	-	-	-	-	-	-	-	-	-	-	0.1	(0.1)	(0.1)	-100.0%
Excess (Deficiency) of Receipts over Disbursements	0.1	0.2	-	-	-	-	-	-	-	-	-	-	0.3	0.2	0.1	0.1	50.0%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	-	-											-	-	-	-	0.0%
Transfers to Other Funds	-	-											-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.1	0.2	-	-	-	-	-	-	-	-	-	-	0.3	0.2	0.1	0.1	50.0%
Ending Fund Balance	\$ 40.3	\$ 40.5	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 40.5	\$ 14.5	\$ 26.0	\$ 179.3%	

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2021-2022
FOR THE MONTH OF MAY 2021
(amounts in millions)

GENERAL FUND	BALANCE MAY 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE MAY 31, 2021
\$	\$	\$	\$	\$	\$
10000-10049-Local Assistance Account	-	0.052	5,703.390	5,703.338	-
10050-10099-State Operations Account	12,188.318	5,621.661	3,236.304	(245.772)	14,327.903
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	29.250	-	0.612	-	28.638
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	-	-	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	12,217.568	5,621.713	8,940.306	5,457.566	14,356.541

SPECIAL REVENUE FUNDS-STATE

20000-20099-Mental Health Gifts and Donations	0.807	-	-	-	0.807
20100-20299-Combined Expendable Trust	70.542	0.570	8.283	-	62.829
20300-20349-New York Interest on Lawyer Account	115.375	2.690	0.119	-	117.946
20350-20399-NYS Archives Partnership Trust	0.003	-	0.032	-	(0.029)
20400-20449-Child Performer's Protection	0.778	0.005	0.054	-	0.729
20450-20499-Tuition Reimbursement	8.662	0.074	0.357	-	8.379
20500-20549-New York State Local Government Records Management Improvement	6.694	1.034	0.465	-	7.263
20550-20599-School Tax Relief	0.002	-	-	-	0.002
20600-20649-Charter Schools Stimulus	0.578	-	-	-	0.578
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	114.747	510.811	479.570	(0.333)	145.655
20850-20899-Dedicated Mass Transportation Trust	48.756	52.207	33.658	-	67.305
20900-20949-State Lottery	475.213	291.408	2.473	-	764.148
20950-20999-Combined Student Loan	12.350	2.084	0.411	-	14.023
21000-21049-Sewage Treatment Program Mgmt. & Administration	(3.283)	-	0.046	-	(3.329)
21050-21149-Encon Special Revenue	0.278	7.086	6.755	-	0.609
21150-21199-Conservation	99.120	2.237	2.742	-	98.615
21200-21249-Environmental Protection and Oil Spill Compensation	12.338	2.504	1.516	(1.848)	11.478
21250-21299-Training and Education Program on OSHA	6.692	-	3.296	-	3.396
21300-21349-Lawyers' Fund for Client Protection	11.159	0.291	2.025	-	9.425
21350-21399-Equipment Loan for the Disabled	0.532	0.002	0.004	-	0.530
21400-21449-Mass Transportation Operating Assistance	496.759	131.322	312.998	(0.322)	314.761
21450-21499-Clean Air	(32.481)	2.553	2.790	-	(32.718)
21500-21549-New York State Infrastructure Trust	0.071	-	-	-	0.071
21550-21599-Legislative Computer Services	12.550	0.104	0.075	-	12.579
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.469	-	-	-	0.469
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	-	-	-	-	-
21850-21899-Arts Capital Grants	-	-	-	-	-
21900-22499-Miscellaneous State Special Revenue	0.661	0.001	-	-	0.662
22500-22549-Court Facilities Incentive Aid	1,679.565	141.242	206.642	31.494	1,645.659
	53.193	0.002	4.523	-	48.672

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2021-2022
FOR THE MONTH OF MAY 2021
(amounts in millions)

	BALANCE MAY 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE MAY 31, 2021
SPECIAL REVENUE FUNDS-STATE (CONTINUED)					
22550-22599-Employment Training	0.053	-	-	-	0.053
22650-22699-State University Income	1,794.979	297.130	512.103	32.687	1,612.693
22700-22749-Chemical Dependence Service	5.624	0.277	0.030	-	5.871
22750-22799-Lake George Park Trust	0.451	0.800	0.078	-	1.173
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	24.319	10.793	0.087	-	35.025
22850-22899-New York Great Lakes Protection	0.586	-	0.011	-	0.575
22900-22949-Federal Revenue Maximization	0.024	-	-	-	0.024
22950-22999-Housing Development	10.933	0.001	0.200	-	10.734
23000-23049-NYS/DOT Highway Safety Program	(17.103)	(0.001)	0.314	-	(17.418)
23050-23099-Vocational Rehabilitation	0.065	-	0.001	-	0.064
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(30.964)	-	2.824	-	(33.788)
23200-23249-Judiciary Data Processing Offset	61.942	2.488	3.310	-	61.120
23500-23549-USOC Lake Placid Training	0.245	0.004	-	-	0.249
23550-23599-Indigent Legal Services	534.671	11.364	6.014	-	540.021
23600-23649-Unemployment Insurance Interest and Penalty	25.189	0.002	0.135	-	25.056
23650-23699-MTA Financial Assistance Fund	164.182	0.009	61.475	12.625	115.341
23700-23749-New York State Commercial Gaming Fund	16.296	14.274	0.377	-	30.193
23750-23799-Medical Marihuana Trust Fund	19.095	2.963	1.467	-	20.591
23800-23899-Dedicated Miscellaneous State Special Revenue	4.858	0.397	0.032	-	5.223
24850-24899-Health Care Transformation	254.675	0.017	-	-	254.692
24900-24949-Charitable Gifts Trust Fund	0.005	-	-	-	0.005
24950-24999-Interactive Fantasy Sports	20.522	0.575	0.008	-	21.089
40350-40399-State University Dormitory Income	251.830	(14.099)	-	73.437	311.168
TOTAL SPECIAL REVENUE FUNDS-STATE	6,329.256	1,475.221	1,657.300	147.740	6,294.917
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA/Food and Consumer Services	(1.768)	170.081	197.357	-	(29.044)
25100-25199-Federal Health and Human Services	4,045.253	3,976.019	4,448.931	(39,154)	3,533.187
25200-25249-Federal Education	(25.436)	476.292	383.622	(93.177)	(25.943)
25300-25399, 25951-Federal Miscellaneous Operating Grants	3,177.539	13,568.324	115,184	-	16,630.679
25900-25949-Unemployment Insurance Administration	112.257	63.146	67.923	-	107.480
25950, 25952-25999-Unemployment Insurance Occupational Training	(0.379)	0.023	0.041	-	(0.397)
26000-26049-Federal Employment and Training Grants	(6.851)	14.324	15.704	-	(8.231)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	7,300.615	18,268.209	5,228.762	(132.331)	20,207.731
TOTAL SPECIAL REVENUE FUNDS	13,629.871	19,743.430	6,886.062	15.409	26,502.648
DEBT SERVICE FUNDS					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	97.454	28.552	-	(75.023)	50.983
40150-40199-General Debt Service	83.499	5,506.849	29.841	(5,495.877)	64.630
40250-40299-State Housing Debt Service	-	0.313	-	(0.313)	-
40300-40349-Department of Health Income	25.612	10.207	12.904	(5.641)	17.274
40400-40449-Clean Water/Clean Air	-	109.957	-	(109.957)	-
40450-40499-Local Government Assistance Tax	-	295.114	-	(125.114)	170.000
TOTAL DEBT SERVICE FUNDS	208.565	5,950.992	42.745	(5,811.925)	302.887

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2021-2022
FOR THE MONTH OF MAY 2021
(amounts in millions)

	BALANCE MAY 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE MAY 31, 2021
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	1,151	342,595	341,444	-
30050-30099-Dedicated Highway and Bridge Trust	61,169	171,756	124,789	(7,238)	100,898
30100-30299-SUNY Residence Halls Rehabilitation and Repair	117,230	0,008	1,055	1,000	117,183
30300-30349-New York State Canal System Development	14,175	0,001	-	-	14,176
30350-30399-Parks Infrastructure	(44,344)	2,500	10,945	-	(52,789)
30400-30449-Passenger Facility Charge	0,015	-	-	-	0,015
30450-30499-Environmental Protection	81,760	1,145	9,172	-	73,733
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30699-Energy Conservation Thru Improved Transportation Bond	0,164	-	-	-	0,164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0,668	-	-	-	0,668
30630-30639-Transportation Capital Facilities Bond	3,328	-	-	-	3,328
30640-30649-Environmental Quality Protection Bond	1,419	-	-	-	1,419
30650-30659-Rebuild and Renew New York Transportation Bond	17,210	-	-	-	17,210
30660-30669-Transportation Infrastructure Renewal Bond	4,255	-	-	-	4,255
30670-30679-1986 Environmental Quality Bond Act	5,550	-	-	-	5,550
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2,778	-	-	-	2,778
30690-30699-Clean Water/Clean Air Bond	1,428	-	-	-	1,428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(627,676)	23,228	123,661	-	(728,109)
31450-31499-Forest Preserve Expansion	1,083	-	-	-	1,083
31500-31549-Hazardous Waste Remedial	(73,879)	1,112	4,654	(0,326)	(77,747)
31650-31699-Suburban Transportation	0,540	-	-	-	0,540
31700-31749-Division for Youth Facilities Improvement	(15,255)	-	0,795	-	(16,050)
31800-31849-Housing Assistance	(12,942)	-	-	-	(12,942)
31850-31899-Housing Program	(404,944)	-	54,113	-	(459,057)
31900-31949-Natural Resource Damage	18,058	0,001	0,050	-	18,009
31950-31999-DOT Engineering Services	(11,971)	-	-	-	(11,971)
32200-32249-Miscellaneous Capital Projects	139,661	4,253	4,872	1,361	140,403
32250-32299-CUNY Capital Projects	0,048	0,006	-	-	0,054
32300-32349-Mental Hygiene Facilities Capital Improvement	(356,272)	1,035	13,044	-	(368,281)
32350-32399-Correction Facilities Capital Improvement	(186,858)	-	31,899	-	(218,757)
32400-32999-State University Capital Projects	112,409	0,008	1,319	-	111,098
33000-33049-NYS Storm Recovery Fund	(54,211)	0,137	1,029	-	(55,103)
33050-33099-Dedicated Infrastructure Investment Fund	83,609	-	43,678	-	39,931
TOTAL CAPITAL PROJECTS FUNDS	(1,121,795)	206,341	767,670	336,241	(1,346,883)
TOTAL GOVERNMENTAL FUNDS	\$ 24,932,209	\$ 31,522,476	\$ 16,636,783	\$ (2,709)	\$ 39,815,193

SCHEDULE 2

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FISCAL YEAR 2021-2022
 FOR THE MONTH OF MAY 2021
 (amounts in millions)

FUND TYPE	BALANCE MAY 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE MAY 31, 2021
ENTERPRISE FUNDS					
23250-23449-CUNY Senior College Program	\$ 171.144	\$ 12.984	\$ 8.114	\$ -	\$ 176.014
50000-50049-Youth Commissary	0.114	0.002	-	-	0.116
50050-50099-State Exposition Special	3.333	0.163	0.395	-	3.101
50100-50299-Correctional Services Commissary	4.219	4.265	4.217	-	4.267
50300-50399-Agencies Enterprise	11.492	2.043	1.869	-	11.666
50400-50449-Sheltered Workshop	2.253	-	0.005	-	2.248
50450-50499-Patient Workshop	2.101	0.001	0.005	-	2.097
50500-50599-Mental Hygiene Community Stores	4.805	0.071	0.048	-	4.828
50650-50699-Unemployment Insurance	62.418	4,577.806	4,623.991	-	16.233
60850-60899-CUNY Senior College Operating	69.015	181.237	203.782	-	46.470
TOTAL ENTERPRISE FUNDS	330.894	4,778.572	4,842.426	-	267.040
INTERNAL SERVICE FUNDS					
55000-55049-Centralized Services	(71.582)	20.274	54.412	1.144	(104.576)
55050-55099-Agency Internal Service	(189.061)	4.692	16.550	1.600	(199.319)
55100-55149-Mental Hygiene Revolving	0.117	0.048	0.066	-	0.099
55150-55199-Youth Vocational Education	0.063	-	0.001	-	0.062
55200-55249-Joint Labor and Management Administration	0.755	-	0.075	-	0.680
55250-55299-Audit and Control Revolving	(42.276)	-	2.614	(0.035)	(44.925)
55300-55349-Health Insurance Revolving	(14.141)	-	0.860	-	(15.001)
55350-55399-Correctional Industries Revolving	(33.239)	2.321	4.296	-	(35.214)
TOTAL INTERNAL SERVICE FUNDS	(349.364)	27.335	78.874	2.709	(398.194)
TOTAL PROPRIETARY FUNDS	\$ (18.470)	\$ 4,805.907	\$ 4,921.300	\$ 2.709	\$ (131.154)

STATE OF NEW YORK						SCHEDULE 3	
FIDUCIARY FUNDS							
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES							
FISCAL YEAR 2021-2022							
FOR THE MONTH OF MAY 2021							
(amounts in millions)							
FUND TYPE							
PENSION TRUST FUNDS							
	BALANCE MAY 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE MAY 31, 2021		
65000-65049-Common Retirement Administration	\$ (2.396)	\$ 13.076	\$ 10.684	\$ -	\$ (0.004)		
TOTAL PENSION TRUST FUNDS	(2.396)	13.076	10.684	-	(0.004)		
PRIVATE PURPOSE TRUST FUNDS							
22022-College Savings Account	25.698	0.002	0.006	-	25.694		
66000-66049-Agriculture Producers' Security	3.173	0.052	(0.001)	-	3.226		
66050-66099-Milk Producers' Security	11.461	0.113	0.021	-	11.553		
TOTAL PRIVATE PURPOSE TRUST FUNDS	40.332	0.167	0.026	-	40.473		
AGENCY FUNDS							
60050-60149-School Capital Facilities Financing Reserve	18.538	0.090	2.104	-	16.524		
60150-60199-Child Performer's Holding	0.551	0.002	-	-	0.553		
60200-60249-Employees Health Insurance	1,387.456	713.364	1,041.030	-	1,059.790		
60250-60299-Social Security Contribution	15.019	104.494	104.438	-	15.075		
60300-60399-Employee Payroll Withholding	41.663	385.429	386.707	-	40.385		
60400-60449-Employees Dental Insurance	42.033	4.781	5.628	-	41.186		
60450-60499-Management Confidential Group Insurance	1.641	0.693	0.741	-	1.593		
60500-60549-Lottery Prize	524.739	118.359	83.139	-	559.959		
60550-60599-Health Insurance Reserve Receipts	-	-	-	-	-		
60600-60799-Miscellaneous New York State Agency	968.711	544.029	566.325	-	946.415		
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	31.190	5.824	8.602	-	28.412		
60900-60949-Medicaid Management Information System (MMIS) Escrow	102.101	6,193.890	6,237.776	-	58.215		
60950-60999-Special Education	-	-	-	-	-		
61000-61099-State University of New York Revenue Collector	161.191	(57.176)	-	-	104.015		
61100-61999-State University Federal Direct Lending Program	(1.896)	10.987	14.603	-	(5.512)		
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-		
TOTAL AGENCY FUNDS	3,292.937	8,024.766	8,451.093	-	2,866.610		
TOTAL FIDUCIARY FUNDS	\$ 3,330.873	\$ 8,038.009	\$ 8,461.803	\$ -	\$ 2,907.079		

SCHEDULE 4

STATE OF NEW YORK
 SOLE CUSTODY AND INVESTMENT ACCOUNTS
 STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR 2021-2022
 FOR THE MONTH OF MAY 2021
 (amounts in millions)

<u>FUND TYPE</u>	<u>BALANCE MAY 1, 2021</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>BALANCE MAY 31, 2021</u>
<u>ACCOUNTS</u>				
70000-70049-Tobacco Settlement	\$ 2,889	\$ 0.001	\$ -	\$ 2,890
70093, 70095, 70300-70301-MTA State Assistance	234,504	201,564	183,313	252,755
70050-70149-Sole Custody Investment (*)	2,312,482	3,411,733	3,142,022	2,582,193
70200-Comptroller's Refund Account	-	182,105	182,105	-
TOTAL ACCOUNTS	\$ 2,549,875	\$ 3,795,403	\$ 3,507,440	\$ 2,837,838

(*) Includes Public Asset Fund resources:

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of May 31, 2021, \$9,547,342.34 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

SCHEDULE 5

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2021-2022

PURPOSE	DEBT		DEBT ISSUED		DEBT MATURED		INTEREST DISBURSED	
	OUTSTANDING APRIL 1, 2021		MONTH OF MAY	2 MONTHS ENDED MAY 31, 2021	MONTH OF MAY	2 MONTHS ENDED MAY 31, 2021	DEBT OUTSTANDING MAY 31, 2021	MONTH OF
								MAY
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 9,992,174	\$ -	\$ -	\$ -	\$ -	\$ 785,635	\$ 9,206,539	\$ - \$ 82,145
Clean Water/Clean Air:								
Air Quality	1,321,445	-	-	-	-	-	1,321,445	-
Safe Drinking Water	-	-	-	-	-	-	-	-
Clean Water	277,661,899	-	-	-	-	9,125,472	268,536,427	1,133,273
Solid Waste	11,083,955	-	-	-	-	163,906	10,920,049	23,580
Environmental Restoration	36,701,555	-	-	-	-	165,000	36,536,555	8,375
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	1,022,456	-	-	-	-	263,713	758,743	22,550
Environmental Quality (1972):								
Air	4,267,512	-	-	-	-	-	4,242,512	1,250
Land and Wetlands	5,538,761	-	-	-	-	25,000	4,823,761	42,875
Water	-	-	-	-	-	715,000	-	-
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests	2,692,870	-	-	-	-	202,816	2,490,054	11,571
Solid Waste Management	83,003,304	-	-	-	-	7,383,811	75,619,493	666,497
Housing:								
Low Income	4,115,000	-	-	-	-	-	4,115,000	-
Middle Income	1,795,000	-	-	-	-	-	1,795,000	-
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-
Pure Waters	13,485,946	-	-	-	-	833,700	12,652,246	107,528
Rail Preservation Development	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:								
Highway Facilities	562,450,682	-	-	-	-	-	562,450,682	-
Canals and Waterways	7,620,311	-	-	-	-	-	7,620,311	-
Aviation	40,464,691	-	-	-	-	-	40,464,691	-
Rail and Port	90,201,189	-	-	-	-	-	90,201,189	-
Mass Transit - Dept. of Transportation	13,929,540	-	-	-	-	-	13,929,540	-
Mass Transit - Metropolitan Transportation Authority	691,109,424	-	-	-	-	-	691,109,424	-
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	357,668	-	-	-	-	-	357,668	-
Rapid Transit, Rail and Aviation	1,563,392	-	-	-	-	393,136	1,170,256	37,391
Smart Schools Bond Act	306,942,604	-	-	-	-	-	306,942,604	-
Transportation Capital Facilities:								
Aviation	1,648,621	-	-	-	-	837,811	810,810	40,662
Mass Transportation	-	-	-	-	-	-	-	-
Total General Obligation Bonded Debt	\$ 2,168,969,999	\$ -	\$ -	\$ -	\$ -	\$ 20,895,000	\$ 2,148,074,999	\$ 2,177,697

SCHEDULE 5a

University Facilities Grant 95 Refunding

Total Disbursements for Special Contractual Financing Obligations

SCHEDULE 6

STATE OF NEW YORK
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF MAY 2021
AS REQUIRED OF THE STATE COMPTROLLER
(amounts in millions)

	MONTH OF MAY 2021	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE
SHORT TERM INVESTMENT POOL (*)			
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 36,045.0	\$ 31,441.0	\$ 24,532.9
AVERAGE YIELD (**)	0.060%	0.069%	0.579%
TOTAL INVESTMENT EARNINGS	\$ 1.849	\$ 3.649	\$ 22.490

Month-End Portfolio Balances

DESCRIPTION	MAY 2021 PAR AMOUNT	MAY 2020 PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	\$ 24,363.2	\$ 11,871.1
REPURCHASE AGREEMENTS	107.9	20.9
GOVT. SPONSORED AGENCIES	615.0	949.0
COMMERCIAL PAPER	16,595.9	8,165.2
CERTIFICATES OF DEPOSIT/SAVINGS	2,962.4	2,958.9
0% COMPENSATING BALANCE CDs	923.0	48.0
	\$ 45,567.4	\$ 24,013.1

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term Investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period not to exceed the end of the fiscal year. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
FISCAL YEAR 2021-2022

APPENDIX A

	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2 Months Ended May 31, 2021
OPENING CASH BALANCE	\$ 15,864,357	\$ 114,747,009											\$ 15,864,357
RECEIPTS:													
Cigarette Tax	69,708,687	51,733,707											121,442,394
State Share of NYC Cigarette Tax	1,648,000	1,499,000											3,147,000
State Share of NYC Lottery Tax	15,553,536	15,553,536											31,107,072
State Share of NYC Excise Tax	25,976,111	16,936,111											42,912,222
Public Asset Transfers	414,748,474	457,225,416											871,973,890
Assessments	279,000	75,000											354,000
Fees	4,640,806	283,285											4,924,091
Rebates	-	-											1,104
Restitution and Settlements	-	1,104											1,104
Miscellaneous Receipts	-	-											-
Total Receipts	481,246,076	510,310,731											1,002,056,807
DISBURSEMENTS:													
Grants	389,370,867	471,920,457											861,291,324
Interest - Late Payments	47	920,108											1,869,155
Interest - Other	937,452	937,452											1,874,904
Interest - State	952,452	6,137,767											7,090,219
Non-Public Service	577,094	581,800											1,158,894
Employee Benefits/Indirect Costs	-	-											-
Total Disbursements	391,327,923	479,569,566											871,397,489
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-											-
Transfers to General Fund	-	1,104											1,104
Transfers to General Fund Tax Fund	-	-											-
Transfers to Special Revenue Fund	-	-											-
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	326,891	-											326,891
Empire State Stem Cell Trust Account	208,610	332,413											541,023
Transfers to SUNY Income Fund	335,501	335,517											671,018
Total Operating Transfers	392,383,424	479,803,083											872,266,507
Total Disbursements and Transfers	783,711,347	959,372,649											1,743,664,000
CLOSING CASH BALANCE	\$ 114,747,009	\$ 145,654,657											\$ 145,654,657

APPENDIX B

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2021-2022

Program/Purpose	Appropriation Amount (*)	May	2 Months Ended May 31st, 2021 (**)
CENTER FOR COMMUNITY HEALTH PROGRAM	\$ 8,827,000.00	\$ 203,225.16	\$ 395,482.05
CENTER FOR COMMUNITY HLTH	8,827,000.00	203,225.16	395,482.05
CHILD HEALTH INSURANCE PROGRAM	1,901,406,000.00	37,368,892.85	70,136,327.93
CHILD HEALTH INSURANCE	1,901,406,000.00	37,368,892.85	70,136,327.93
COMMUNITY SUPPORT PROGRAM	120,000.00	-	-
COMMUNITY SUPPORT	120,000.00	-	-
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	234,330,000.00	10,189,527.05	13,562,484.01
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	234,330,000.00	10,189,527.05	13,562,484.01
HEALTH CARE REFORM ACT PROGRAM	1,240,915,059.03	1,047,471.04	1,437,368.53
AIDS DRUG ASSISTANCE	82,100,000.00	-	-
AMBULATORY CARE TRAINING	3,537,000.00	-	-
AREA HEALTH EDUCATION CENTER	3,387,000.00	-	-
COMMISSIONER EMERGENCY DISTRIBUTIONS	5,800,000.00	-	-
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	108,800,000.00	-	-
DIVERSITY IN MEDICINE	4,782,000.00	-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	6,890,000.00	-	-
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	-	-
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	332,000.00	439,000.00
HEALTH WORKFORCE RETRAINING	18,320,000.00	-	-
INFERTILITY SERVICES GRANTS	5,733,000.00	-	(190.10)
MEDICAL INDEMNITY FUND	52,000,000.00	-	-
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE	207,200,000.00	-	-
PHYSICIAN LOAN REPAYMENT	36,280,000.00	54,135.70	110,900.20
PHYSICIAN WORKFORCE STUDIES	974,000.00	-	-
POISON CONTROL CENTERS	8,720,000.00	-	-
POOL ADMINISTRATION	5,300,000.00	378,680.81	605,003.90
ROSWELL PARK CANCER INSTITUTE	89,426,000.00	-	-
ROSWELL PARK COMPREHENSIVE CANCER CENTER	50,000.00	-	-
RURAL HEALTH CARE ACCESS	15,950,000.00	-	-
RURAL HEALTH CARE ACCESS & NETWORK DEVELOPMENT	18,820,000.00	282,654.53	282,654.53
RURAL HEALTH CARE GRANTS	2,200,000.00	-	-
RURAL HEALTH NETWORK	11,610,000.00	-	-
SCHOOL BASED HEALTH CENTERS	4,230,000.00	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMIN	8,460,000.00	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,059.03	-	-
MEDICAL ASSISTANCE PROGRAM	28,799,805,000.00	427,492,679.61	780,343,885.11
HOME HEALTH RATE INCREASE	300,000,000.00	-	-
MEDICAID INDIGENT CARE	4,387,400,000.00	52,492,679.61	105,343,885.11
MEDICAL ASSISTANCE	23,129,205,000.00	375,000,000.00	675,000,000.00
PSNL CRE WRKR RECR & RETEN NYC (***)	915,000,000.00	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)	67,200,000.00	-	-
NEW YORK STATE OF HEALTH	85,091,000.00	2,807,579.12	4,313,087.69
NEW YORK STATE OF HEALTH ADMINISTRATION	85,091,000.00	2,807,579.12	4,313,087.69
OFFICE OF HEALTH INSURANCE PROGRAM	1,834,000.00	-	-
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
OFFICE OF HEALTH SYSTEMS MANAGEMENT	35,795,000.00	779,693.87	1,749,876.48
OFFICE HEALTH SYSTEMS MANAGEMENT	35,795,000.00	779,693.87	1,749,876.48
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	-	-
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	-	-
TOTAL	\$ 32,316,313,059.03	\$ 479,889,068.70	\$ 871,938,511.80
Redclass of SUNY Hospital Disprop Share to Transfer		(332,413.01)	(541,022.82)
Redclass of SUNY Hospital Poison Control Centers to Transfer		-	-
Redclass of SUNY Empire Clinical Research Investigator Program to Transfer		-	-
Reconciling Adjustment (P-Card and T-Card)		12,910.22	-
TOTAL REPORTED AMOUNT	\$ 32,316,313,059.03	\$ 479,569,565.91	\$ 871,397,488.98

(*) Includes amounts appropriated in SFY 2021-22, as well as prior year appropriations that were reappropriated.

(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(***): Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(****): Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

APPENDIX C

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2021-2022

	2021 APRIL	2021 MAY	2021-2022
OPENING CASH BALANCE	\$ 293,876,869.61	\$ 362,354,032.88	\$ 293,876,869.61
RECEIPTS:			
Patient Services	340,332,824.17	221,110,669.72	561,443,493.89
Covered Lives	79,187,489.66	53,273,772.73	132,461,262.39
Provider Assessments	10,011,629.87	6,473,113.22	16,484,743.09
1% Assessments	37,193,623.00	40,071,668.00	77,265,291.00
DASNY - MOE/Recast receivables	-	-	-
Interest Income	923.44	1,404.98	2,328.42
Unassigned	12,280,036.82	(8,011,991.97)	4,268,044.85
Total Receipts	479,006,526.96	312,918,636.68	791,925,163.64
PROGRAM DISBURSEMENTS:			
Poison Control Centers	-	-	-
School Based Health Center Grants	-	-	-
ECRIP Distributions	-	-	-
Total Program Disbursements	-	-	-
Excess (Deficiency) of Receipts over Disbursements	479,006,526.96	312,918,636.68	791,925,163.64
OTHER FINANCING SOURCES (USES):			
Transfers From Other Pools:			
Medicaid Disproportionate Share	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	4,219,028.00	4,277,353.00	8,496,381.00
Transfers From State Funds:			
HCRA Resources Fund	-	-	-
Total Other Financing Sources	4,219,028.00	4,277,353.00	8,496,381.00
Transfers To Other Pools:			
Medicaid Disproportionate Share	-	-	-
Health Facility Assessment Fund	-	-	-
Transfers To State Funds:			
HCRA Resources Fund	(414,748,391.69)	(457,225,386.30)	(871,973,777.99)
Indigent Care Fund - Matched	-	-	-
Indigent Care Fund - Unmatched	-	-	-
Total Other Financing Uses	(414,748,391.69)	(457,225,386.30)	(871,973,777.99)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	68,477,163.27	(140,029,396.62)	(71,552,233.35)
CLOSING CASH BALANCE	\$ 362,354,032.88	\$ 222,324,636.26	\$ 222,324,636.26

Source: HCRA - Office of Pool Administration

APPENDIX D

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2021-2022

	2021 APRIL	2021 MAY	2021-2022
OPENING CASH BALANCE	\$ 55,655.52	\$ 1,038,442.84	\$ 55,655.52
RECEIPTS:			
Interest Income	29.82	4.80	34.62
Total Receipts	29.82	4.80	34.62
PROGRAM DISBURSEMENTS:			
Indigent Care	(52,898,838.24)	-	(52,898,838.24)
High Need Indigent Care	-	-	-
Other	(31,119.03)	-	(31,119.03)
Total Program Disbursements	(52,929,957.27)		(52,929,957.27)
Excess (Deficiency) of Receipts over Disbursements	(52,929,927.45)	4.80	(52,929,922.65)
OTHER FINANCING SOURCES (USES):			
Transfers From Other Pools:			
Public Goods Pool	-	-	-
Health Facility Assessment Fund	-	-	-
Transfers From State Funds:			
HCRA Resources Indigent Care - Matched	23,169,691.15	-	23,169,691.15
HCRA Resources Indigent Care - Unmatched	1,019,927.37	97,622.02	1,117,549.39
Federal DHHS Fund	29,729,147.09	-	29,729,147.09
Other	-	-	-
Total Other Financing Sources	53,918,765.61	97,622.02	54,016,387.63
Transfers To Other Pools:			
Public Goods Pool	-	-	-
Health Facility Assessment Fund	-	-	-
Transfers To State Funds:			
HCRA Resources Fund Indigent Care Acct	(82.34)	(29.82)	(112.16)
CSRA Inc (eMedNY) General Fund	(5,968.50)	-	(5,968.50)
Total Other Financing Uses	(6,050.84)	(29.82)	(6,080.66)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	982,787.32	97,597.00	1,080,384.32
CLOSING CASH BALANCE	\$ 1,038,442.84	\$ 1,136,039.84	\$ 1,136,039.84

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2021-2022
(amounts in thousands)

APPENDIX E

	2021 APRIL	2021 MAY	2021 JUNE	2021 JULY	2021 AUGUST	2021 SEPTEMBER	2021 OCTOBER	2021 NOVEMBER	2021 DECEMBER	2022 JANUARY	2022 FEBRUARY	2022 MARCH	2021-2022 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	\$ -	\$ -	-	-	-	-	-	-	-	-	-	-	\$ -
Education - EXCEL	34	2,058	-	-	-	-	-	-	-	-	-	-	2,092
Department of Health - All Other	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	70	181	-	-	-	-	-	-	-	-	-	-	251
Multi-modal	-	-	-	-	-	-	-	-	-	-	-	-	-
GenNYsis	-	-	-	-	-	-	-	-	-	-	-	-	-
CUNY Senior Colleges	(10)	-	-	-	-	-	-	-	-	-	-	-	(10)
CUNY Community Colleges	-	-	-	-	-	-	-	-	-	-	-	-	-
Brooklyn Court Officer Training Academy	21	-	-	-	-	-	-	-	-	-	-	-	21
TOTAL DORMITORY AUTHORITY	115	2,239	-	-	-	-	-	-	-	-	-	-	2,354
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development	-	-	-	-	-	-	-	-	-	-	-	-	-
Centers of Excellence	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Capital Assistance Program (CCAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Empire Opportunity	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL EMPIRE STATE DEVELOPMENT CORP	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL OFF-BUDGET	\$ 115	\$ 2,239	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,354

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006, this schedule is provided for information only.

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	February 28, 2021	March 31, 2021	April 30, 2021	Change	May 31, 2021
10050	GENERAL FUND					
	STATE OPERATIONS AND LOCAL ASSISTANCE	\$ -	\$ -	\$ -	\$ -	\$ -
	TOTAL GENERAL FUND	-	-	-	-	-
CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS						
30051	HIGHWAY AND BRIDGE CAPITAL	-	44,223,561.21	-	-	-
30053	AVIATION PURPOSE ACCOUNT	-	-	-	-	-
30101	REHAB/REPAIR MARTIME	-	-	-	-	-
30102	D21RVE- MARTIME	-	-	-	-	-
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30105	REHAB/REPAIR ALBANY	-	-	-	-	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-
30118	D02RVE- BROCKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D06RVE- SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESEO	-	-	-	-	-
30126	D06RVE- GENESEO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-
30138	D12RVE- POTSDAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D23RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D26RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	-	-	-	-	-
30501	CWICA IMPLEMENTATION DEC	-	-	-	-	-
30502	CWICA IMPLEMENTATION STATE	-	-	-	-	-
30503	CWICA IMPLEMENTATION ERDA	-	-	-	-	-
30504	CWICA IMPLEMENTATION EFC	-	-	-	-	-
31506	HAZARDOUS WASTE CLEAN UP	-	-	-	-	-
31701	YOUTH FACILITIES IMPROVEMENT	-	-	-	-	-
31801	HOUSING ASSISTANCE	-	-	-	-	-
31851	HOUSING PROG FD-HSG TR FD CORP	-	-	-	-	-
31852	HOUSING PROG FD AFFORD HSG CORP	-	-	-	-	-
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	-	-	-	-	-
31854	HOUSING PROG FD-HFA	-	-	-	-	-
31951	HIGHWAY FAC PURPOSE	-	-	-	-	-

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	February 28, 2021	March 31, 2021	April 30, 2021	Change	May 31, 2021
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	-	-	-	-	-
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	5,669,893.07	7,469,744.20	7,836,672.15	275,759.84	8,112,431.99
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	73,275,852.43	73,198,521.63	74,197,325.52	(482,540.28)	73,714,789.24
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-	-
32305	OASAS-COMMUNITY FACILITIES	191,405,566.97	187,018,085.14	187,018,085.14	496,555.07	187,514,640.21
32306	DASNY - OMH ADMIN	-	-	-	-	-
32307	DASNY - OPWDD ADMIN	4,369,852.32	4,369,852.32	4,369,852.32	3,523,500.00	7,893,352.32
32308	DASNY - OASAS ADMIN	1,463,213.09	1,463,213.09	1,463,213.09	605,875.00	2,069,088.09
32309	OMH - STATE FACILITIES	171,594,249.62	131,339,974.32	134,956,619.31	(49,437,476.21)	85,519,143.10
32310	OPWDD - STATE FACILITIES	31,697,903.16	38,392,326.77	40,308,539.77	(16,305,305.13)	23,803,234.64
32311	OASAS - STATE FACILITIES	3,282,024.25	5,095,981.17	-	1,908,201.77	1,908,201.77
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	246,392,114.85	189,126,625.24	186,857,753.51	31,899,570.49	218,757,324.00
32353	CORR. FACILITIES CAPITAL CLOSURE	-	-	-	-	-
33001	STORM RECOVERY ACCOUNT	55,763,946.28	54,228,098.73	54,211,590.81	891,694.59	55,103,285.40
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,301,404,116.26	1,279,340,982.52	1,293,680,167.90	40,440,513.74	1,334,120,681.64
STATE SPECIAL REVENUE FUNDS						
20401	DOJ-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-	-
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	-	-	31,613,636.11	-	68,982,649.25
20818	EPIC PREMIUM ACCOUNT	-	-	-	6,006,330.20	6,006,330.20
20901	LOTTERY-EDUCATION	697,556,168.55	-	-	-	-
20904	VLT EDUCATION	531,970,401.50	-	-	-	-
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	4,016,135.26	3,233,406.10	3,282,937.51	45,924.17	3,328,861.68
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	3,324,199.99	3,324,199.99	3,324,199.99	(3,324,000.00)	199.99
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	2,084,600.58	-	290,745.42	684,789.32	975,534.74
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	4,551,767.13	4,255,831.32	4,427,258.46	(785,644.87)	3,641,613.59
21067	ENCON-RECREATION	-	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	61,490,250.32	61,475,682.73	62,613,090.42	4,870,017.59	67,483,108.01
21082	NATURAL RESOURCES ACCOUNT	14,822,988.78	15,270,489.03	15,578,428.14	231,914.63	15,810,342.77
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	349.00	-	380.00	(380.00)	-
21202	HEALTH DEPT OIL SPILL	-	-	-	-	-
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	-	-	-	-	-
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	4,004.81	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	-	-	-	-	-
21451	OPERATING PERMIT PROGRAM	-	-	-	-	-
21452	MOBILE SOURCE	33,816,328.57	34,391,499.53	34,869,721.83	582,839.28	35,472,561.11
21902	HEALTH-SPARC'S	-	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	5,153,373.25	6,070,043.81	6,011,299.08	4,719,652.63	10,730,951.71
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	FINANCIAL CONTROL BOARD	385,557.07	664,380.92	160,196.90	116,538.12	276,738.02
21911	RACING REGULATION ACCOUNT	4,016,974.07	4,317,928.13	4,334,965.74	260,483.75	4,595,349.49
21912	SU DORM INCOME REIMBURSE	140,504.21	0.00	325,193.12	(26,937.88)	298,255.24
21937	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21945	ENV LAB REF FEE	-	-	-	-	-
21959	TRAINING MANAGEMENT AND EVALUATION ACCOUNT	521,748.19	469,022.32	544,350.50	45,786.63	590,137.13
21961	CLINICAL LAB FEE	9,718,277.89	9,017,407.88	9,264,614.23	(97,022.64)	9,167,591.59
21962	INDIRECT COST RECOVERY	-	-	-	-	-
21978	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-
21989	MULTI- AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	-	-	-	-	-
22007	PARKING ACCOUNT	-	-	-	-	-
22008	COURTS SPECIAL GRANTS	-	728,613.54	693,940.12	67,835.78	761,775.90
22009	ASBESTOS SAFETY TRAINING	-	-	-	-	-
22017	CAMP SMITH BILLETING ACCOUNT	25,497.18	21,922.83	21,675.06	4,218.07	25,893.13
22032	BATAVIA SCHOOL FOR THE BLIND	-	-	-	-	-
22034	INVESTMENT SERVICES	12,681,293.47	6,403,690.71	6,948,191.08	435,924.94	7,384,116.02
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	February 28, 2021	March 31, 2021	April 30, 2021	Change	May 31, 2021
22039	FINANCIAL OVERSIGHT	549,858.41	912,116.67	265,113.54	279,811.65	544,925.19
22046	REGULATION INDIAN GAMING	97,869,203.31	98,016,730.17	99,027,328.85	1,083,302.48	100,110,631.33
22053	ROME SCHOOL FOR THE DEAF	7,318,915.51	2,008,085.58	2,552,311.18	403,070.09	2,955,381.27
22054	DSP-SEIZED ASSETS	585,246.75	456,634.47	415,160.10	(164,983.00)	250,177.10
22055	ADMINISTRATIVE ADJUDICATION	42,649,797.61	45,116,781.97	45,664,184.26	(1,192,511.82)	44,471,672.44
22056	FEDERAL SALARY SHARING	1,708,179.30	33,192.73	143,371.44	111,248.33	254,619.77
22062	NYC ASSESSMENT ACCT	-	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	3,537,228.58	2,933,306.11	2,019,940.87	(1,521,995.81)	497,945.06
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-
22085	DRGR MORTGAGE SERVICES	2,392,016.13	2,148,824.54	2,314,374.97	196,059.66	2,510,434.63
22090	HOUSING INDIRECT COST RECOVERY	-	-	-	-	-
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	12,218,379.67	11,348,415.23	11,184,434.94	384,531.07	11,568,966.01
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONTROSE VETERAN'S HOME	7,760.17	123,734.27	175,783.99	52,049.72	227,833.71
22151	DEFERRED COMPENSATION ADMIN	56,058.94	-	-	1,037,121.41	1,037,121.41
22156	RENT REVENUE OTHER - NYC	20,611,517.36	25,285,067.05	-	-	-
22158	RENT REVENUE	-	-	-	-	-
22168	TAX REVENUE ARREARAGE ACCOUNT	1,656,669.31	1,274,629.73	1,380,848.23	106,446.33	1,487,294.56
22240	NY'S MEDICAL INDEMNITY FUND ACCOUNT	-	-	-	-	-
22246	BEHAVIORAL HEALTH PARITY COMPLIANCE FUND	-	-	-	-	-
22654	S.U. NON-RESIDENT REV. OFFSET	20,675,784.98	20,677,507.03	20,679,183.84	1,389.37	20,680,573.21
22751	LAKE GEORGE PARK TRUST FUND	-	-	-	-	-
22802	STATE POLICE MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	17,281,214.32	16,991,456.00	17,103,295.73	315,028.91	17,418,324.64
23102	DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70
23151	NYCCC OPERATING OFFSET	55,596,399.79	26,556,681.85	30,964,112.48	2,824,212.93	33,788,325.41
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	21,859,993.24	22,271,880.50	22,578,280.41	377,167.97	22,955,448.38
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-
23806	NY'S SECURE CHOICE ADMIN	-	-	-	-	-
24951	FANTASY SPORTS ADMINISTRATION	59,230.63	75,811.44	79,187.93	7,554.58	86,742.51
	TOTAL STATE SPECIAL REVENUE FUNDS	1,688,264,823.33	431,205,921.88	446,222,589.17	55,308,786.73	501,731,375.90
	FEDERAL FUNDS					
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	114,685,009.66	12,583,217.42	47,831,392.71	(15,667,099.55)	32,164,293.16
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	616,818,369.22	2,444,991,733.28	2,773,668,560.10	(2,566,267,314.90)	207,401,245.20
25200-25249	FEDERAL EDUCATION GRANTS FUND	52,282,948.69	19,664,226.45	113,713,785.02	(84,024,800.40)	29,688,984.62
25250-25299	FEDERAL DHHS BLOCK GRANTS	-	-	-	-	-
25300-25899	FEDERAL OPERATING GRANTS FUND	493,344,470.56	466,966,335.85	478,024,705.09	73,155,814.20	551,180,523.29
31351	MILITARY AND NAVAL AFFAIRS	8,753,932.66	8,753,932.66	8,753,932.66	-	8,753,932.66
31354	DEPARTMENT OF TRANSPORTATION	559,474,133.62	537,821,805.97	586,763,381.82	97,748,940.71	684,512,322.53
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	113,174,287.06	105,640,539.40	104,063,927.22	3,979,093.94	107,943,021.16
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	263,720,313.36	71,611,428.44	68,159,548.45	32,218,488.38	100,373,036.83
23950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	480,214.00	503,270.00	378,654.56	20,432.00	397,086.50
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	8,236,815.53	7,198,320.46	9,238,550.43	(1,006,063.72)	8,230,486.71
	TOTAL FEDERAL FUNDS	2,231,072,494.36	3,695,734,908.95	4,190,594,442.00	(2,439,944,599.34)	1,750,649,902.66
	AGENCY FUNDS					
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-
	TOTAL AGENCY FUNDS	-	-	-	-	-
	ENTERPRISE FUND					
50318	OGS CONVENTION CENTER ACCOUNT	930,931.15	989,160.13	1,011,732.28	87,376.68	1,099,108.96
50327	EMPIRE PLAZA GIFT SHOP	274,184.69	283,602.52	286,117.64	12,780.58	298,898.22
	TOTAL ENTERPRISE FUND	1,205,115.84	1,272,762.65	1,297,849.92	100,157.26	1,398,007.18
	INTERNAL SERVICE FUNDS					
55001	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-	-
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55003	CENTRALIZED SERVICES-PRINTING	1,430,894.99	1,016,572.91	756,614.45	120,452.46	877,066.91
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	226,461.51	-	-	-	-
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	36,672.74	53,222.28	56,453.59	21,944.15	78,397.74
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	2,686,693.63	2,247,705.48	2,177,143.91	86,160.84	2,263,304.75
55008	CENTRALIZED SERVICES-PASNY	23,352,048.48	-	-	13,467,362.65	13,467,362.65
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	15,902,600.03	8,473,715.65	7,291,826.24	1,159,748.46	8,451,574.70
55011	CENTRALIZED SERVICES-INSURANCE	5,835,999.73	5,640,113.46	4,501,300.48	345,016.73	4,846,317.21
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	159,500.71	166,190.71	162,615.71	(3,501.39)	159,114.32
55013	CENTRALIZED SERVICES-COPS	-	-	-	-	-
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-

APPENDIX F

**STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)**

SFS Fund	ACCOUNT TITLE	February 28, 2021	March 31, 2021	April 30, 2021	Change	May 31, 2021
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-
55016	CENTRALIZED SERVICES-IMMICS	1,914,901.23	1,382,226.22	1,156,582.70	(102,638.36)	1,053,944.34
55017	DOWNSTATE WAREHOUSE	148,749.75	421,903.79	327,653.49	40,692.87	368,346.36
55018	BUILDING ADMINISTRATION	-	-	-	-	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	90,884,212.13	73,938,006.68	73,742,950.64	3,844,509.71	77,587,460.35
55021	NYS MEDIA CENTER	11,802,755.13	11,910,214.54	12,097,551.92	(27,731.05)	12,069,820.87
55022	BUSINESS SERVICES CENTER	28,417,248.08	31,650,237.51	33,631,143.23	2,381,134.70	36,012,277.93
55052	ARCHIVES RECORD MGMT I.S.	70,832.99	-	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55055	CIVIL SERVICE ADMINISTRATION ACCOUNT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-
55057	BANKING SERVICES ACCOUNT	219,069.09	-	727,271.32	(659,034.65)	68,236.67
55058	CULTURAL RESOURCE SURVEY	3,203,884.65	3,482,424.24	3,736,110.47	289,668.19	4,025,778.66
55059	NEIGHBOR WORK PROJECT	11,930,124.19	11,370,586.21	11,651,447.84	(214,743.60)	11,436,704.24
55060	AUTOMATIC/PRINT CHARGBACKS	710,657.18	-	1,124,459.62	1,370,514.55	2,494,974.17
55061	OFT NYT ACCT	-	-	-	-	-
55062	DATA CENTER ACCOUNT	51,819,133.86	91,579,457.26	91,579,457.26	-	91,579,457.26
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	276,765.63	98,656.34	123,410.05	23,722.06	147,132.11
55069	CENTRALIZED TECHNOLOGY SERVICES	84,201,124.13	93,610,109.18	107,734,772.69	8,928,713.13	116,663,485.82
55071	LABOR CONTACT CENTER ACCT	1,331,867.20	1,506,512.53	1,591,719.03	(1,357,101.81)	234,617.22
55072	HUMAN SERVICES CONTACT CNTR ACCT	5,797,025.97	3,327,243.37	3,905,882.21	690,938.25	4,596,820.46
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	3,383,833.21	3,178,989.26	-	-	-
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	11,495,238.52	8,774,839.01	8,950,573.49	231,556.58	9,182,130.07
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	48,873,335.02	31,710,860.42	33,325,687.25	2,416,755.70	35,742,442.95
55300	HEALTH INSURANCE INTERNAL SERVICE	4,815,234.27	6,244,995.48	7,207,258.93	787,166.35	7,994,425.28
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	6,800,405.01	6,873,883.93	6,933,164.83	73,799.89	7,006,964.72
55350	CORR INDUSTRIES INTERNAL SERVICE	51,897,788.46	32,577,741.51	33,239,184.94	1,975,282.29	35,214,467.23
	TOTAL INTERNAL SERVICE FUNDS	470,886,641.79	432,497,992.24	448,993,820.56	35,890,388.70	484,884,209.26
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 5,702,773,191.78	\$ 5,840,052,471.24	\$ 6,380,788,869.55	\$ (2,328,004,692.91)	\$ 4,052,784,176.64

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part JJJ, Section 1, of the Laws of 2021-22. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

(****) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 56, Part JJ, Section 1, of the Laws of 2020-21.

STATE OF NEW YORK
DEDICATED INFRASTRUCTURE INVESTMENT FUND(*)
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2021-2022

APPENDIX G

	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2 Months Ended May 31, 2021
OPENING CASH BALANCE	\$ 96,772,524	\$ 83,609,252											\$ 96,772,524
RECEIPTS:													
Transfers from General Fund (**)	-	-	-	-	-	-	-	-	-	-	-	-	-
Other	1,407	-	-	-	-	-	-	-	-	-	-	-	1,407
Total Receipts	1,407	-	-	-	-	-	-	-	-	-	-	-	1,407
DISBURSEMENTS:													
Affordable and Homeless Housing	731,174	1,659,409											2,390,583
Broadband Initiative	4,076,555	25,384,346											29,460,901
Downtown Revitalization	379,374	1,452,326											1,831,700
Empire State Poverty Reduction Initiatives	-	1,446,891											1,446,891
Health Care / Hospital Initiatives	192,187	415,645											607,832
Information Technology/Infrastructure for Behavioral Sciences	-	-											-
Infrastructure Improvements	-	276,017											276,017
Jacob Javits Center Expansion	-	-											-
Life Sciences Initiative	-	-											-
Municipal Restructuring / Consolidation Competition	606,923	2,912,068											3,518,991
Penn Station Access	-	-											-
Resiliency, Mitigation, Security and Emergency Response	-	-											-
Southern Tier / Hudson Valley Farm Initiative	(101,562)	-											(101,562)
Thruway Stabilization Program	868,444	1,948,402											2,814,846
Transformative Economic Development Projects	-	-											-
Transportation Capital Plan	6,363,584	8,182,744											14,546,328
Update Revitalization Program	-	-											-
Total Disbursements	13,114,679	43,677,848	-	-	-	-	-	-	-	-	-	-	56,792,527
OPERATING TRANSFERS:													
Transfers to General Fund	-	-											-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements and Transfers	13,114,679	43,677,848	-	-	-	-	-	-	-	-	-	-	56,792,527
CLOSING CASH BALANCE	\$ 83,609,252	\$ 39,931,404	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 39,931,404

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b

(**) Pursuant to Section 93(b) of the State Finance Law

STATE OF NEW YORK

MEDICAL ASSISTANCE DISBURSEMENTS - STATE FUNDS⁽¹⁾

FISCAL YEAR 2021-2022

APPENDIX H

	MAY 2021			2 MONTHS ENDED MAY 31		
	Department of Health	Other State Agencies	May	Department of Health	Other State Agencies	Year to Date
	\$	\$	\$	\$	\$	\$
Adult State Share Medicaid	-	-	367,298.15	-	-	-
State Share Medicaid	-	-	367,298.15	-	(359,035.77)	(359,035.77)
Medical Assistance (OPWDD)	-	-	-	-	132,338.79	132,338.79
Medical Assistance Administration	3,054,157.43	3,373.00	3,057,530.43	7,186,488.64	3,373.00	7,189,861.64
Population Health Improvement	-	-	-	-	-	-
Traumatic Brain Injury Services	178,050.77	-	178,050.77	1,698,050.77	-	1,698,050.77
Nursing Home Transition & Diversion	-	-	-	-	-	-
Reducing Maternal Mortality	17,380.46	-	17,380.46	17,380.46	-	17,380.46
New York Connects	-	1,881,985.38	1,881,985.38	-	2,395,840.28	2,395,840.28
Facilitated Enrollment	506,000.85	-	506,000.85	506,000.85	-	506,000.85
Emergency Medical Transportation	-	-	-	-	-	-
Managed Long-Term Care Ombudsman	749,303.84	-	749,303.84	749,303.84	-	749,303.84
Major Academic Pool	-	-	-	-	-	-
Women's Health & Multiple Births	-	-	-	-	-	-
Vital Access Program (OASAS)	-	-	-	-	-	-
Vital Access Program (OMH)	-	-	-	-	-	-
Vital Access Provider Services	-	-	-	-	-	-
General Hospitals Safety-Net Providers	-	-	-	-	-	-
Rural Transportation	-	-	-	-	-	-
AIDS Epidemic	962,236.17	-	962,236.17	1,615,882.39	-	1,615,882.39
Fluoridation Systems	-	-	-	-	-	-
Expanding Caregiver Support Services	1,069,196.51	-	1,069,196.51	3,195,551.30	-	3,195,551.30
Provide Affordable Housing	1,786,931.53	-	1,786,931.53	3,475,197.09	1,461,537.00	4,936,734.09
Health Homes Establishment	-	-	-	-	-	-
Community Provider Network	2,143,687.50	-	2,143,687.50	2,143,687.50	-	2,143,687.50
Inpatient Services	22,966,440.14	-	22,966,440.14	82,450,914.71	-	82,450,914.71
Patient Centered Medical Homes	-	-	-	-	-	-
Outpatient & Emergency Room Services	13,069,786.55	-	13,069,786.55	30,441,791.16	-	30,441,791.16
Clinic Services	16,049,163.25	-	16,049,163.25	41,987,197.68	-	41,987,197.68
Nursing Home Services	83,404,795.06	-	83,404,795.06	203,102,426.60	-	203,102,426.60
Other Long Term Care Services	282,434,591.31	-	282,434,591.31	1,499,067,917.32	-	1,499,067,917.32
Managed Care Services	329,736,238.83	-	329,736,238.83	1,138,551,422.37	-	1,138,551,422.37
Pharmacy Services	13,324,692.53	-	13,324,692.53	25,671,288.23	-	25,671,288.23
Transportation Services	10,971,605.69	-	10,971,605.69	22,691,242.80	-	22,691,242.80
Dental Services	278,347.91	-	278,347.91	548,825.08	-	548,825.08
Non-Institutional & Other	721,638,381.44	-	721,638,381.44	1,184,258,774.71	340,132.00	1,184,598,906.71
Medical Services State Facilities	14,564,113.58	-	14,564,113.58	105,429,721.69	-	105,429,721.69
CSEA Family Health Plus Buy In	-	-	-	-	-	-
DC37 & Teamster Local 858	-	-	-	-	-	-
Medical Assistance (HCRA)	375,000,000.00	-	375,000,000.00	675,000,000.00	-	675,000,000.00
Indigent Care	52,492,679.61	-	52,492,679.61	105,343,885.11	-	105,343,885.11
Provider Assessments	34,256,000.00	-	34,256,000.00	68,512,000.00	-	68,512,000.00
NYC Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	-	-	-	-
Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	-	-	-	-
Home Health Rate Increase (HCRA)	-	-	-	-	-	-
Additional DSH Payments SUNY	-	-	-	-	-	-
TOTAL⁽¹⁾	1,980,653,780.96	2,252,656.53	1,982,906,437.49	5,203,644,950.30	3,974,185.30	5,207,619,135.60
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers.	(28,277,165.51)	-	(28,277,165.51)	(125,089,136.45)	-	(125,089,136.45)
TOTAL REPORTED MEDICAID	1,952,376,615.45	2,252,656.53	1,954,629,271.98	5,078,555,813.85	3,974,185.30	5,082,529,999.15

⁽¹⁾ General Fund and State Special Revenue Funds only.

These amounts do not include Medical Assistance spending for State Operations.

These amounts are not comparable to Medicaid Global Cap spending.

Department of Health regularly reclassifies spending between programs,

and therefore amounts for any individual program may be restated by DOH.

⁽²⁾Source: Statewide Financial System

APPENDIX I

STATE OF NEW YORK
MEDICAL ASSISTANCE DISBURSEMENTS - FEDERAL FUNDS^(*)
FISCAL YEAR 2021-2022

	MAY 2021			2 MONTHS ENDED MAY 31		
	Department of Health	Other State Agencies	May	Department of Health	Other State Agencies	Year to Date
Medical Assistance & Survey Certification Program	\$ 11,320,746.46	\$ -	\$ 11,320,746.46	\$ 22,821,254.81	\$ -	\$ 22,821,254.81
Medical Assistance Administration	192,557.79	22,715,622.00	22,908,179.79	192,317.79	22,715,622.00	22,907,939.79
Partnership Plan	-	-	-	-	-	-
Inpatient Services	544,617,989.65	-	544,617,989.65	815,685,489.63	-	815,685,489.63
Outpatient & Emergency Room Services	33,592,017.71	-	33,592,017.71	65,544,483.77	-	65,544,483.77
Clinic Services	53,793,653.47	-	53,793,653.47	108,008,977.52	-	108,008,977.52
Nursing Home Services	121,383,783.02	-	121,383,783.02	245,953,558.17	-	245,953,558.17
Other Long Term Care Services	1,254,950,809.42	-	1,254,950,809.42	2,631,522,602.93	-	2,631,522,602.93
Managed Care Services	1,568,186,402.80	-	1,568,186,402.80	3,152,054,467.70	-	3,152,054,467.70
Pharmacy Services	33,566,039.90	-	33,566,039.90	66,863,518.70	-	66,863,518.70
Transportation Services	38,236,639.43	-	38,236,639.43	77,563,416.25	-	77,563,416.25
Dental Services	825,044.22	-	825,044.22	1,705,982.04	-	1,705,982.04
Non-Institutional & Other	111,334,298.04	383,986.00	111,718,284.04	116,389,646.98	383,986.00	116,773,632.98
Medical Services State Facilities	864,325.00	-	864,325.00	(24,016,734.50)	-	(24,016,734.50)
Additional DSH Payments SUNY	-	-	-	-	-	-
TOTAL^(**)	3,772,864,306.91	23,099,608.00	3,795,963,914.91	7,280,288,981.79	23,099,608.00	7,303,388,589.79
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end.	(55,493,746.64)	-	(55,493,746.64)	(191,737,868.73)	-	(191,737,868.73)
TOTAL REPORTED MEDICAID^(***)	3,717,370,560.27	23,099,608.00	3,740,470,168.27	7,088,551,113.06	23,099,608.00	7,111,650,721.06

^(*) Special Revenue Federal Funds only.

These amounts do not include Medical Assistance spending for State Operations.

These amounts are not comparable to Medicaid Global Cap spending.

^(**) Source: Statewide Financial System

^(***) Reported Medicaid spending does not include the Basic Health Plan.

